বাংলাদেশের সুবর্ণজয়ন্তী Bangladesh 50

মুজিব শতবর্ষ MUJIB 100

"মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার"

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2647/2018/990
Date: November 17, 2021

Subvalley Securities Limited
(DSE TREC Holder # 168)
Room # 437, DSE Annex Building (3rd Floor)
9/E Motijheel C/A, Dhaka-1000

25 NOV 2021
28/11/2021
D/MIS
DD-I (MIS)

Kind attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2647/2018/943 Dated November 04, 2021 based on which the hearing was conducted on November 15, 2021.

The Commission, considering the explanation submitted at the time of hearing has decided to dispose of the proceedings against you (Subvalley Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Sultan Salah Uddin
Deputy Director (Enforcement)
PABX: 88-02-55007131-2, Ext- 1306
E-mail: ssuddin@sec.gov.bd

Copy for information:
1. PS to the Honorable Chairman, BSEC.
2. P.O. to Commissioner (Enforcement), BSEC.
3. P.O. to Director (Enforcement), BSEC.
4. P.O to ED (MIS).