

Bangladesh Securities and Exchange Commission  
Enforcement Division  
Enforcement Department  
Market, Market Intermediaries and Other Affairs Section  
[www.sec.gov.bd](http://www.sec.gov.bd)

**BSEC/Enf/3698/2024/ 81**  
**Dated: January 14, 2025**

**By Courier Service**

**WinsFin Ltd. (TREC # 299)**  
Uusuf Chamber (3<sup>rd</sup> Floor), 20, Dilkusha C/A  
Dhaka-1000

**Mr. Md. Ali Haidar Rashid**  
Compliance Officer  
WinsFin Ltd.

**Mr. Md. Shaheen Manamud**  
Accounts In-Charge  
WinsFin Ltd.

**Subject: Warning to Ensure Compliance with All Securities-Related Laws in the Future.**


This refers to the Commission's show cause cum hearing notice No. BSEC/Enf/3698/2024/850 dated October 31, 2024, based on which the hearing was scheduled and conducted on November 11, 2024.

The Commission, considering the explanation vide letter no. WFL/HO/BSEC\_Hearing/2024/0667 dated November 11, 2024, which was submitted at the time of hearing, has decided to **warn** you to be careful in the future and also suggested to be cautious about maintaining due diligence related to securities-related laws. Any divergence of securities-related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

You are hereby advised to follow up individual job responsibilities of the employee and make them responsible for the lapse found in the above-mentioned show-cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For the Bangladesh Securities and Exchange Commission,



**Muhammad Sadequr Rahman Bhuiyan**  
**Assistant Director (Enforcement)**

**Copy for information:**

Chairman's Office, BSEC  
Executive Director (MIAD), BSEC  
Executive Director (ICTD), BSEC  
Managing Director, Dhaka Stock Exchange Ltd.