

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3137/2021/820

Dated: June 01, 2022

Square Securities Management Ltd.(CSE TREC No. 92)
Room # 318 (2nd floor)
DSE Annex Building, 9/E
Motijheel C/A, Dhaka- 1000.

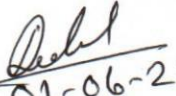
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3137/2021/661 dated April 25, 2022 based on which the hearing was conducted on May 26, 2022.

The Commission, considering your explanation letter No-SSML/Compliance/0522/20 dated 26.05.2022 has decided to dispose of the proceedings against Square Securities Management Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


01-06-22

Rubel Hossain
Assistant Director (Enforcement)
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Mobile : 01922-151361.

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (MSID), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.