

**SEC/Enforcement/1174/2013/39**  
**January 09 , 2014**

**By Courier**

Mr. A.S.M Sayem Chowdhury  
(Client of Be Rich Limited)  
Be Rich Limited  
C&F Tower (9<sup>th</sup> floor)  
1222,Sk. Mujib Road  
Agrabad  
Chittagong

**Subject: Warning: Non-compliance of Section 17 (e) (v) of Securities and Exchange Ordinance, 1969 in connection with trading in shares of JMI Syringes & Medical Devices Ltd**

This refers to BSEC's show cause cum hearing Notice No. SEC/Enforcement/1174/2013/ 576 dated October 10, 2013 and the broker's letter dated October 28, 2013.

The Commission, considering the broker's explanation, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default

For Bangladesh Securities and Exchange Commission

**Mustari Jahan**  
Deputy Director (Enforcement Dept.)

**Distribution**

Chief Executive Officer, Dhaka Stock Exchange Limited  
Chief Executive Officer, Chittagong Stock Exchange Limited  
Chief Executive Officer, Be Rich Limited (with a request to send the notice to the client named Mr. A.S.M Sayem Chowdhury, BO ID no. 1204180027071971)

**Copy for information:**

P.O to Commissioner (Enforcement), BSEC  
P.O to Executive Director (Surveillance), BSEC  
P.O to Executive Director (MIS), BSEC  
Chairman's office, BSEC