



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2567/2018/1146

Dated: August 29, 2022

United Financial Trading Company Limited (DSE TREC # 227)

PFI Tower (2nd Floor), 56-57

Dilkusha C/A, Dhaka-1000.

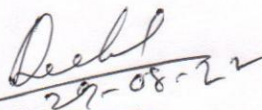
**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2567/2018/1019 dated July 31, 2022 based on which the hearing was conducted on August 21, 2022.

The Commission, considering your explanation vide letter dated 21.08.2022 has decided to dispose of the proceedings against United Financial Trading Company Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
29-08-22

Rubel Hossain

Assistant Director (Enforcement)

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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.