



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

**BSEC/Enforcement/3300/2022/993**

**By Special Messenger**

July 07, 2022

United Securities Ltd.  
(DSE TREC holder no.207)  
Gulshan Center Point, Level # 8  
Plot # 23-26, Road # 90  
Gulshan-2, Dhaka-1212

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No.BSEC/Enforcement/3300/2022/630 dated April 21, 2022 based on which the hearing was conducted on May 26, 2022.

The Commission, considering your explanations vide letters dated May 26, 2022 and June 15, 2022 has decided to dispose of the proceedings against United Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

*Mahmuda Shireen*  
07.07.22

**Mahmuda Shireen**  
Deputy Director (Enforcement)  
E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O.to Executive Director (SRI), BSEC
3. P.O to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited