



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন  
সিকিউরিটিজ কমিশন ভবন  
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭  
www.sec.gov.bd



**BSEC/Enforcement/2916/2020/68**  
**Dated: 26 January, 2021**

**By Special Messenger**

Sinha Securities Ltd.  
(DSE TREC # 067),  
**Registered Office:**  
Rahman Mansion  
(3rd Floor, West Side) & (5th Floor, Right Side, Room # 09),  
161, Motijheel C/A, Dhaka-1000

**Attention:** Managing Director/CEO

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/ 2916/2020/464 dated 17.08.2020 and notice No. BSEC/Enforcement/2917/2020/467 dated 17.08.2020 based on which the hearing was conducted on September 06, 2020 and January 11, 2021.

The Commission, considering your explanation vide letters dated September 03, 2020 (two letters) and subsequent letters dated November 09, 2020, November 19, 2020, December 09, 2020 & January 03, 2021 has decided to dispose of the proceedings against Sinha Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.


For Bangladesh Securities and Exchange Commission,


  
**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

**Copy for information:**

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.

*o/c*

  
28.1.21

  
28.01.21  
D/AC (MIS)

Mr. Gous AD

“মুজিববর্ষের অঙ্গীকার  
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”