

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/ 3080/2021/ 485

Dated: March 22, 2022

Uniroyal Securities Limited (DSE TREC # 089)
Zamman Court (2nd Floor), 45 Dilkusha C/A
Dhaka-1000

Attention: Managing Director/CEO

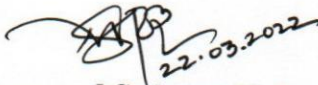
Subject: Warning to Ensure Compliance of All Securities Related Laws.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3080/2021/302 dated February 14, 2022 based on which the hearing was conducted on February 27, 2022. But, due to your nonappearance on the date as well as upon your confirmation of not receiving the show cause cum hearing notice, the hearing was rescheduled and verbally and conducted on March 14, 2022.

The Commission, considering the explanation vide letter no. Nil dated March 14, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against Uniroyal Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


22.03.2022

Muhammad Sadequr Rahman Bhuiyan
Assistant Director
Enforcement Department

Copy for information:

Chairman's Office, BSEC.
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC
Managing Director, Dhaka Stock Exchange Ltd