



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/ 3305/2022/1029  
Service**

**By Courier**

Dated: November 22, 2023

Trust Bank Investment Limited  
Trust Bank Investment Limited (12th floor),  
Peoples Insurance Bhaban, 36 Dilkusha, Dhaka 1000,  
Bangladesh.

**Attention: Managing Director/CEO**

**Subject: WARNING to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3305/2022/406 Dated: May 16, 2023, based on which the hearing was conducted on June 11, 2023.

Considering the explanation vide letter No. TBIL/HO/2023/1074 dated June 07, 2023 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Trust Bank Investment Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For the Bangladesh Securities and Exchange Commission,

  
22.11.2023  
**Md. Amirul Islam**  
**Assistant Director (Enforcement)**  
Mobile-01681009385  
E-mail- [amirul@sec.gov.bd](mailto:amirul@sec.gov.bd)

**Copy for information:**

1. Chairman's Office, BSEC
2. Commissioner (Enforcement)
3. Executive Director (MIAD), BSEC
- ✓ 4. Executive Director (ICTD), BSEC
5. Managing Director, Dhaka Stock Exchange Ltd.