

Bangladesh Securities and Exchange Commission  
Enforcement Division  
Enforcement Department  
Market, Market Intermediaries and Other Affairs Section  
[www.sec.gov.bd](http://www.sec.gov.bd)

BSEC/Enforcement/3505/2023/150

Dated: February 13, 2024

01. **Sonali Securities Limited (DSE TREC # 261)**, City Centre (Level-32, North East Side), Unit-C, 90/1, Motijheel C/A, Dhaka 1000.
02. **Mr. Imranur Rahman Khan**, Compliance Officer & Accounts In-Charge, Sonali Securities Limited (DSE TREC # 261), City Centre (Level-32, North East Side), Unit-C, 90/1, Motijheel C/A, Dhaka 1000.

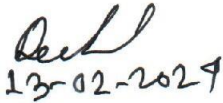
**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3505/2023/47 dated 23 January 2024 based on which the hearing was conducted on 11 February 2024.

The Commission, considering your explanation vide letter ref. SSL/COMP/2024/009 dated 11.02.2024 has decided to dispose of the proceedings against Sonali Securities Limited and Mr. Imranur Rahman Khan, Compliance Officer & Accounts In-Charge, Sonali Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
13-02-2024

Rubel Hossain  
Assistant Director (Enforcement)  
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Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2023/019/5231 dated 18 September 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Limited.