



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3484/2023/1004

By Special Messenger

Dated: 07 November, 2023

- 01. Trust Bank Securities Limited**  
(DSE TREC No. 242)  
Shadhinata Tower (Level-1, North-West Side),  
Bir Srestha Shaheed Jahangir Gate  
Dhaka Cantonment, Dhaka-1206.
- 02. Mr. Akhlasur Rahman Bhuiyan**  
Managing Director/CEO  
Trust Bank Securities Limited.
- 03. Mr. Shams Uddin Md. Amin-Ur-Rahaman Khan**  
Chief Operating Officer  
Trust Bank Securities Limited
- 04. Mr. Rafiqul Islam**  
Accounts In-Charge  
Trust Bank Securities Limited

**Subject: Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3484/2023/786, Date: 16 October, 2023 based on which the hearing was conducted on 05 November, 2023.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. TBSL/HO/Acc/11/2023/76 dated November 05, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you (Trust Bank Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

*R* 07.11.23

Rabiul Islam  
Assistant Director (Enforcement)  
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Email: rabiul@sec.gov.bd

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.