

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2941/2020/1305
December 29, 2021

By Courier Service

Mr. Syed Mahmudul Haque,
House # 37, Road # 06, Block # E
Banasree, Rampura
Dhaka

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2941/2019/ 126 dated February 18, 2021 based on which the hearing was conducted on March 01, 2021.

The Commission, considering the explanation vide letter dated March 01, 2021 submitted at the time of hearing, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

M. Yusuf

Md. Yusuf Bhuiyan
Additional Director (Enforcement)
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02.01.2022
Div (MIS)

Copy for information:

Chairman's office, BSEC
P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (SRMIC), BSEC
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