

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2066/2014/ 464

By Courier Service

Dated: 15 March, 2022

Sinha Securities Ltd.
(TREC holder no. 067)
Rahman Mansion (3rd Floor, West Side), 161, Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO


Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2066/2014/132 dated January 19, 2022 based on which the hearing was conducted on February 07, 2022.

The Commission, considering the explanation vide letter no. Nil dated February 06, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against Sinha Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


15.03.2022
Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Managing Director, Dhaka Stock Exchange Ltd.
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC