



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3488/2023/T125
Dated: December 26, 2023

By Special Messenger

WingsFin Limited
(DSE TREC Holder No# 299)
House # 49 (3rd Floor, North Side),
Road # 11, Block # H, Banani, Dhaka-1213.

Attention: Managing Director/CEO/Alleged Person

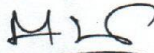
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3488/2023/1040 dated November 27, 2023 based on which the hearing was conducted on December 18, 2023.

The Commission, considering your adjustment of irregularities vide letter dated 18.12.2023 has decided to dispose of the proceedings against WingsFin Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


28.12.2023

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd
Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC. With reference no. BSEC/SMMI/DSE#299/2023/572 Dated: September 12, 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd. With reference no. DSE/MCD/BSEC/2023/019/4098 Dated: July 13, 2023.