

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2755/2019/ 785

Dated: May 25, 2022

By Special Messenger

Transcon Securities Limited
(DSE TREC NO. 055)
PBL Tower (10th Floor)
17, North Commercial Area,
Gulshan-2, Dhaka-1212.

Attention: Managing Director/CEO


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2755/2019/1121 dated December 09, 2021 based on which the hearing was conducted on December 22, 2021

The Commission, considering your explanation vide letter dated 22.12.2021 and subsequent letter dated 12.01.2021 has decided to dispose of the proceedings against Transcon Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


25.05.2022

Md. Mahmudul Hasan
Assistant Director-v (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.