

Bangladesh Securities and Exchange Commission  
Enforcement Division  
Enforcement Department  
Market, Market Intermediaries and Other Affairs Section  
[www.sec.gov.bd](http://www.sec.gov.bd)

BSEC/Enforcement/2822/2019/54  
Dated: January 30, 2024

T. K. Shares & Securities Ltd.  
(CSE TREC # 069)  
83, Khatungonj, Chattogram.


**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2822/2019/38 dated 21 January 2024 based on which the hearing was conducted on 28 January 2024.

The Commission, considering your explanation vide letter ref. nill dated 28.01.2024 has decided to dispose of the proceedings against T. K. Shares & Securities Ltd. (CSE TREC # 069) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
30-01-2024

Rubel Hossain  
Assistant Director (Enforcement)  
E-mail: [rubel@sec.gov.bd](mailto:rubel@sec.gov.bd)  
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to Inter Departmental Memo ref. BSEC/SRI/R.I/CSE/2020/Part-XIV/34 dated 08 February 2023.
- ✓ 3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Chittagong Stock Exchange PLC.