





Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3148/2021/292

By Courier Service

Ten Stars (Pvt.) Limited (CSE TREC Holder no. 100) Dewan Chand Mahol Tower (3rd Floor), 1227, D.T. Road, Dhanialapara, Chattogram, Bangladesh.

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3148/2021/929 dated November01, 2021 based on which the hearing was conducted on January 17, 2022.

Considering the explanation vide letter dated January 13, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Ten Stars (Pvt.) Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Amirul Islam

Assistant Director (Enforcement)

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Copy for information:

1. P.S. to Chairman, BSEC

2. P.O to Commissioner (Enforcement), BSEC

3. P.O to Executive Director (MIS), BSEC

4. P.O.to Executive Director (SRI), BSEC

5. MD, DSE