



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2648/2018/৭৬৭

Dated: 17 December, 2018

By Special Messenger

Sinha Securities Ltd.
(TREC holder No. 067)
Rahman Mansion (3rd Floor, West Side) & (5th Floor, Right Side, Room # 09),
161, Motijheel C/A, Dhaka 1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2648/2018/475 dated November 13, 2018 based on which the hearing was conducted on November 26, 2018.

The Commission, considering your explanation vide letter dated 20.11.18 has decided to dispose of the proceedings against Sinha Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
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