

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3598/2024/334
Dated: 27 February, 2025

By Special Messenger

01. **Sinha Securities Limited (DSE TREC # 067)**
Rahman Mansion (3rd Floor, West Side)
161, Motijheel C/A, Dhaka-1000
02. **Mr. Reza - Ur- Rahman Sinha**
CEO and Compliance Authority
Sinha Securities Limited
03. **Mr. Mohammad Mahabubul Alam**
TREC Representative and Accounts Manager
Sinha Securities Limited
04. **Mr. K.M. Nasir Uddin**
General Manager
Sinha Securities Limited

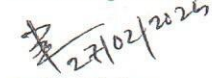
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3598/2024/329 Date: 02 April, 2024 based on which the hearing was conducted on 05 May, 2024.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated 02 May 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/ contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


27/02/2025

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. PO to Commissioner (Enforcement), BSEC
3. PO to Executive Director (MIS)
4. PO to Executive Director (MIAD) with reference to DSE letter No: DSE/MCD/BSEC/2023/019/4938, dated 04 September, 2023
5. PO to Director (Enforcement), BSEC
6. Managing Director, Dhaka Stock Exchange PLC.