

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2753/2019/651  
September 14, 2021

By Courier Service

Trustee Securities Limited  
DSE TREC Holder No.162  
DSE Annex Building (4<sup>th</sup> floor)  
9/E, Motijheel C/A  
Room# 527-528  
Dhaka-1000

Attention: Managing Director/CEO

**Subject:** WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2753/2019/409 dated June 14, 2021 based on which the hearing was conducted on September 02, 2021.

Considering the explanation vide letter No.TSL/BSEC/2021/070 dated September 01, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Trustee Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission



Md. Yusuf Bhuiyan  
Additional Director (Enforcement)  
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**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Enforcement), BSEC
4. P.O.to Executive Director (SRI), BSEC