



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

Enforcement/2374/2017/192
Dated: April 18, 2018

By Courier Service

Subvalley Securities (DSE TREC#164)
Room#437, DSE Annex Building (3rd floor),
10 Dilkusha C/A,
Dhaka-1000.

Attention: Managing Director/CEO


Re: Non-compliance of securities related laws. WARNING

This refers to the commission's show cause-cum-hearing notice no. Enforcement/2474/2017/138 dated April 08, 2018 based on which the hearing was scheduled on April 18, 2018.

The Commission, considering your explanation vide letter No. SVS-42(MC)/2018 dated April 18, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Subvalley Securities (DSE TREC#164) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


Hafiz Mohammed Harunur Rashid

Deputy Director

Copy for information:

Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (Law), BSEC
Executive Director (MIS), BSEC
Chairman's Office