



## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3162/2021/01

By Special Messenger

Dated: January 02, 2022

Subvalley Securities Limited  
(DSE TREC holder no.168)  
Room # 437, DSE Annex Building (3rd Floor)  
9/E Motijheel C/A, Dhaka-1000

*Handwritten signature and date: 9.1.22, (MIS)*

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No.BSEC/Enforcement/3162/2021/942 dated November 04, 2021 based on which the hearing was conducted on November 15, 2021.

The Commission, considering your explanation vide letter dated November 15, 2021 and December 28, 2021 has decided to dispose of the proceedings against Subvalley Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

*Handwritten signature: Mahmuda Shireen*  
*02.01.22*

**Mahmuda Shireen**  
Deputy Director (Enforcement)  
E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O.to Executive Director (SRI), BSEC
3. P.O to Executive Director (MIS), BSEC