



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/3300/2022/1091**

**Dated: December 14, 2023**

**By Special Messenger**

United Securities Limited  
DSE TREC Holder No# 207)  
Gulshan Center Point. Level #8  
Plot # 23-26, Road # 90  
Gulshan-2, Dhaka-1212.

**Attention:** Managing Director/CEO/Alleged Person

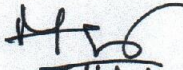
**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3300/2022/831 dated November 01, 2023 based on which the hearing was conducted on November 12, 2023.

The Commission, considering your adjustment of irregularities vide letter dated 09.11.2023 has decided to dispose of the proceedings against United Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
14.12.2023

**Md. Mahmudul Hasan**  
Assistant Director (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd  
Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC. Inter Divisional Memo no. BSEC/SMMID/DSE#207/2023/111/746 dated 17<sup>th</sup> October 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd. File reference no. DSE/MCD/BSEC/2023/019/5471 Dated 27 September 2023 dated 27<sup>th</sup> September 2023.