



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3441/2023/520

Dated: July 11, 2023

By Special Messenger

Supriya Saha
Compliance Officer
ICB Securities Trading Company Limited
Green City Edge
(7th and 8th Floor, North East Side),
89, Kakrail, Dhaka-1000.

Attention: Managing Director/CEO


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3441/2023/396 dated May 15, 2023 based on which the hearing was conducted on May 28, 2023.

The Commission, considering your explanation vide letter dated 25.05.2022 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


11.07.2023

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (MIAD), BSEC- With reference to memo No. BSEC. BSEC/SRI/CCA/DSE/2021/537 Dated April 30, 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Limited