





Bangladesh Securities and Lachunge Commission

BSEC/Enforcement/2669/2018/53

January 09, 2022

Treasure Securities Ltd.
Samobay Bank Bhaban
(6th Floor), 9/D

Motijheel C/A Dhaka-1000

Attention: Managing Director/CEO

By Courier Service

16.01.2m DD-1(MIS)

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2669/2018/1082 dated December 06, 2021 based on which the hearing was conducted on December 15, 2021.

Considering the explanation vide letter TSL/2021/103 dated December 15, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against Treasure Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.

Akram Siraj 09.01, 2022

SAMAY SINEY

Assistant Director (Enforcement)

E-mail:

Copy for information:

1. P.S. to Chairman, BSEC

2. P.O to Executive Director (SRI), BSEC

3. P.O.to Director (MIS), BSEC

4. Managing Director, DSE