

**Securities and Exchange Commission**  
**Enforcement Department**  
**Monthly Report**

4 March 2004

Following enforcement action was taken by the Commission during February, 2004:

Sl. No.	Date	Nature of default/Appeal	Commission's decision
01.	18/02/04	Alleged contravention of the provisions of regulation 13 of the Settlement of Stock Exchange Transactions Regulations, 1998 of Dhaka Stock Exchange Limited, rule 4 and rule 8 of the Securities and Exchange Rules, 1987, rule 3 (2) of the Margin Rules, 1999, AvPiY wea 2 (1) I 7 [wmiKDwi wJR I G. tPA Kngkb (-K wWj vi, óK tetKvi I Abtgvw` Z cÚZwbwa) weagvj v, 2000 Gi wÓZxq Zchwj G weaZ óK wWj vi, óK tetKvi t` i AvPiY wea] of the wmiKDwi wJR I G. tPA Kngkb (-K wWj vi, óK tetKvi I Abtgvw` Z cÚZwbwa) weagvj v, 2000 by Vanik Bangladesh Securities Ltd., [DSE Member No. 132].	Considering reply, the charges were withdrawn.

Farhad Ahmed  
Executive Director

**CC:**

Chairman  
Member (Admin, Registration, Surveillance & MIS)  
Member (Law, Enforcement & SRMID)  
Member (CF, IPO, CMRRCD & R&D)  
ED (CMRRCD, R&D)  
DD (MIS)- with a soft copy for uploading in the Commission's website  
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