

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2597/2018/ ২৬৭

Dated: March 31, 2021

By Special Messenger

United Financial Trading Company Limited
(CSE TREC No. 43 and DSE TREC No. 227)
Room #203 (1st Floor) 9/E
Motijheel C/A Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2597/2018/266 dated February 09, 2022 based on which the hearing was conducted on February 24, 2021.

The Commission, considering your explanation vide letter dated 24.02.2022 has decided to dispose of the proceedings against United Financial Trading Company Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission


31.03.2022

Md. Mahmudul Hasan
Assistant Director-v (Enforcement)
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.