

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3801/2024/58
Dated: 09 January, 2025

By Special Messenger

- 01. Sinha Securities Limited (DSE TREC # 067)**
Rahman Mansion (3rd Floor, West Side)
161, Motijheel C/A, Dhaka-1000
- 02. Mr. Reza - Ur- Rahman Sinha**
CEO and Compliance Authority
Sinha Securities Limited
- 03. Mr. Mohammad Mahabubul Alam**
TREC Representative and Accounts Manager
Sinha Securities Limited
- 04. Mr. K.M. Nasir Uddin**
General Manager
Sinha Securities Limited
- 05. Mr. Md. Khurshaduzzaman**
Dhanmondi Branch In-Charge
Sinha Securities Limited
- 06. Mr. S. M. Ruhul Islam**
Compliance Officer of Dhanmondi Branch
Sinha Securities Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3801/2024/1088, Date: 11 December, 2024 based on which the hearing was conducted on 30 December, 2024.

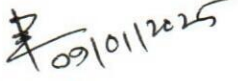
The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated 26 December, 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.



Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

For Bangladesh Securities and Exchange Commission,

 09/01/2025

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to all Commissioner, BSEC
3. ✓ P.O to Executive Director (MIS)
4. P.O to Executive Director (MIAD)
4. P.O to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange PLC.