

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3358/2022/ 764
October 08, 2023

By Special Massenger

Managing Director

Times Securities Limited (DSE TREC # 166)
DSE Tower, Room # 221 (Level-11)
Road # 21, Plot # 46, Nikunja-2
Dhaka-1229

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3358/2022/631 dated August 29, 2023 based on which the hearing was conducted on September 17, 2023.

Considering the explanation vide letter No.TSL/TREC-166/BZ-635 dated September 17, 2023 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against Times Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by Times Securities Limited with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Additional Director (Enforcement)
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Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O.to Executive Director (SRI), BSEC
5. P.O to Executive Director (Enforcement), BSEC