

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3154/2021/560

By Special Messenger

Dated: April 07, 2022

Synthia Securities Limited
(DSE TREC No.204)
Modhumita Building (7th Floor)
158-160, Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3154/2021/322 dated February 17, 2022 on which the hearing was conducted on March 07, 2022.

The Commission, considering your explanation vide letters dated March 07, 2022 and March 28, 2022 has decided to dispose of the proceedings against Synthia Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shirreen

07.04.22

Mahmuda Shirreen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited