

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3053/2021/949  
January 16, 2022

By Courier Service

United Securities Ltd  
Gulshan Center Point, Level # 8,  
Plot # 23-26, Road # 90,  
Gulshan-2, Dhaka-1212

Attention: Managing Director/CEO

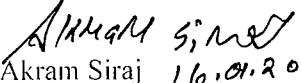
**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3053/2021/949 dated November 07, 2021 based on which the hearing was conducted on November 22, 2021.

Considering the explanation vide letter No. USL/TREC-207/BSEC/237/2021 dated November 22, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against United Securities Ltd by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

  
Akram Siraj 16.01.2022  
Assistant Director (Enforcement)  
E-mail:

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O to Executive Director (Enforcement), BSEC
3. P.O. to Director (MIS), BSEC
4. Managing Director, DSE