

BSEC/Enforcement/2221/2015/181/ Securities and Exchange Commission
Dated: April 20, 2022 629

Times Securities Limited
(DSE TREC NO #166)
Room # 221, DSE Tower (Level-11)
Plot# 46, Road # 21, Nikunja-2, Dhaka-1229

Attention: Managing Director/CEO


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2221/2015/181 dated January 25, 2022 based on which the hearing was conducted on February 06, 2022.

The Commission, considering your explanation vide letter dated 06.02.2022 has decided to dispose of the proceedings against Times Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


20.04.2022
Md. Mahmudul Hasan
Assistant Director-v (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.