



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3345/2022/ 1242
Dated: September 26, 2022

By Special Messenger

United Financial Trading Co. Limited (TREC holder No.277)
PFI Tower (2nd Floor), 56-57,
Dilkusha C/A, Dhaka-1000
Bangladesh.

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3345/2022/1143 dated August 25, 2022 based on which the hearing was conducted on September 11, 2022.

Considering the explanation vide letter dated September 11, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the United Financial Trading Co. Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


Md. Amirul Islam
Assistant Director (Enforcement)
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Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O.to Executive Director (SRI), BSEC
5. MD, DSE