



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

জীবন বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ।

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাও, শেরে বাংলা নগর, ঢাকা

BSEC/Enforcement/2586/2018/235

Dated: 10 July, 2018

Trustee Securities Limited

DSE TREC Holder # 162
Room# 527-528,
DSE Annex Building, 9/E
Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO

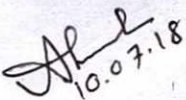
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2586/2018/221 dated June 05, 2018 based on which the hearing was conducted on June 27, 2018.

The Commission, considering your explanation vide letter No. TSL/BSEC/2018/045 dated 27.06.18 has decided to dispose of the proceedings against Trustee Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.


Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,



Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (Registration), BSEC.
- ✓ 5. PO to Executive Director (MIS), BSEC


11.7.18

D(MIS)


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MY Govt. AD