

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2523/2018/ 429

By Courier Service

Dated: March 07, 2022

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T. A. Khan Securities Co. Ltd. (TREC# 174)  
Room # 304, Stock Exchange Building, 9/F, Motijheel C/A  
Dhaka-1000

**Attention: Managing Director/CEO**

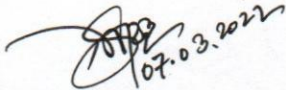
**Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2523/2018/258 dated February 07, 2022 based on which the hearing was conducted on February 28, 2022.

The Commission, considering the explanation vide letter no. Nil dated February 28, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against T.A. Khan Securities Co. Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
07.03.2022

**Muhammad Sadequr Rahman Bhuiyan**  
Assistant Director (Enforcement)

**Copy for information:**

Chairman's Office, BSEC  
Executive Director (SRI), BSEC  
Executive Director (MIS), BSEC  
Managing Director, Dhaka Stock Exchange Ltd.