

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3700/2024/129

By Special Messenger

Dated: January 19, 2025

Unity Shares Trade Ltd.
(CSE TREC Holder No# 041)
1397/7, Banijja Kiran (1st Floor)
Sk. Mujib Road, Agrabad, Chattogram.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future


This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3700/2024/1032 and subsequent reschedule letter reference No# BSEC/Enforcement/3700/2024/1111 dated November 28, 2024 and December 19, 2024 based on which the hearing was conducted on January 06, 2025.

The Commission, considering your degree of non-compliances vide letter ref no# USTL/Show-Cause Reply/BSEC/2024 dated January 01, 2025, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the show cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


19.01.2025

Md. Mahmudul Hasan

Assistant Director (Enforcement)

Email: mahmudulhasan@sec.gov.bd

Copy for information:

PS to Chairman, BSEC.

PO to Commissioner (All), BSEC.

PO to Executive Director (MIAD), BSEC. With reference to SMMID (The Then SRI) note dated January 23, 2023.

PO to Executive Director (ICTD), BSEC.

Managing Director, Chittagong Stock Exchange PLC. With reference to inspection report submission date December 20, 2022.