

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

**BSEC/Enforcement/3165/2021/1028**  
**Dated: November 24, 2021**

**By Courier Service**

Sinha Securities Ltd.  
Rahman Mansion (3rd Floor, West Side)  
161, Motijheel C/A  
Dhaka-1000

06 DEC 2021

*[Signature]*  
08/12/2021  
Director (MIS)  
DD-I (MIS)

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3165/2021/956 dated November 10, 2021 based on which the hearing was conducted on November 22, 2021.

The Commission, considering the explanation vide letter no. Nil dated November 21, 2021 which was submitted at the time of hearing, has decided to dispose of the proceedings against Sinha Securities LTD by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

*[Signature]*  
24.11.2021

**Muhammad Sadequr Rahman Bhuiyan**  
**Assistant Director**  
**Enforcement Department**

**Copy for information:**

Managing Director, Dhaka Stock Exchange Ltd.  
Executive Director (SRI), BSEC  
Executive Director (MIS), BSEC  
Chairman's Office, BSEC