

সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ ক

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/2804/2019/1430

By Special Messenger

November 01, 2022

Ten Stars (Pvt.) Limited (TREC no. CSE 100) Dewan Chand Mahol Tower (3rd Floor), 1227, D.T. Road, Dhanialapara, Chattogram

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2804/2019/1203 dated September 14, 2022 and subsequent correspondence based on which the hearing was conducted on October 02, 2022.

The Commission, considering your explanation vide letter Ref: TSPL/BSEC/SHOW-CAUSE/2022/212 dated October 02, 2022 has decided to dispose of the proceedings against Ten Stars (Pvt.) Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen

01.11.22

Mahmuda Shireen

Joint Director (Enforcement) E-mail: shireen@sec.gov.bd

Copy for information:

- 1. P.S. to Chairman, BSEC
- 2. P.O.to Executive Director (SRI), BSEC
- 3. P.O to Executive Director (MIS), BSEC
- 4. Managing Director, Chittagong Stock Exchange Limited