

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section

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BSEC/Enforcement/3725/2024/329

Dated: February 26, 2025

By Special Messenger/Courier

Sinha Securities Limited
(DSE TREC Holder No# 067)
Rahman Mansion (3rd Floor, West Side),
161, Motijheel C/A, Dhaka-1000.

Mr. Reza-ur-Rahman Sinha
MD & CEO

Sinha Securities Limited
(DSE TREC Holder No# 067)
Rahman Mansion (3rd Floor, West Side),
161, Motijheel C/A, Dhaka-1000.

Mr. Md. Mahbub Alam
Compliance Officer

Sinha Securities Limited
(DSE TREC Holder No# 067)
Rahman Mansion (3rd Floor, West Side),
161, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future.

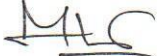
This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3725/2024/792 dated October, 2024 based on which the hearing was conducted on October 23, 2024.

The Commission, considering your adjustment of irregularities vide your letter dated 22.10.2024 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the show cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

By order of the Bangladesh Securities and Exchange Commission,



26.02.2025

Md. Mahmudul Hasan

Assistant Director (Enforcement)

Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.

2. PO to Executive Director (SMMID), BSEC. With reference to SMMI Department note dated: September 26, 2024.

3. PO to Executive Director (ICTD), BSEC.

4. Managing Director, Dhaka Stock Exchange PLC. With reference no# DSE/MCD/BSEC/2023/965 Dated: February 13, 2023.