



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2586/2018/ 514
Dated: July 11, 2023

By Special Messenger

Trustee Securities Limited
(DSE TREC NO# 162)
Room Number, 527
9/E, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2586/2019/706 dated May 12, 2022 based on which the hearing was conducted on May 29, 2022.

The Commission, considering your explanation vide letter dated 29.05.2022 has decided to dispose of the proceedings against Trustee Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future. -

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

11.07.2023

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (MIAD)- With reference to memo No. BSEC. BSEC/SRI/DSE/_INS/101-200/2022/186 dated March 14, 2022.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Limited