

BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2153/2015/135

Dated: March 29, 2018

WATA Chemicals Limited 17/B (3rd floor) Monipuripara Sangshad Avenue, Dhaka-1215

Attention: Managing Director/CEO

Subject: Non-compliance of securities laws: Warning.

This refers to the Commission's show cause cum hearing notice No. SEC/Enforcement/2153/2014/253 dated August 13, 2015 based on which the hearing was conducted on November 08, 2015.

The Commission, considering your explanation vide letter No. dated November 08,2015 has decided to dispose of the proceedings against WATA Chemicals Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Yusuf Bhuiyan

Deputy Director (Enforcement)

Phone: 0255007139

Copy for information:

- 1. Managing Director, Dhaka Stock Exchange Ltd.
- 2. Managing Director, Chittagong Stock Exchange Ltd.
- 3. PS to the Honorable Chairman, BSEC.
- 4. PO to Executive Director (SRI), BSEC.
- 5. PO to Executive Director (MIS), BSEC

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