

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2029/2014/790

Dated: May 29, 2022

Trustee Securities Limited (DSE TREC # 162)

Room # 527, 9/E

Motijheel C/A, Dhaka-1000.

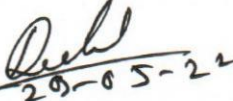
**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2029/2014/646 dated April 24, 2022 based on which the hearing was conducted on May 22, 2022.

The Commission, considering your explanation vide letter No. TSL/BSEC/2022/035 dated 22.05.2022 has decided to dispose of the proceedings against Trustee Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
20-05-22

Rubel Hossain

Assistant Director (Enforcement)

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Mobile : 01922-151361.

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MSI), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.