

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3133/2021/1256

Dated: September 29, 2022

Smart Share and Securities Ltd  
(CSE TREC Holder #127)  
KM Tower (4th Floor)  
76/77, Agrabad Commercial Area, Chattogram

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice no. BSEC/Enforcement/3133/2021/1129 Dated August 24, 2022 based on which the hearing was conducted on September 11, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated September 11, has decided to dispose of the matter against Smart Share and Securities Ltd by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,



29.09.2022

Mohammed Abu Hena Mostafa

Assistant Director (Enforcement)

Phone: 01816-243634

Email: mostafaanik@sec.gov.bd

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O to Executive Director (MIS)
4. M.D, DSE

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