

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2807/2019/391

Dated: March 03, 2022

By Special Messenger

Skys Securities Limited
(CSE TREC No. 84)
As-Salam Tower (3rd Floor)
57 Agrabad C/A, Chattogram.


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2807/2019/1215 dated December 21, 2021 based on which the hearing was conducted on February 24, 2022.

The Commission, considering your explanation vide letter dated 22.02.2022 has decided to dispose of the proceedings against Skys Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


01-03-22
Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361.

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.