

Bangladesh Securities and Exchange Commission

SEC/Enforcement/2074/2014/1513
Date: 22 November, 2022

By Courier Service

Mr. Gulam Mustafa
Managing Director
Star Sharebazar Ltd.
Client Code No: 518, Flat # A4, House # 25
Road # 9/A, Dhanmondi, Dhaka-1207.

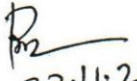
Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. SEC/Enforcement/2074/2014/1453, Date: 07 November, 2022 based on which the hearing was conducted on November 20, 2022.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated November 20, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (Mr. Gulam Mustafa) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


22.11.22

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.