

বাংলাদেশের
স্বর্ণজয়ন্তী
Bangladesh



“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

Quarterly Review

October-December 2022



Ensuring Stability and Discipline towards Advancement of the Economy

Bangladesh Securities and Exchange Commission

Quarterly Review

October-December 2022



Bangladesh Securities and Exchange Commission

Securities Commission Bhaban

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Table of Contents

Sl. No.	Contents	Page No.
PART - I		
01	Bangladesh Securities and Exchange Commission	06
02	Executive Directors and Head of the Departments of the Commission	07
03	Various workshops, seminars and other special activities related to capital markets	08
3.1	‘World Investor Week’ Opening ceremony	08
3.2	Observed Sadhinota Subornojoyonti Award Ceremony	10
3.3	BSEC Chairman (Senior Secretary) Prof. Shibli Rubaiyat-Ul-Islam has become the Vice Chair of Asia Pacific Regional Committee (APRC) of International Organization of Securities Commissions (IOSCO)	12
3.4	Celebration of ‘Sheikh Russell Day 2022’	13
3.5	Courtesy meeting with Chairman of Hellenic Capital Market Commission of Greece Dr. Vasiliki Lazarak	14
3.6	MoU signed with Securities and Commodities Authority, United Arab Emirates	15
3.7	MoU signed with Dubai Financial Service Authority (DFSA)	16
3.8	Seminar program on ‘Act & Rules of Right to Information’	17
3.9	‘Investment Education Conference 2022’ in Noakhali	18
3.10	‘Investment Flash Mob’ in Japan	20
3.11	Inclusion of Investment Education in the National Curriculum	22
3.12	Workshop on Annual Performance Agreement (APA)	23
PART-II		
04	Capital Market Regulatory Reforms and Compliance	25
05	Capital Issue	26
06	Registration & Licensing	31
07	Market Surveillance and Intelligence	33
08	Supervision and Regulation of Intermediaries	35
09	Enforcement	38
10	Supervision and Regulations of Markets and Issuer Companies	39
11	Mutual Fund and Special Purpose Vehicle	50
12	Corporate Finance	57
13	Legal Service	61
14	Management Information Systems	62
15	Central Depository System	64
16	Research and Development	65
17	Enquiry & Investigation	66
18	International Affairs	67
19	Financial Literacy	68
20	Operational Statistics of Stock Exchanges	69
21	Press Release	70





The Bangladesh Securities and Exchange Commission (BSEC) was established on 8th June, 1993 as the regulator of the country's capital market through enactment of the Bangladesh Securities and Exchange Commission Act, 1993. The objectives of the Commission are protecting the interest of investors in securities, developing the securities market and making rules for matters connected therewith or ancillary thereto. The Commission consists of the Chairman and four Commissioners who are appointed for fulltime by the Government. The Chairman acts as the chief executive of the Commission. The Commission has overall responsibility to make securities related rules and regulate the market accordingly. The Commission is a statutory body and attached to the Ministry of Finance.

At present, Professor Shibli Rubayat-Ul-Islam is serving as the Chairman of the Commission and Dr. Shaikh Shamsuddin Ahmed, Dr. Mizanur Rahman, Mr. Md. Abdul Halim and Dr. Rumana Islam are serving as the Commissioners of the Commission.

PART- I

1. Bangladesh Securities and Exchange Commission



Professor Shibli Rubayat-Ul-Islam
Chairman



Dr. Shaikh Shamsuddin Ahmed
Commissioner



Dr. Mizanur Rahman
Commissioner



Mr. Md. Abdul Halim
Commissioner



Dr. Rumana Islam
Commissioner

2. Executive Directors and Head of the Departments of the Commission

Sl. No.	Name	Designation	Head of the Departments
1	2	3	4
1	Dr. ATM Tariquzzaman	Executive Director	CFD and R&D
2	Mr. Md. Anowarul Islam	Executive Director	SRI and CDS
3	Mr. Md. Saifur Rahman	Executive Director	SRMIC, Project Director (CMDP-3) and Derivatives
4	Mr. Md. Ashraful Islam	Executive Director	MIS
5	Mr. M. Hasan Mahmud	Executive Director	Registration & Licensing and Internal Audit
6	Mr. Mahbubul Alam	Executive Director	Admin & Finance and IAD
7	Mr. Mahbubur Rahman Chowdury	Executive Director	Legal Service
8	Mr. Kamrul Anam Khan	Executive Director	MF & SPV
9	Mr. Mohammad Rezaul Karim	Executive Director	CI, MSI and Spokesperson of the Commission
10	Mr. Mohammed Shafiul Azam	Executive Director	Enquiry & Investigation, Commission Secretariat and Project (FinTec)
11	Mr. Ripan Kumar Debnath	Executive Director	CMRRC and FLD
12	Mr. Mir Mosharraf Hossain	Executive Director	Enforcement and AML&CFT Wing

3. Various workshops, seminar, symposium and other special activities related to capital market

3.1. 'World Investor Week' Celebration

The opening ceremony of the 'World Investor Week' was held at the Securities Commission Bhaban in Agargaon, Dhaka on October 3, 2022 under management of BSEC. As a member of IOSCO, BSEC has been observing 'World Investor Week' every year since 2017. The Governor of Bangladesh Bank Mr. Abdur Rouf Talukdar was the chief guest at the opening ceremony and Mr. Sheikh Mohammad Salim Ullah, Secretary of FID of the Ministry of Finance was the special guest.



At the beginning of the program, BSEC Commissioner Dr. Sheikh Shamsuddin Ahmed acknowledged all those who were present on the occasion and mentioned, 'Initiatives are being taken to reach everyone by including investment education in the national curriculum.'

Then, Jean-Paul Bureaud, the Executive Director of Fair Canada, also joined the event virtually. He presented the keynote of the event and provided information regarding investor awareness and their protection.

Afterwards, a panel discussion was made as a part of the event. The Executive Director of BSEC Mr. Saifur Rahman has moderated the panel discussion. The Executive Director of BSEC Mr. Mohammad Rezaul Karim, President of BMBA Mr. Sayedur Rahman, DBA's President Mr. Richard D'Rozario and BAPLC's Secretary General Mr. Md. Amzad Hossain have participated in the panel discussion.

The Secretary of the FID Mr. Sheikh Mohammad Salim Ullah was a special guest who mentioned the various initiatives taken by the government for the development of the capital market in his speech. He added that existing problems need to be identified and proper initiatives are needed to solve those problems for developing the Capital Market in BD.

The chief guest Governor of Bangladesh Bank Mr. Abdur Rouf Talukder said, 'We will do whatever cooperation is essential for the betterment of the Capital Market. In our country, only equity market has grown but bond market didn't grow properly. If the country's Capital Market is seeing to be a good position, everyone will be benefited. If the Capital Market is developed, entrepreneurs can take the long-term fund.' At this time, he mentioned that his overall cooperation to the capital market will be continued. Then he announced the inaugural of the 'World Investor Week 2022'.

Chairperson of this event BSEC Chairman Professor Shibli Rubaiyat-UI-Islam said, 'To stabilize the capital market, we have formed the Capital Market Stabilization Fund, which is playing a helpful role for increasing the liquidity in the capital market.' He also mentioned that the Honorable Prime Minister is always looking into the capital market and according to her instructions, the Commission is working for the development of the capital market in Bangladesh.

3.2 Observed Sadhinota Subornojoyonti Award Ceremony

Bangladesh Securities and Exchange Commission (BSEC) organized ‘Sadinota Subornojoyonti Award’ Ceremony to recognize the capital market intermediaries for their contribution to the development and progress in the capital market on October 10, 2022. Three categories of award have been given i.e. for 'Stock Brokers and Dealers', 'Merchant Bankers' and 'Asset Management Companies' based on their contribution in their own field. Honorable Minister of Local Government, Rural Development and Cooperatives Ministry Mr. Md. Tajul Islam, MP was the chief guest and Mr. Sheikh Mohammad Salim Ullah, Secretary of the Financial Institutions Division of the Ministry of Finance was the special guest.



In the welcome speech BSEC's Chairman Professor Shibli Rubaiyat-Ul-Islam has mentioned, 'As a capital market regulatory authority, we are working with about eleven hundred institutions. We have tried to create competition by providing this award. We also have created enthusiasm among capital market related institutions through awards or appropriate evaluations. We hope that the capital market intermediaries including those who receive the award today, will play more proactive role for the development of the capital market in the future.'

The following intermediaries were awarded:

Stock Broker/Dealer category:

1st Prize: Island Securities Limited, 2nd Prize: Lankabangla Securities Limited and 3rd Prize: Green Delta Limited;

Merchant Banker category:

1st Prize: ICB Capital Management Ltd. & UCB Investments Ltd., 2nd Prize: Thanthi Life Finance Ltd. & City Bank Capital Resources Ltd. and 3rd Prize: Lankabangla Investment Limited;

Asset Management Company category:

1st Prize: Shanta Asset Management Ltd., 2nd Prize: Alliance Capital Asset Management Ltd. and 3rd Prize: IDLC Asset Management Limited.

The special guest Mr. Sheikh Mohammad Salim Ullah, Secretary of the Financial Institutions Division congratulated the award winners and mentioned that 'By 2041, the capital market should be gone forward to make a developed country. I hope that the capital market will contribute more for developing Bangladesh in the future.'

The Chief Guest, Hon'ble Minister Mr. Md. Tajul Islam, MP praised the winner and mentioned, 'We have competent leadership now. If we all work together, we can build Bangladesh like developed countries.'



3.3 BSEC Chairman Prof. Shibli Rubaiyat-UI-Islam has become the Vice Chair of Asia Pacific Regional Committee (APRC) of International Organization of Securities Commissions (IOSCO)

The chairman of BSEC Prof. Shibli Rubaiyat-UI-Islam has assumed the post of Vice Chair of Asia Pacific Regional Committee (APRC) of International Organization of Securities Commissions (IOSCO) on 17 October 2022. By participating in the 47th annual meeting of IOSCO held in Morocco, he took over charge from the previous committee for the period of 2022-24. BSEC also has signed a Multilateral Memorandum of Understanding for Supervisory Cooperation (‘APRC Supervisory MMoU’) with IOSCO which will increase mutual interest, relations and communication with other members countries.



3.4 Celebration of 'Sheikh Russell Day 2022'

On October 18, 2022 marked 59th birthday of Sheikh Russell, the youngest son of the Father of the Nation Bangabandhu Sheikh Mujibur Rahman. As a part of the Bangladesh Securities and Exchange Commission's program to celebrate 'Sheikh Russell Day 2022', the Commissioner Dr. Sheikh Shamsuddin Ahmed (In Charge of Chairman), Mr. Md. Abdul Halim, Dr. Mizanur Rahman and Dr. Rumana Islam have given flowers to the portrait of 'Sheikh Russell'. Then, Dr. Sheikh Shamsuddin Ahmed gave a short speech remembering Bangabandhu's son and Hon'ble Prime Minister's younger brother 'Sheikh Russell'. He demanded justice for the Bangabandhu's family who were brutally murdered on August 15, 1975. All the officers and employees of BSEC were present during the program and they showed respect to Sheikh Russell by offering flowers to the portrait of Sheikh Russell.

Moreover, as a part of the celebration of 'Sheikh Russell Day 2022', a prayer and discussion meeting were organized at the prayer room of Bangladesh Securities and Exchange Commission Building at noon.



3.5 Courtesy meeting with Chairman of Hellenic Capital Market Commission of Greece Dr. Vasiliki Lazarakou

The Chairman of BSEC Prof. Shibli Rubaiyat-Ul-Islam attended a courtesy meeting with the Chairman of Hellenic Capital Market Commission of Greece Dr. Vasiliki Lazarakou at his office in Athens, Greece on 24 October 2022. The officials of the both countries were present there.

A meaningful discussion was held in the meeting on increasing mutual interest, communication and cooperation in the capital market between the two countries, making relevant laws and regulations of capital market. It was also decided to give training in order to develop the skills of the manpower who are working in the capital market, developing new products and services in the capital market, etc. Besides these, a decision was also made to sign a MoU in Dhaka between the capital market regulators of Bangladesh and Greece very soon.



3.6 MoU signed with Securities and Commodities Authority, United Arab Emirates

A Memorandum of Understanding (MoU) was signed Between the Bangladesh Securities and Exchange Commission (BSEC) and the Securities and Commodities Authority, United Arab Emirates in Dubai on 27 October 2022.

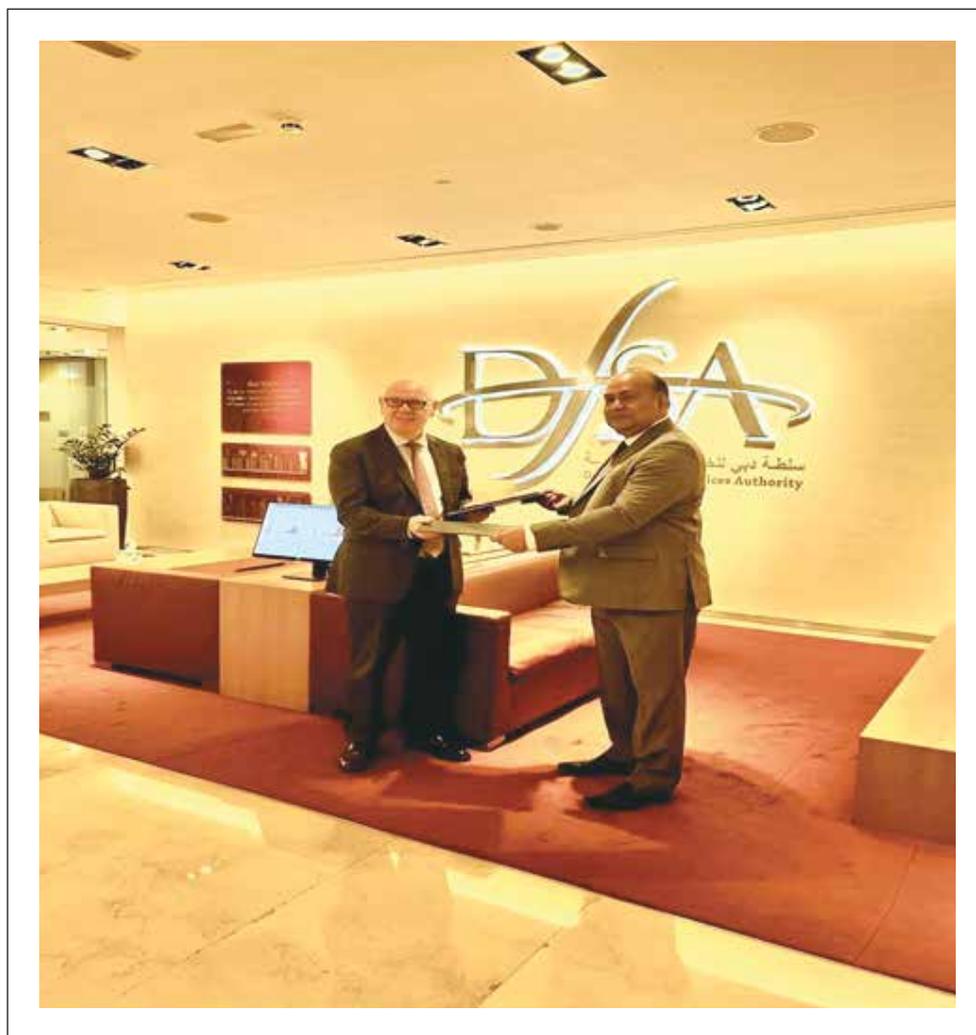
The MoU was signed by the Chairman of BSEC Professor Shibli Rubaiyat-Ul-Islam and the Chief Executive Officer (CEO) Dr. Maryam Buti AL Suwaidi of Securities and Commodities Authority (SCA), United Arab Emirates. Some officials of both organizations were also present at the MoU signing ceremony.

By signing the MoU, opportunities for dual listing, bond issue, joint subscription and commodity exchange will be created in the both capital markets. In addition, mutual communication will increase which will be played a helpful role in the formulation of laws and regulations, skill development and training of manpower working in the capital market, formulation of new products and services in the capital market.



3.7 MoU signed with Dubai Financial Service Authority (DFSA)

A Memorandum of Understanding (MoU) was signed between BSEC and Dubai Financial Service Authority (DFSA) in Dubai on 28 October 2022. By signing the MoU, mutual cooperation between the two organizations specially in supervision and enforcement activities has been created. As a result of this, mutual communication will be increased in the capital market of both countries which will play a helpful role in the formulation of laws and regulations, skill development and training of manpower working in the capital market, formulation of new products and services in the capital market etc.

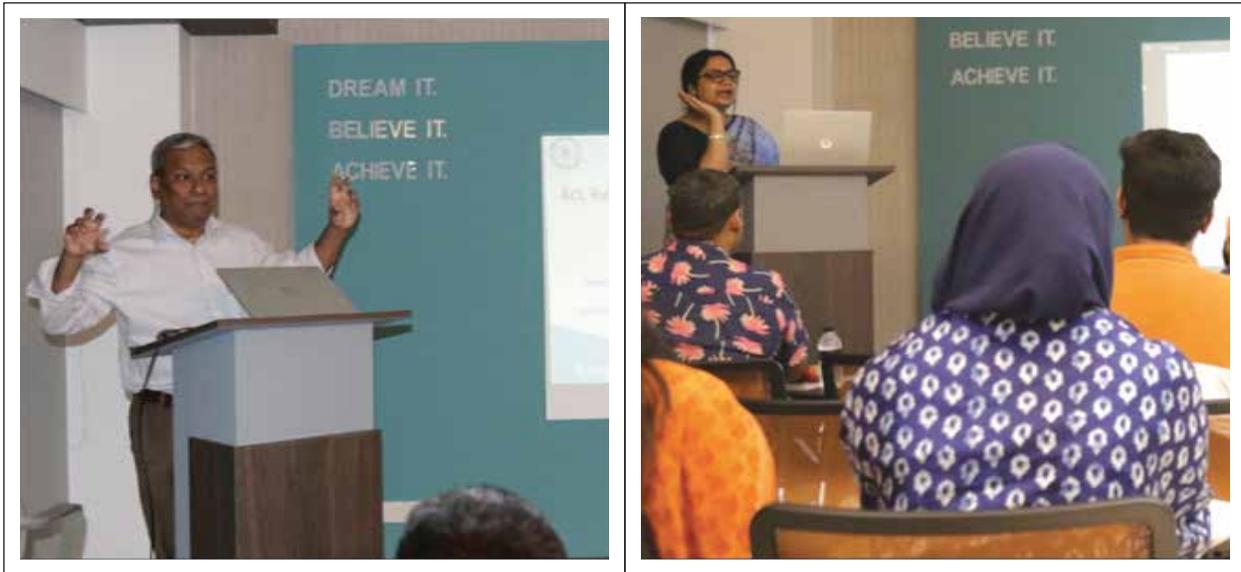


The MoU was signed by BSEC Chairman Professor Shibli Rubaiyat-Ul-Islam and the Chief Executive of Dubai Financial Service Authority Mr. Ian Johnston, respective officials of both organizations were also present at the MoU signing ceremony.

BSEC Chairman Professor Shibli Rubaiyat-Ul-Islam said, ‘After fifty years of independence and friendship with Emirates, the new level of cooperation between BSEC and DFSA will go a long way towards the growing and vibrant capital markets of our two countries.’

3.8 Workshop on ‘Act & Rules of Right to Information’

A workshop on ‘Act & Rules of Right to Information’ was held on 27th October 2022 in the Securities Commission Bhaban at Agargaon, Dhaka. The program was chaired by Commissioner Dr. Shaikh Shamsuddin Ahmed and conducted by Executive Director and Designated Officer (RTI) Mr. Mohammad Rezaul Karim. Dr. Rumana Islam, commissioner & Appeal Authority (RTI) of BSEC, was the chief guest of the workshop.



3.9 'Investment Education Conference 2022' in Noakhali

Bangladesh Securities and Exchange Commission (BSEC) and Bangladesh Academy for Securities Markets (BASM) jointly organized 'Investment Education Conference 2022' in Noakhali on November 19, 2022 as a part of nationwide investment education program.



The conference began with welcome speech of the Commissioner of BSEC Dr. Sheikh Shamsuddin Ahmed. In that time, he also presented the keynote paper entitled 'Investing in the Bangladesh capital market'. He mentioned in his speech in details about Capital Market structure and products, recent inflation of Bangladesh, central bank reserve etc.

Then, Mr. Mohammad Rezaul Karim, ED of BSEC presented the article entitled 'Smart & Intelligent Investor'. He discussed regarding how the smart investment decisions should be made through proper planning.

After that, a panel discussion was held by Md. Shakil Rizvi, Director of DSE; Mr. Mohammad Mohiuddin FCMA, Managing Director of Island Securities Limited; Mr. Ahsanur Rahman, Chief Executive Officer of BRAC EPL Stock Brokerage Limited and Mr. Richard De Rozario, President of DSE Brokerage Association. Later, the Panel discussants and BSEC Executive Director Mr. Md. Saifur Rahman and Executive Director Mr. Mohammad Rezaul Karim answered the questions of the investors.

Former Chairman of Bangladesh University Grant Commission, Emeritus Professor and former Vice-Chancellor of University of Dhaka Dr. A.K. Azad Chowdhury gave the chief guest's speech in the event. He said, 'Honorable Prime Minister Sheikh Hasina continues to fulfill the dream of the father of the nation'. He praised the incredible success of Bangladesh in past 50 years.

Deputy Commissioner of Noakhali district Mr. Dewan Mahbubur Rahman, Superintendent of Police of Noakhali Mr. Md. Shahidul Islam PPM and Director of Noakhali Chamber of Commerce and Industry Mr. Tapan Chandra Majumdar also spoke in the occasion as special guests.

Chairman of BSEC Professor Shibli Rubaiyat-Ul-Islam delivered the Chair's speech on the event. He said to the investors, 'The development of the country will be achieved through the expansion of business and trade and industrialization from your investment. Take investment education and invest by understanding the risk of investment.' He expressed optimism for the golden future of Bangladesh and praised the leadership of Hon'ble Prime Minister Sheikh Hasina in running and leading the country.

The Commissioner of BSEC Dr. Sheikh Shamsuddin Ahmed delivered the closing speech. He said, 'We will go to every district with investment education.' He bade thanks to the people of Noakhali and said that they are encouraged by the response of the people of Noakhali.

3.10 'Investment Flash Mob' in Japan

Bangladesh Securities and Exchange Commission and Bangladesh Investment Development Authority jointly organized 'Investment Flash Mob' in Japan on November 29, 2022. Many Investors and businessmen of Japan and Bangladesh participated in the program held at the ANA Intercontinental Hotel in Tokyo. At the beginning of the program, an audio visual was shown. Then, the main program begins with the performance of the national anthem.



BSEC Chairman Professor Shibli Rubaiyat-Ul-Islam presided over the 'Investment Flash Mob'. At this time, he presented a presentation titled '50 Years of Bangladesh: The Rise of Bengal Tiger'. He mentioned in his speech that Bangladesh has strategic advantageous location in South Asia geopolitically. He also highlighted the immense potential of development of Bangladesh due to its human resources, natural resources, land and water resources and religious & political stability, GDP growth, reduction of poverty, reduction of child mortality, increase in food production, increase in women's participation in the workplace under the leadership of Honorable Prime Minister Sheikh Hasina, who was recognized by the SDG Progress Award and Champion of Earth etc. He also illustrated the growth of Bangladesh capital market returns, market capitalization and capital raising in the Bangladesh market since 12 years.

Mr. Lokman Hossain Miah, Executive Chairman of Bangladesh Investment Development Authority (BIDA) presented a presentation titled 'Foreign Direct Investment'. He talked about export processing zones, economic zones and hi-tech parks in Bangladesh. He encouraged to the Japanese investors to invest in Bangladesh. He also commented that Bangladesh needs Japan's world-class technology, productivity and management for industrialization.

Commissioner of BSEC Dr. Sheikh Shamsuddin Ahmed gave a presentation on 'Portfolio Investment

Process’. He discussed how non-resident Bangladeshis and foreign investors can easily invest in Bangladesh. By this time, he talked about the various products of the capital market of Bangladesh. He highlighted the special incentives for non-resident Bangladeshi investors and foreign investors in the capital market of Bangladesh and urged investors to invest in the capital market (NRB) of Bangladesh.

Mr. Salman Fazlur Rahman, Private Industry and Investment Adviser to the Honorable Prime Minister of the Government of Bangladesh spoke as the chief guest of the ‘Investment Flash Mob’ event. He spoke about development and investment potential of Bangladesh in the international arena. Referring to Japan as one of the first countries to recognize Bangladesh, he said, ‘The relationship between Bangladesh and Japan is staying very high level. Under the leadership of Honorable Prime Minister Sheikh Hasina, Bangladesh has transformed into digital Bangladesh and in future Bangladesh will become a smart Bangladesh.’ He wished Japan's cooperation will be continued in future for development of Bangladesh.

Mr. Shahabuddin Ahmed, Ambassador of Bangladesh Embassy in Japan spoke as a special guest. He recalled the celebration of 50 years of Japan-Bangladesh relations and spoke about strengthening the relationship between the two countries. He commented that the achievements of the past 50 years will serve as inspiration for the people of Bangladesh for the next 50 years.

The Senior Secretary of the Ministry of Foreign Affairs of Bangladesh, Mr. Masud Bin Momen also spoke as a special guest. He highlighted Japan's direct assistance in the various mega projects including Matarbari thermal power plant, Matarbari seaport in Bangladesh and thanked to Japan Government for financial and technical support. He expressed that Bangladesh will always be a friendly destination for Japanese investors.

Japan's Ambassador to Bangladesh Mr. Kimonori Iwama also attended the ‘Investment Flash Mob’ program and greeted everyone.

3.11 Inclusion of Investment Education in the National Curriculum

Bangladesh Securities and Exchange Commission (BSEC) has adopted a plan to include investment education in the national curriculum. On 06 December 2022, a meeting was held at the Securities Commission building regarding that matter.



Mr. Mohibul Hassan Chowdhury MP, Honorable Deputy Minister of Education was present as the chief guest in that meeting. The meeting was presided by the Chairman of BSEC Professor Shibli Rubaiyat-Ul-Islam. BSEC Commissioner Dr. Sheikh Shamsuddin Ahmed, Dr. Mizanur Rahman, Md. Abdul Halim, Dr. Rumana Islam; Executive Director Mr. Md. Mahbubul Alam, Mr. Ripon Kumar Debnath; Director Md. Mansoor Rahman and other high officials related with the education sectors were also present in this meeting.

At the beginning of the meeting, Professor Shibli Rubaiyat-Ul-Islam highlighted the importance of inclusion of investment education in the national curriculum of education. He said, 'If the basic concept of investment is imparted at the school and college level, later on pupils will be able to take investment decisions efficiently.'

Honorable Deputy Minister of Education discussed the necessity of investment education and mentioned, 'New curriculum for education is underway at the school level in which the existing group system is no longer in place. Students are required to have a minimum knowledge or understanding for investment from student life. So, it should be included in National Curriculum'.

BSEC Commissioner Dr. Sheikh Shamsuddin Ahmed explained the various contexts including entrepreneurship creation, self-employment in investment education to mitigate investment risks. However, a preliminary discussions were held to include savings, investments, risk related issues in the education program of Bangladesh.

3.12 Workshop on Annual Performance Agreement (APA)

BSEC's APA team organized a two days long workshop on implementation of action plan of the Annual Performance Agreement (APA) in a hotel located at the tourist spot Kuakata, Patuakhali on 23-24 December 2022. The program dealt with Commission's action plan and recommendations for activities of the Annual Performance Agreement (APA) 2023-24 through training and workshop. Commission officials from different levels participated in the workshop and they review and present action plans on group-based assigned topics.



Commissioner Mr. Md. Abdul Halim is presenting his speech in the workshop



Officials of various levels of the commission participated in the workshop

PART- II

04. Capital Market Regulatory Reforms and Compliance (CMRRC)

The Following amendments/orders/directives have been issued in October-December 2022

Sl. No.	Subject	Classification	Reference No.
1	2	3	4
1	Order regarding uniform reporting platform for reporting under the Bangladesh Securities and Exchange Commission (Risk Based Capital Adequacy) Rules,2019.	Order	No. BSEC/CMRRCD/2009-193/47, Dated 03 October, 2022
2	Notification regarding issuance of capital through bonus shares or stock dividend.	Notification	No. BSEC/CMRRCD/2009-193/46/Admin/138, Dated 03 October, 2022
3	The Partex Cables Limited has been exempted from the provision of clause (p) of sub-rule (2) of rule 3 of the Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2015 in order to raise paid-up capital through initial public offer and such exemption Notification has been sent for publication in the Bangladesh Gazette.	Notification	No. BSEC/CMRRCD/2009-193(Part#06)/50/Admin/139, Dated 23 November, 2022
4	Order regarding transaction of listed securities by the clients of block market at 10% lower than the floor price under the existing circuit breaker system has been issued.	Order	No. BSEC/CMRRCD/2001-07/49, Dated 15 November, 2022
5	Directive regarding extension of time to fulfill the requirement of maintenance of minimum Net Worth by Merchant Bankers has been issued.	Directive	No. BSEC/CMRRCD/2001-193/48, Dated 13 November, 2022
6	Order regarding partial withdrawal of floor price.	Order	No. BSEC/CMRRCD/2009-193/51, Dated 21 December, 2022
7	Bangladesh Securities and Exchange Commission (Insider Trading) Rules, 2022 has been sent for publication in the Bangladesh Gazette.	Notification	No. BSEC/CMRRCD/2021-396/52/Admin/140, Dated 28 December, 2022

05. Capital Issue

Capital Issue Department of the Commission performs the works related to the approval of capital raising through issuance of equity securities, debt securities and sukuk under public and privater offer. In addition to that, the department also performs the tasks related to the right issue, bonus share issue of listed company, direct listing of the securities to the stock exchange and issuance of asset backed securities. The Commission has accorded consent to the companies/issuers who are eligible under the following rules:

- Securities and Exchange Commission (Issue of Capital) Rules, 2001
- Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2015
- Bangladesh Securities and Exchange Commission (Qualified Investor Offer by Small Capital Companies) Rules, 2018
- Securities and Exchange Commission (Rights Issue) Rules, 2006
- সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন (রাইট ইস্যু) রুলস, ২০০৬
- Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021

• Initial Public Offer (IPO):

From October-December 2022, the Commission has given consent to 1 (One) company to issue prospectus for raising capital of BDT. 202,611,060 through Initial Public Offer (IPO) under Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2015.

• Capital Raising through Private and Public Offer:

Public Limited Company:

From October-December 2022, the Commission has accorded consent to 8 (eight) public limited company to raise fund/capital through issuance of Bond of BDT. 43,500,000,000 under Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021. The details are given below:

Type of Instrument	No. of Company	Amount in BDT		
		Public Offer (BDT)	Private Offer (BDT)	Total Offer (BDT)
1	2	3	4	5
Perpetual Bond	1	200,000,000	1,800,000,000	2,000,000,000
Subordinated bond	7		41,500,000,000	41,500,000,000
Sub Total	8			43,500,000,000

Private Limited Company:

From October-December 2022, the Commission has accorded consent to 1 (one) private limited company to raise fund/capital through issuance of Sukuk of BDT. 3,000,000,000 under Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021. The details are given below:

Type of Instrument	No. of Company	Amount in BDT		
		Public Offer (BDT)	Private Offer (BDT)	Total Offer (BDT)
1	2	3	4	5
Sukuk	1		3,000,000,000	3,000,000,000
Sub Total				3,000,000,000

Raising of paid-up capital through bonus share by listed companies:

The Commission has accorded consent to 6 (Six) listed companies for raising capital through issuance of bonus shares of BDT. 227,846,419 under Rule 3 of Securities and Exchange Commission (Issue of Capital) Rules, 2001 as per the requirements of the notification no. BSEC/CMRRCD/2009-193/23/Admin/123 dated June 30, 2021 from the period October 01, 2022 to December 31, 2022.

Commission accorded consent for publication of prospectus during October-December 2022, under Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2015.

Sl. No.	Name of the company	Date of consent	Paid up Capital (Before IPO) Amount in BDT	Issue Price Amount in BDT	Number of shares issued	IPO amount inTK. (Inculding premium if any)Amount in BDT	Paid up Capital (Post IPO) Amount in BDT
1	2	3	4	5	6	7	8
1	Islami Commercial Insurance Company Limited	18/10/22	303,916,600	10	20,261,106	202,611,060	506,527,660
					Total=	202,611,060	

Consent for raising of Capital through issuance of Bond, Debenture & Sukuk (Public and Private Offer) during October-December 2022,

SI. No.	Name of the Company	Particulars	Consent Letter issued	Public Offer (IPO) (Amount in BDT)	Private Offer (Amount in BDT)	Total Amount in BDT
1	2	3	4	5	6	7
1	Dhaka Bank Limited	Contingent-Convertible, Perpetual, Floating rate Bond of BDT. 200 crores	16-Oct-22	200,000,000	1,800,000,000	2,000,000,000
2	Mutual Trust Bank Limited	Non-convertible Unsecured floating Rate Fully-redeemable Subordinated bond of BDT 500 crore	16-Oct-22		5,000,000,000	5,000,000,000
3	Banga Building Materials Limited	Secured, Non-convertible and Fully Redeemable Asset Backed sukuk of Tk. 300 crore	25-Oct-22		3,000,000,000	3,000,000,000
4	IPDC Finance Limited	Non-convertible Unsecured floating Rate Fully-redeemable Subordinated bond of BDT 150 crore	7-Dec-22		1,500,000,000	1,500,000,000
5	United Commercial Bank Limited	Unsecured Fully Redeemable Subordinated Bond of BDT 10,000,000,000	28-Dec-22		10,000,000,000	10,000,000,000
6	Trust Bank Limited	6th Non-convertible, Unsecured Floating Rate Subordinated Bond of BDT 4000 million	29-Dec-22		4,000,000,000	4,000,000,000
7	Pupali Bank Bangladesh Limited	Non-convertible, privately placed, Floating rate 3rd subordinated bond of BDT 7,000,000,000	29-Dec-22		7,000,000,000	7,000,000,000
8	Islami Bank Bangladesh Limited	IBBL Fourth Mudaraba Non-Convertible Unsecured Floating Rate Fully-redeemable Subordinated bond of BDT 800 crore	29-Dec-22		8,000,000,000	8,000,000,000
9	Social Islami Bank Limited	SIBL 4th Non-convertible Unsecured Mudaraba Subordinated Floating Rate bond of BDT 600 crore	29-Dec-22		6,000,000,000	6,000,000,000
Total Taka						46,500,000,000



Summary Status of Bond, Debenture and Sukuk which have been approved by Bangladesh Securities and Exchange Commission during October-December 2022

Particulars	Issuer Category	No. of Company	Public Offer (IPO) (Amount in BDT)	Private Offer (Amount in BDT)	Total Amount (Taka)
1	2	3	4	5	6
Public Limited Company					
Listed Company					
Perpetual Bond	Bank & NBFi	1	200,000,000	1,800,000,000	2,000,000,000
Subordinated bond	Bank & NBFi	7		41,500,000,000	41,500,000,000
Zero Coupon bond	Bank & NBFi				
Zero Coupon Bond	Corporates				
Bond	Bank & NBFi				
Sukuk	Corporates				
Unlisted Company					
Zero Coupon bond					
Bond					
SubTotal					43,500,000,000
Private Limited Company					
Zero Coupon Bond	Corporates				
Bond	Corporates				
Sukuk	Corporates	1		3,000,000,000	3,000,000,000
Sub Total					3,000,000,000
Total					46,500,000,000

Commission accorded consent for raising of capital through Stock Dividend by the way of bonus shares by listed company during October-December 2022 under Bangladesh Securities and Exchange Commission Notification No. BSEC/CMRRCD/2009-193/23/Admin/123.

Sl. No.	Name of the company	Date of consent	Paid up Capital (Before issuing bonus share) BDT	Face Value	Number of bonus shares issued	Total amount of Bonus share in BDT	Paid up Capital (after Issuing bonus share) BDT
1	2	3	4	5	6	7	8
1	Republic Insurance Company Limited	16-Nov-22	496,182,830	10	2,480,914	24,809,142	520,991,972
2	Fortune Shoes Limited	8-Dec-22	1,625,354,890	10	8,126,774	81,267,744	1,706,622,634
3	Coppertech Industries Limited	13-Dec-22	630,000,000	10	2,520,000	25,200,000	655,200,000
4	Queen South Textile Mills Limited	19-Dec-22	1,439,636,220	10	8,637,817	86,378,173	1,526,014,393
5	H.R. Textile Mills Ltd.	21-Dec-22	265,650,000	10	2,656,500	26,565,000	292,215,000
6	Desh Garments Limited	21-Dec-22	75,348,560	10	753,486	7,534,860	82,883,420
		Total				251,754,919	

06. Registration & Licensing

Registration & Licensing department performed the following activities during October-December 2022

SI. No.	Type of Certificate	Name of the concerned Rules	Number of Certificate Issued	Number of Certificate Renewed	Permission for Branch Opening of SB	Relocation/ shifting of Branch office for MB and SD/SB
1	2	3	4	5	6	7
1	Stock Dealer (DSE)	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (স্টক ডিলার, স্টক ব্রোকার ও অনুমোদিত প্রতিনিধি) বিধিমালা, ২০০০	06	60		
	Stock Broker (DSE)	-এ-	05	60	14	
2	Stock Dealer (CSE)	-এ-	02	27		
	Stock Broker (CSE)	-এ-	02	36	01	
3	Authorized Representative (DSE)	-এ-	181	76		
4	Authorized Representative (CSE)	-এ-	52	-		
5	Merchant Bank (MB)	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মার্চেন্ট ব্যাংকার ও পোর্টফোলি ম্যানেজার) বিধিমালা, ১৯৯৬	Nil	N/A		
6	Asset Management Company	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচুয়াল ফান্ড) বিধিমালা, ২০০১	03	N/A		
7	Security Custodian	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (সিকিউরিটি কাস্টডিয়াল সেবা) বিধিমালা, ২০০৩	Nil	Nil		
8	Depository Participants	ডিপজিটরি (ব্যবহারিক) প্রবিধানমালা, ২০০৩	03	102		

SI. No.	Type of Certificate	Name of the concerned Rules	Number of Certificate Issued	Number of Certificate Renewed	Permission for Branch Opening of SB	Relocation/ shifting of Branch office for MB and SD/SB
1	2	3	4	5	6	7
9	Trustee of Debt Securities	Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021	07	N/A		
10	Trustee	Bangladesh Securities and Exchange Commission (Alternative Investment) Rules, 2015	01	N/A		
11	Trustee	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচুয়াল ফান্ড) বিধিমালা, ২০০১	Nil	N/A		
12	Fund Manager	Bangladesh Securities and Exchange Commission (Alternative Investment) Rules, 2015	Nil	N/A		
13	Custodian for Mutual Fund	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচুয়াল ফান্ড) বিধিমালা, ২০০১	Nil	N/A		
14	Credit Rating Company	Credit Rating Companies Rules, 1996	Nil	N/A		
15	Permission of Digital Booth	Directive Date 13 December 2020	37	N/A		
16	Market Maker	বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন (বাজার সৃষ্টিকারী) বিধিমালা, ২০১৭	Nil	01		

07. Market Surveillance and Intelligence (MSI)

● Market Surveillance:

The primary responsibility of market surveillance has been entrusted to the stock exchanges. However, BSEC keeps a proactive oversight on market movements and trends and in exceptional circumstances, it analyses the same.

● Instant Watch:

As part of daily online supervision of the market, the surveillance officials of the Commission analyze the trading activities of the stock exchanges in order to find out irregularities, suspicious trading and market misconducts, if any through 'InstantWatch Market' surveillance system. At the end of the day, a daily trade report is prepared by pointing out market conditions and is submitted to the Chairman, the Commissioners and the concerned Executive Director of the Commission.

● Market Intelligence:

The surveillance officials of the Commission use their intelligence and observe suspicion with 360-degree view to find financial crime in depth through watching stakeholder's website, relevant news and report and 'Business Intelligence' of 'InstantWatch Market' system.

● Enquiry & Investigation:

In order to ensure fair trading and build confidence of investors in the securities market, it is necessary to ensure proper compliance of securities related laws, rules and regulations through investigation into suspicious and manipulative cases.

During October-December 2022, Dhaka Stock Exchange Limited (DSE) and Chittagong Stock Exchange Limited (CSE) have submitted the following investigation reports to the Commission;

Sl. No.	Investigation Reports	Status
1	Sonargaon Textiles Ltd.	The report has been disposed of since DSE did not find any misconduct.
2	Bashundhara Paper Mills Limited	The report has been disposed of since DSE did not find any misconduct.
3	Nahee Aluminum Composite Panel Ltd.	Based on the report submitted by DSE share trading within the same client in the block market have been placed for approval to take enforcement action
4	Orion Infusion Ltd.	Based on the report submitted by DSE share trading within the same client in the block

SI.	Investigation Reports	Status
		market have been placed for approval to take enforcement action.
5	Apex Weaving and Finishing Mills Limited	The report is referred to enforcement department for further necessary action.
6	Aamra Networks Limited	The report is referred to enforcement department for further necessary action.
7	Nialco Alloys Limited	The report is referred to enforcement department for further necessary action.

● Proposals (proposal placed/approved) for Enquiry/Investigation

- Proposal for enquiry on the share trading of Eastern Housing Limited and Sonali Aansh Industries Limited have been placed for approval
- A two members team has been formed for enquiry on the share trading of Gemini Sea Food Limited.
- Proposal for enquiry on the share trading of Intraco Refueling Station Limited has been placed for kind approval.

● Social Media related Activities

- Inspection report on Mr. Mizanur Rahman and social media has been submitted by the inspection team.
- Social media wing has submitted reports for the months from October-December, 2022 regarding monitoring social and other media such as Facebook, twitter, whatsapp, printing & electronic media regarding spreading of rumor and unauthenticated information which may have significant influence on trading.
- The convener of Social Media Monitor Wing along with MIS Department have participated in a meeting of National Telecommunications Monitoring Center regarding securing IT database of the Commission and training on social media.

● Coordination Meeting among the Stakeholders & Regulatory Bodies:

A meeting between MSI Department of the Commission and the representatives (Head of Treasury /CFO) of all the banks regarding issues on investment in GSEC, Bond & Capital Market exposure has been taken place.

● Miscellaneous activities

- Hearing of some investors has been conducted on the share trading of Yousuf Flour Mills Ltd.

08. Supervision and Regulation of Intermediaries (SRI)

In order to protect the interest of investors in securities, to increase the confidence of investors towards the capital market and to develop the securities market, SRI Department supervises and regulates the activities of Stock Dealers/Stock Brokers, Depository Participants, Merchant Bankers, Credit Rating Companies, Securities Custodian, Asset Management Companies and other market intermediaries. This Department conducts routine inspection on the market intermediaries on monthly basis and also conducts special inspection as and when required. The department also deals with the complaints lodged by the investors. During October-December 2022 the following activities, among others, were performed by this Department:

A. Settlement of Complaints of Investors:

SRI department is responsible for settling the complaints of the investors of securities market. Since, September 30, 2019, the investors have been submitting their complaints in online through Customer Complaint Address Module (CCAM). Using the Module, the investors can easily track the current status of their complaints in online. As a result, efficiency, transparency and accountability in complaint settlement have been increased significantly. A summary of the complaints lodged by investors through CCAM are furnished below:

Type of Institutions against which complaint received	Number of complaints received	Resolved	Under process
Stock Broker/Stock Dealer	20	14	6
Merchant Bank	3	2	1
Custodian	2	2	2
Total	25	18	7

In addition to the complaints lodged through CCAM, investors also lodged complaints to the Commission manually.

A summary of the said complaints is furnished below:

Type of Institutions against which complaint received	Previous unresolved complaints	complaints received during the period	Total Complaints	Sent for Enforcement Action	Number of Complaints Resolved	Uploaded in CCAM	Under process
Stock Broker/Stock Dealer	-	8	8	6	1	1	-
Total	-	8	8	6	1	1	-

B. Exchange of Information with Government Organizations:

An online Platform called External Data Request Processing (EDRP) was introduced on April 15, 2019 to facilitate exchange of information with different government agencies including Anti-corruption Commission (ACC). As per request of different government organizations, information has been provided to them using the platform. Summary is shown in the table below:

Name of the Institutions who requested information	Number of Letters received	Number of letters against which information provided	Under process
Anti-Corruption Commission (ACC)	161	161	-
Criminal Investigation Department (CID)	7	7	-
National Board of Revenue (NBR)	1	1	-
Others	2	2	-
Others	171	171	-

C. Inspections on Market Intermediaries:

During October-December 2022, SRI Department has initiated 11 inspections on capital market intermediaries which are shown below:

Type of Market Intermediaries	Number of Inspections	Number of Reports Submitted	Under Process
Stock Broker/Stock Dealer	3	1	2
Merchant Bank	4	4	-
Asset Management Company	1	1	-
Security Custodian	2	2	-
Credit Rating Company	1	1	-
Others	11	9	2

D. The Commission has started a new department namely Enquiry and Investigation Department by Office order No. BSEC/Admin/41:00/1995 (Part-13)-698 dated 09 June 2022. All Enquiry and Investigation will be completed under this department.

E. Action taken on the inspection reports submitted by the inspection teams:

The inspection teams of SRI Department conducted inspection on market intermediaries and submitted reports to the Commission. Besides that, as per instructions of the Commission, Chittagong Stock Exchange Limited (CSE) and Dhaka Stock Exchange Limited (DSE) conducted routine inspection on the TREC holder companies and submitted reports to the Commission. During October-December 2022, the following actions were taken on the inspection reports received by SRI Department.

Inspection conducted by	Previous reports under examination	Reports received during the period	Total Reports	Sent for enforcement action	Disposed of with warning letter issued by SRI Department	Disposed of without any action	Under process
DSE	-	8	8	4	1	1	2
CSE	-	5	5	-	-	5	-
Total	-	13	13	4	1	6	2

F. Examination of Audited Financial Statements of TREC holder companies:

SRI Department, in collaboration with the stock exchanges, examines the Audited Financial Statements (AFS) of TREC holder companies. During October-December 2022, the following activities have been performed regarding examination of audited financial statements of TREC holders:

Particulars	Number of TREC Holder Companies	
	CSE	DSE
Examination of the Audited Financial Statements of TREC Holder companies by the stock exchanges	CSE	DSE
Conduction of meeting with the TREC holder companies by the stock exchanges	1	25

G. Appointment of Managing Director/CEO of Merchant Bankers:

During October-December 2022, the following activities have been performed by the Department regarding appointment of Managing Director/CEO of Merchant Bankers:

Matter of Application	Number of previous applications	Number of applications received during the period	Total number of applications	Approve by the Commission	Decline by the Commission	Under process
Appointment of Managing Director/CEO	4	10	14	9	-	5
Total	4	10	14	9	-	5

09. Enforcement

The Enforcement Department takes legal measures, including imposition of fines, considering the intensity of the violation of securities laws against the accused in the capital market. Inspections, investigations and enquiries are carried out under the securities laws by the Commission. On the basis of the violations mentioned in the inspection, enquiry and investigation report, show cause cum hearing notice is issued to the accused for violation of securities related laws. After conducting hearing and obtaining explanation from the accused, the Commission takes legal action against the accused in accordance with the Securities Laws.

The Enforcement actions taken by the Commission against the Issuer Companies, Stock Broker, Stock Dealers, Merchant Bankers, Authorized Representatives and other concerned due to non-compliance of securities related laws during the period October to December, 2022 are furnished below:

Sl. No.	Particulars	Penalty	Warning	Dispose off	Total
1	Issuer Company/Director	1	1	0	2
2	Stock Broker/Stock Dealer/Authorized Representative	1	71	28	100
3	Merchant Bank	0	1	0	1
4	Asset Management Company	1	0	0	1
5	Investor	16	43	0	59
6	Others	1	3	0	4
	Grand Total	20	119	28	167

10. Supervision and Regulation of Markets and Issuer Companies (SRMIC)

SRMIC Department of the BSEC supervises activities of stock exchanges, over the counter (OTC) markets and issuer companies in accordance with the securities laws. Besides, the department also resolves complaints received against issuer companies under the securities laws. The functions of SRMIC are enumerated below:

- a) Compliance and monitoring of board meetings, Annual General Meeting, EGM, e-voting and its relevant compliances of issuer companies;
- b) Compliance and monitoring of Price Sensitive Information (PSI) or Material information and insider trading (if any);
- c) Compliance and monitoring of declaration of buy, sell or transfer of shares by sponsors & directors and placements shareholders;
- d) Compliance and monitoring periodical shareholding reports and other submissions as required by the Listing Regulations;
- e) Monitoring issues related to declaration of no dividend and undistributed dividend;
- f) Monitoring all activities of stock exchanges (except securities transactions) and affairs of CCBL;
- g) Reforms of Z-Category companies & OTC Companies;
- h) Monitoring of the companies having paid-up capital less than 30.00 crore;
- i) Monitoring and compliance or inter-company's loan;
- j) Monitoring of different traded or non-traded debt instruments;
- k) Comments on merger, amalgamation/acquisition;
- l) Comments on Prospectus of IPO/RPOs, comments on legal issues from LSD;
- m) Addressing the whole sort of complaints against listed issuer companies;
- n) Approval of transfer of share of listed companies outside the stock exchanges;
- o) Departmental report relating to publication of monthly, quarterly and annual report which send to the Ministry as well as Parliament;
- p) Any other job assigned by the Commission.



In the light of the above-mentioned regular functions, the SRMIC Department performs the following special functions to bring dynamism in the capital market during the period October-December, 2022:

- Under the relevant announcement of substantial securities purchase/sale/transfer by the sponsors /directors of the listed companies - five companies have been approved as follows:
 1. Ring shine Textiles Ltd.
 2. Sena Kallayan Insurance Co. Ltd.
 3. South Bangla AgriCultural Bank Ltd.
 4. Padma Printers & Colors Ltd.
 5. Pioneer Insurance Co. Ltd.
- Order has been issued to investigate of three companies to ensure overall good governance of listed companies as follows:
 1. Simtex Industries Ltd.
 2. Bengal Biscuits Ltd.
 3. Ashraf Textile Mills Ltd.
- It has been sent to the enforcement department to take action against two listed companies:
 1. Libra Infusion Ltd.
 2. South Bangla Agriculture and Commerce Bank Ltd.
- Meeting with total nineteen issuer companies and stake holders on other matters including one meeting regarding dividend declaration of listed companies.
- Twenty-three complaints received related to capital market have been sent to stock exchanges and companies for settlement. (Table attached)

Complaint Against Listed Companies: (October, 2022 to December, 2022)

Nature of Complaint	No. of Complaints	Under Process	Resolved
Non-payment or delay in payment of dividend	11	4	7
Non-payment of debenture installment	-	-	-
Regarding transfer of shares	-	-	-
Non-receipt of letter of rights share offering	1	-	1
Non-receipt of annual report	-	-	-
Refund warrant	-	-	-
Non-demat of shares	1	1	-
Miscellaneous	10	7	3
Total	23	12	11

NB: This is the status of complaints forwarded by Bangladesh Securities and Exchange Commission, lodged directly to DSE and via Customer Complaint Address Module (CCAM) during the period October, 2022 to December, 2022.



List of Companies who hold its AGM from October 2022 to December 2022

Sl. No.	Code No.	Name of the Company	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
1	25718	Sandhani Life Insurance Ltd	202112	18-10-2022	12.00	-	21-09-2022	01-09-2022
2	25726	Meghna Life Insurance Co. Ltd.	202112	19-10-2022	15.00	10%B	25-09-2022	05-09-2022
3	18464	The IBN SINA Pharmaceutical Industry Ltd.	202206	30-10-2022	60.00	-	29-09-2022	06-09-2022
4	11148	Bay Leasing & Investment Ltd.	202112	30-10-2022	-	-	06-10-2022	15-09-2022
5	15306	Summit Power Ltd.	202206	31-10-2022	20.00	-	06-10-2022	15-09-2022
6	23601	Apex Tannery Limited	202206	09-11-2022	10.00	-	03-10-2022	11-09-2022
7	20620	Eastern Housing Limited	202206	09-11-2022	20.00	-	12-10-2022	18-09-2022
8	23619	Apex Footwear Limited	202206	23-11-2022	35.00	10%B	24-10-2022	02-10-2022
9	13240	KDS Accessories Limited	202206	24-11-2022	16.00	-	01-11-2022	03-10-2022
10	11136	Bangladesh Industrial Fin. Com. Ltd.	201812, 2019 & 202012	29-11-2022	Nil	-	20-10-2022	14-09-2022
11	15311	Titas Gas Trans. & Dist. Co. Ltd.	202206	29-11-2022	10.00	-	03-11-2022	13-10-2022
12	11146	FAS Finance & Investment Limited	202112	01-12-2022	Nil	-	07-11-2022	18-10-2022
13	27002	Bangladesh Submarine Cable Company Limited	202206	03-12-2022	46.00	-	07-11-2022	11-10-2022
14	17456	Argon Denims Limited	202206	05-12-2022	10.00	-	17-11-2022	27-10-2022
15	17472	Evince Textiles Limited	202206	05-12-2022	2.00	-	17-11-2022	27-10-2022
16	22651	ADN Telecom Limited	202206	07-12-2022	10.00	-	13-10-2022	18-09-2022
17	11144	Phoenix Finance and Investments Ltd.	202112	08-12-2022	Nil	-	13-10-2022	06-09-2022
18	19506	Paper Processing & Packaging Limited	202206	08-12-2022	8.00	7%B	24-10-2022	29-09-2022
19	19505	Bangladesh Monospool Paper Manufacturing Co. Limited	202206	08-12-2022	10.00	10%B	24-10-2022	29-09-2022
20	14262	Gemini Sea Food Ltd.	202206	08-12-2022	10.00	30%B	10-11-2022	20-10-2022



Sl. No.	Code No.	Name of the Company	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
21	17460	Matin Spinning Mills Ltd.	202206	08-12-2022	50.00	-	13-11-2022	23-10-2022
22	13214	Quasem Industries Limited	202206	08-12-2022	1.50	-	15-11-2022	25-10-2022
23	15322	Energypac Power Generation Limited	202206	08-12-2022	10.00	-	17-11-2022	30-10-2022
24	23637	Fortune Shoes Limited	202206	08-12-2022	10.00	5%B	24-11-2022	30-10-2022
25	99604	Bangladesh Shipping Corporation	202206	11-12-2022	20.00	-	23-11-2022	02-11-2022
26	17452	Zahintex Industries Limited	202206	12-12-2022	Nil	-	17-11-2022	30-10-2022
27	17454	Generation Next Fashions Limited	202206	12-12-2022	Nil	-	17-11-2022	30-10-2022
28	17463	Tung Hai Knitting and Dyeing Limited	202206	12-12-2022	Nil	-	20-11-2022	31-10-2022
29	13202	Aziz Pipes Ltd.	202206	13-12-2022	Nil	-	15-11-2022	23-10-2022
30	17439	Monno Fabrics Limited	202206	13-12-2022	2%(including 1% Interim)	-	21-11-2022	27-10-2022
31	13229	Deshbandhu Polymer Limited	202206	14-12-2022	5.00	-	15-11-2022	11-10-2022
32	22648	IT Consultants Limited	202206	14-12-2022	6.00	-	15-11-2022	26-10-2022
33	22643	BDCOM Online Ltd.	202206	14-12-2022	10.00	-	17-11-2022	30-10-2022
34	13209	Monno Agro & General Machinery Ltd.	202206	14-12-2022	15.00	-	21-11-2022	30-10-2022
35	24606	Monno Ceramic Industries Ltd.	202206	14-12-2022	10.00	-	21-11-2022	30-10-2022
36	14254	Apex Foods Limited	202206	15-12-2022	20.00	-	07-11-2022	17-10-2022
37	17421	Apex Spinning & Knitting Mills Limited	202206	15-12-2022	20.00	-	07-11-2022	17-10-2022
38	22645	Agni Systems Ltd.	202206	15-12-2022	4.50	-	17-11-2022	26-10-2022
39	19503	Sonali Paper & Board Mills Limited	202206	15-12-2022	40.00	-	17-11-2022	27-10-2022
40	15324	Baraka Patenga Power Limited	202206	15-12-2022	10.00	-	22-11-2022	27-10-2022
41	15313	Baraka Power Limited	202206	15-12-2022	10.00	-	22-11-2022	30-10-2022



Sl. No.	Code No.	Name of the Company	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
42	17408	Stylecraft Limited	202206	15-12-2022	2.00	-	24-11-2022	30-10-2022
43	18457	Renata Ltd.	202206	17-12-2022	140.00	7%B	10-11-2022	23-10-2022
44	17479	M.L. Dyeing Limited	202206	17-12-2022	10.00	-	17-11-2022	30-10-2022
45	19510	Hakkani Pulp & Paper Mills Ltd.	202206	17-12-2022	1.00	-	24-11-2022	06-11-2022
46	15319	Doreen Power Generations and Systems Limited	202206	18-12-2022	18.00	12%B	06-11-2022	26-09-2022
47	13237	IFAD Autos Limited	202206	18-12-2022	5.00	5%B	20-11-2022	30-10-2022
48	13204	Bangladesh Lamps Limited	202206	19-12-2022	20.00	7%B	10-11-2022	19-10-2022
49	29003	The Peninsula Chittagong Ltd.	202206	19-12-2022	2.50	-	14-11-2022	18-10-2022
50	13222	Kay & Que (Bangladesh) Ltd.	202206	19-12-2022	Nil	-	20-11-2022	27-10-2022
51	15320	Intraco Refueling Station Limited	202206	20-12-2022	10.00	-	14-11-2022	13-10-2022
52	14296	Taufika Foods and Lovello Ice-cream PLC	202206	20-12-2022	12.00	-	14-11-2022	24-10-2022
53	17441	Anlima Yarn Dyeing Ltd.	202206	20-12-2022	Nil	-	17-11-2022	26-10-2022
54	18491	The ACME Laboratories Limited	202206	20-12-2022	30.00	-	20-11-2022	27-10-2022
55	17466	Shasha Denims Limited	202206	20-12-2022	10.00	-	20-11-2022	30-10-2022
56	99608	Usmania Glass Sheet Factory Limited	202206	20-12-2022	Nil	-	21-11-2022	31-10-2022
57	29002	Unique Hotel & Resorts Limited	202206	20-12-2022	15.00	-	21-11-2022	01-11-2022
58	14294	Golden Harvest Agro Industries Ltd.	202206	20-12-2022	2.00	-	24-11-2022	31-10-2022
59	13236	Western Marine Shipyard Limited	202206	20-12-2022	Nil	-	27-11-2022	07-11-2022
60	17424	Mithun Knitting and Dyeing Ltd.	202206	20-12-2022	Nil	-	28-11-2022	08-11-2022
61	17417	Tallu Spinning Mills Limited	202206	20-12-2022	Nil	-	28-11-2022	08-11-2022
62	14257	Bangas Ltd.	202206	20-12-2022	3.00	-	28-11-2022	08-11-2022



Sl. No.	Code No.	Name of the Company	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
63	18487	JMI Syringes & Medical Devices Ltd.	202206	21-12-2022	-	36%B	14-11-2022	18-10-2022
64	99643	Index Agro Industries Limited	202206	21-12-2022	10.00	-	14-11-2022	23-10-2022
65	17450	R.N. Spinning Mills Ltd.	202206	21-12-2022	Nil	-	15-11-2022	26-10-2022
66	14292	Fine Foods Limited	202206	21-12-2022	1.50	-	17-11-2022	30-10-2022
67	18490	Far Chemical Industries Ltd.	202206	21-12-2022	Nil	-	17-11-2022	30-10-2022
68	17416	Dulamia Cotton Spinning Mills Ltd (DCSML)	202206	21-12-2022	Nil	-	21-11-2022	30-10-2022
69	13250	Mir Akhter Hossain Limited	202206	21-12-2022	12.50	-	24-11-2022	27-10-2022
70	20552	Bangladesh Services Ltd.	202206	21-12-2022	Nil	-	30-11-2022	10-11-2022
71	14286	Fu Wang Food Ltd.	202206	21-12-2022	Nil	-	01-12-2022	14-11-2022
72	14293	Rangpur Dairy & Food Products Limited	202206	21-12-2022	5.00	-	05-12-2022	31-10-2022
73	25754	Meghna Insurance Company Limited	202112	22-12-2022	3.00	-	24-10-2022	13-09-2022
74	17462	Far East Knitting & Dyeing Industries Limited	202206	22-12-2022	10.00	-	13-11-2022	17-10-2022
75	17419	Tamijuddin Textile Mills Limited	202206	22-12-2022	30.00	-	14-11-2022	18-10-2022
76	29004	Sea Pearl Beach Resort & Spa Limited	202206	22-12-2022	15.00	-	17-11-2022	12-10-2022
77	17412	Saiham Textile Mills Ltd.	202206	22-12-2022	12.00	-	17-11-2022	27-10-2022
78	22646	Daffodil Computers Ltd.	202206	22-12-2022	5.00	-	17-11-2022	31-10-2022
79	18492	Advent Pharma Limited	202206	22-12-2022	2.00	-	17-11-2022	30-10-2022
80	14290	Meghna Condensed Milk Ind. Ltd.	202206	22-12-2022	Nil	-	17-11-2022	30-10-2022
81	14287	Meghna Pet Industries Ltd.	202206	22-12-2022	Nil	-	17-11-2022	30-10-2022
82	13242	BBS Cables Limited	202206	22-12-2022	8.00	5%B	20-11-2022	27-10-2022
83	13244	Nahee Aluminum Composite Panel Ltd.	202206	22-12-2022	10.00	-	20-11-2022	27-10-2022



Sl. No.	Code No.	Name of the Company	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
84	13232	Bangladesh Building Systems Ltd.	202206	22-12-2022	3.50	-	20-11-2022	27-10-2022
85	99613	Bangladesh Export Import Company Ltd.	202206	22-12-2022	30.00	-	21-11-2022	30-10-2022
86	18453	Beximco Pharmaceuticals Ltd.	202206	22-12-2022	35.00	-	21-11-2022	30-10-2022
87	24633	Shinepukur Ceramics Ltd.	202206	22-12-2022	3.00	-	21-11-2022	30-10-2022
88	17453	Saiham Cotton Mills Limited	202206	22-12-2022	11.00	-	21-11-2022	30-10-2022
89	13239	Olympic Accessories Limited	202206	22-12-2022	Nil	-	24-11-2022	30-10-2022
90	17461	Hwa Well Textiles (BD) Limited	202206	22-12-2022	25.00	-	24-11-2022	30-10-2022
91	17469	Simtex Industries Limited	202206	22-12-2022	8.00	-	24-11-2022	30-10-2022
92	18497	Navana Pharmaceuticals Limited	202206	22-12-2022	11.00	-	28-11-2022	30-10-2022
93	18494	Indo-Bangla Pharmaceuticals Limited	202206	22-12-2022	1.00	-	28-11-2022	08-11-2022
94	11132	Premier Leasing & Finance Limited	202112	22-12-2022	Nil	-	30-11-2022	09-11-2022
95	99639	Khan Brothers PP Woven Bag Industries Limited	202206	22-12-2022	2.00	-	30-11-2022	13-11-2022
96	18463	Kohinoor Chemicals Company (Bangladesh) Ltd.	202206	22-12-2022	20.00	20%B	01-12-2022	13-11-2022
97	17476	Queen South Textile Mills Limited	202206	22-12-2022	6.00	6%B	15-11-2022	23-10-2022
98	17436	Alif Industries Limited	202206	24-12-2022	12.00	-	20-11-2022	31-10-2022
99	17444	Alif Manufacturing Company Ltd.	202206	24-12-2022	2.00	-	20-11-2022	31-10-2022
100	13231	Bengal Windsor Thermoplastics Ltd.	202206	24-12-2022	5.00	-	21-11-2022	30-10-2022
101	18473	Square Pharmaceuticals Ltd.	202206	24-12-2022	100.00	-	28-11-2022	23-10-2022
102	17446	Square Textiles Limited	202206	24-12-2022	35.00	-	28-11-2022	23-10-2022
103	23636	Legacy Footwear Ltd.	202206	25-12-2022	Nil	-	22-11-2022	01-11-2022
104	15314	MJL Bangladesh Limited	202206	26-12-2022	50.00	-	17-11-2022	25-10-2022



Sl. No.	Code No.	Name of the Company	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
105	18455	ACI Limited	202206	26-12-2022	50.00	5%B	17-11-2022	27-10-2022
106	18480	ACI Formulations Ltd.	202206	26-12-2022	25.00	-	17-11-2022	27-10-2022
107	17477	Aman Cotton Fibrous Limited	202206	26-12-2022	10.00	-	17-11-2022	30-10-2022
108	17430	Sonargaon Textiles Ltd.	202206	26-12-2022	1.00	-	21-11-2022	30-10-2022
109	17440	Alltex Industries Ltd.	202206	26-12-2022	1.00	-	24-11-2022	31-10-2022
110	13203	Olympic Industries Limited	202206	26-12-2022	45.00	-	24-11-2022	30-10-2022
111	14269	CVO Petrochemical Refinery Limited	202206	26-12-2022	Nil	-	24-11-2022	30-10-2022
112	17467	Zaheen Spinning Limited	202206	26-12-2022	Nil	-	24-11-2022	02-11-2022
113	99641	Aman Feed Limited	202206	26-12-2022	10.00	-	28-11-2022	20-10-2022
114	17468	Tosrifa Industries Limited	202206	26-12-2022	3.00	2%B	30-11-2022	30-10-2022
115	20553	Samorita Hospital Ltd.	202206	26-12-2022	5.00	5%B	30-11-2022	09-11-2022
116	17415	Desh Garments Ltd.	202206	26-12-2022	-	10%B	22-11-2022	31-10-2022
117	17410	Rahim Textile Mills Ltd.	202206	27-12-2022	10.00	-	14-11-2022	23-10-2022
118	22652	eGeneration Limited	202206	27-12-2022	10.00	-	15-11-2022	06-10-2022
119	17451	Malek Spinning Mills Ltd.	202206	27-12-2022	10.00	-	16-11-2022	26-10-2022
120	24626	Standard Ceramic Industries Ltd.	202206	27-12-2022	Nil	-	16-11-2022	27-10-2022
121	18482	Beacon Pharmaceuticals Ltd.	202206	27-12-2022	16.00	-	17-11-2022	26-10-2022
122	13469	National Polymer Industries Ltd.	202206	27-12-2022	10.50	-	17-11-2022	27-10-2022
123	15323	Lub-rref (Bangladesh) Limited	202206	27-12-2022	10.00	-	20-11-2022	31-10-2022
124	21644	Crown Cement PLC	202206	27-12-2022	10.00	-	21-11-2022	27-10-2022
125	17474	Shepherd Industries Limited	202206	27-12-2022	10.00	-	21-11-2022	30-10-2022



Sl. No.	Code No.	Name of the Company	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
126	17401	Al-Haj Textile Mills Limited	202206	27-12-2022	3.00	-	21-11-2022	30-10-2022
127	14266	Zeal Bangla Sugar Mills Ltd.	202206	27-12-2022	Nil	-	22-11-2022	23-10-2022
128	14279	Shyampur Sugar Mills Limited	202206	27-12-2022	Nil	-	22-11-2022	24-10-2022
129	13215	Renwick Jaineswar & Co (Bd) Ltd.	202206	27-12-2022	Nil	-	22-11-2022	26-10-2022
130	15318	United Power Generation & Distribution Company Limited	202206	27-12-2022	170.00	-	22-11-2022	30-10-2022
131	19512	Bashundhara Paper Mills Limited	202206	27-12-2022	10.00	-	22-11-2022	30-10-2022
132	13219	Bd.Thai Aluminium Ltd.	202206	27-12-2022	Nil	-	23-11-2022	03-11-2022
133	13246	Runner Automobiles Limited	202206	27-12-2022	10.00	-	24-11-2022	27-10-2022
134	13212	Atlas Bangladesh Ltd.	202206	27-12-2022	Nil	-	29-11-2022	09-11-2022
135	15315	GBB Power Limited	202206	27-12-2022	3.00	-	01-12-2022	30-10-2022
136	18462	Pharma Aids Ltd.	202206	27-12-2022	50.00	-	08-12-2022	10-11-2022
137	14263	National Tea Company Limited	202206	28-12-2022	7.50	-	17-11-2022	30-10-2022
138	13201	Aftab Automobiles Limited	202206	28-12-2022	5.00	5%B	20-11-2022	27-10-2022
139	13228	Navana CNG Limited	202206	28-12-2022	5.00	5%B	20-11-2022	27-10-2022
140	13213	Bangladesh Autocars Ltd.	202206	28-12-2022	4.00	-	21-11-2022	30-10-2022
141	13230	GPH Ispat Ltd.	202206	28-12-2022	5.50	5.50%B	23-11-2022	31-10-2022
142	15312	Khulna Power Company Ltd.	202206	28-12-2022	10.00	-	23-11-2022	31-10-2022
143	13227	BSRM Steels Limited	202206	28-12-2022	30.00	-	23-11-2022	30-10-2022
144	17447	Metro Spinning Ltd.	202206	28-12-2022	3.00	5%B	23-11-2022	30-10-2022
145	13238	Bangladesh Steel Re-Rolling Mills Limited	202206	28-12-2022	35.00	-	23-11-2022	30-10-2022
146	17445	Safko Spinnings Mills Ltd.	202206	28-12-2022	2.00	1%B	24-11-2022	30-10-2022



Sl. No.	Code No.	Name of the Company	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
147	20622	Summit Alliance Port Limited	202206	28-12-2022	15.00	-	27-11-2022	08-11-2022
148	13226	Golden Son Limited	202206	28-12-2022	Nil	-	29-11-2022	08-11-2022
149	15321	Associated Oxygen Limited	202206	28-12-2022	10.00	-	30-11-2022	08-11-2022
150	13218	National tubes Ltd.	202206	28-12-2022	Nil	-	30-11-2022	10-11-2022
151	12151	Investment Corporation of Bangladesh Limited	202206	28-12-2022	5.00	5%B	01-12-2022	13-11-2022
152	14297	BD Thai Food & Beverage Limited	202206	28-12-2022	3.00	-	01-12-2022	13-11-2022
153	18486	Orion Pharma Ltd.	202206	28-12-2022	10.00	-	04-12-2022	14-11-2022
154	18472	Orion Infusion Ltd.	202206	28-12-2022	20.00	-	04-12-2022	14-11-2022
155	13249	Dominage Steel Building Systems Limited	202206	28-12-2022	2.00	-	11-12-2022	22-11-2022
156	18483	Active Fine Chemicals Ltd.	202206	29-12-2022	0.25	-	17-11-2022	30-10-2022
157	17478	VFS Thread Dyeing Limited	202206	29-12-2022	-	5%B	17-11-2022	30-10-2022
158	13224	Rangpur Foundry Ltd.	202206	29-12-2022	23.00	-	17-11-2022	30-10-2022
159	20625	SAIF Powertec Limited	202206	29-12-2022	10.00	-	17-11-2022	30-10-2022
160	14277	Agricultural Marketing Company Ltd. (Pran)	202206	29-12-2022	32.00	-	17-11-2022	30-10-2022
161	99605	GQ Ball Pen Industries Ltd.	202206	29-12-2022	2.50	-	17-11-2022	30-10-2022
162	18489	AFC Agro Biotech Ltd.	202206	29-12-2022	0.50	-	17-11-2022	30-10-2022
163	22649	aamra networks limited	202206	29-12-2022	5.00	5%B	20-11-2022	26-10-2022
164	17473	Pacific Denims Limited	202206	29-12-2022	1.00	-	20-11-2022	30-10-2022
165	22650	Genex Infosys Limited	202206	29-12-2022	11.00	2%B	20-11-2022	30-10-2022
166	17458	Paramount Textile Limited	202206	29-12-2022	10.00	-	21-11-2022	30-10-2022
167	18467	Wata Chemicals Limited	202206	29-12-2022	20.00	-	22-11-2022	30-10-2022



Sl. No.	Code No.	Name of the Company	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
168	18496	ACME Pesticides Limited	202206	29-12-2022	5.00	-	23-11-2022	31-10-2022
169	99642	SK Trims & Industries Limited	202206	29-12-2022	4.00	-	24-11-2022	27-10-2022
170	19511	Khulna Printing and Packaging Limited	202206	29-12-2022	Nil	-	24-11-2022	30-10-2022
171	22647	aamra technologies limited	202206	29-12-2022	6.00	6%B	24-11-2022	06-11-2022
172	18488	Central Pharmaceuticals Limited	202206	29-12-2022	Nil	-	24-11-2022	06-11-2022
173	17459	Mozaffar Hossain Spinning Mills Ltd.	202206	29-12-2022	6.00	-	29-11-2022	30-10-2022
174	17464	Hamid Fabrics Limited	202206	29-12-2022	5.00	-	29-11-2022	30-10-2022
175	17471	Dragon Sweater and Spinning Limited	202206	29-12-2022	2.00	-	30-11-2022	10-11-2022
176	18493	Silva Pharmaceuticals Limited	202206	29-12-2022	3.00	-	01-12-2022	30-10-2022
177	21622	Meghna Cement Mills Ltd.	202206	29-12-2022	5.00	5%B	01-12-2022	08-11-2022
178	18484	Salvo Chemical Industry Limited	202206	29-12-2022	10.00	-	04-12-2022	08-11-2022
179	21633	Aramit Cement Limited	202206	29-12-2022	5.00	-	05-12-2022	15-11-2022
180	99602	Aramit Limited	202206	29-12-2022	50.00	-	05-12-2022	15-11-2022
181	14281	Rahima Food Corporation Ltd.	202206	29-12-2022	5.00	-	13-12-2022	22-11-2022
182	23634	Samata Leather Complex Ltd.	202206	29-12-2022	Nil	-	15-12-2022	27-11-2022
183	99640	National Feed Mill Limited	202206	31-12-2022	1.00	-	24-11-2022	30-10-2022
184	17434	Prime Textile Spinning Mills Ltd.	202206	31-12-2022	2.00	-	24-11-2022	01-11-2022
185	21621	Confidence Cement Ltd.	202206	31-12-2022	5.00	5%B	05-12-2022	15-11-2022
186	16360	Sonali Aansh Industries Limited	202206	31-12-2022	-	100%B	07-12-2022	17-11-2022
187	24632	Fu-Wang Ceramic Industries Ltd.	202206	31-12-2022	2.00	-	08-12-2022	20-11-2022
188	18451	Ambee Pharmaceuticals Ltd.	202206	31-12-2022	10.00	20%B	12-12-2022	23-11-2022
189	18485	Global Heavy Chemicals Limited	202206	31-12-2022	2.00	-	18-12-2022	29-11-2022



11. Mutual Fund and Special Purpose Vehicle

During October-December, 2022 Mutual Fund and SPV Department have performed the following duties and responsibilities:

(1) Activities related to the approval of Mutual Fund:

No approval letter of mutual fund was issued during that period.

(2) A brief scenario of existing mutual funds:

Open-end Mutual Funds:

Sl. No.	Name of Mutual Fund	Name of Asset Manager	Unit Capital Fund Tk. in crore (As on 31/12/2022)	NAV at Cost price Tk. in crore (As on 31/12/2022)	NAV at Market Price (As on 31/12/2022)
1	2	3	4	5	6
1	1 st ACACIA SRIM SME Growth Unit Fund	ACACIA SRIM LTD.	69.17	108.21	115.68
2	Alliance Sandhani Life Unit Fund	Alliance Capital	28.65	33.49	30.57
3	MTB Unit Fund	Alliance Capital	82.37	96.29	87.89
4	ATC Shariah Unit Fund	Asian Tiger Capital	13.76	16.71	15.13
5	AAML Unit Fund	Assurance AMCL	11.56	17.32	17.44
6	Candlestone Rupali Bank Growth Fund	CandleStone Investment	44.90	47.59	43.87
7	Capitec IBBL Shariah Unit Fund	Capitec Asset	29.93	31.81	29.93
8	Capitec Padma P.F. Shariah Unit Fund	Capitec Asset	41.81	45.53	41.81
9	Capitec Popular Life Unit Fund	Capitec Asset	27.43	28.59	27.43
10	CAPM Unit Fund	CAPM AMCL	12.23	14.08	15.06
11	Constellation Unit Fund	Constellation	4.66	4.86	4.58
12	Credence First Growth Fund	Credence AML	17.69	20.70	18.32
13	Credence First Shariah Unit Fund	Credence AML	9.56	12.54	11.71
14	CWT Emerging Bangladesh First Growth Fund	CWT	6.55	8.71	9.26
15	CWT Opportunities Fund	CWT	13.72	13.97	14.34



Sl. No.	Name of Mutual Fund	Name of Asset Manager	Unit Capital Fund Tk. in crore (As on 31/12/2022)	NAV at Cost price Tk. in crore (As on 31/12/2022)	NAV at Market Price (As on 31/12/2022)
1	2	3	4	5	6
16	CWT-Sadharon Bima Growth Fund	CWT	13.43	16.56	17.32
17	EBLAML 1 st Unit Fund	EBL AML	18.26	20.59	18.83
18	EDGE Al-Amin Shariah Consumer Fund	EDGE	19.26	19.39	19.09
19	EDGE AMC Growth Fund	EDGE	20.16	24.60	23.93
20	Edge Bangladesh Mutual Fund	EDGE	23.22	27.00	26.47
21	EDGE High Quality Income Fund	EDGE	24.24	25.47	25.61
22	Ekush First Unit Fund	Ekush Wealth	15.23	18.77	18.57
23	Ekush Growth Fund	Ekush Wealth	21.37	21.97	21.41
24	HFAML Shariah Unit Fund	HF AMCL	25.41	26.30	25.41
25	HFAML Unit Fund	HF AMCL	29.08	34.89	29.08
26	HFAML-ACME Employees' Unit Fund	HF AMCL	19.89	23.07	19.89
27	Bangladesh Fund	ICB AMCL	1,754.86	2,107.34	1,735.70
28	Eighth ICB Unit Fund	ICB AMCL	28.87	42.26	34.65
29	Fifth ICB Unit Fund	ICB AMCL	27.78	39.26	33.68
30	First ICB Unit Fund	ICB AMCL	78.59	105.78	84.21
31	Fourth ICB Unit Fund	ICB AMCL	17.59	25.45	20.68
32	ICB AMCL Converted First Unit Fund	ICB AMCL	33.11	45.88	35.24
33	ICB AMCL Islamic Unit Fund	ICB AMCL	70.09	79.98	68.09
34	ICB AMCL Pension Holders' Unit Fund	ICB AMCL	19.08	59.44	43.25
35	ICB AMCL Second NRB Unit Fund	ICB AMCL	121.97	152.68	143.59
36	ICB AMCL Shotoborsho Unit Fund	ICB AMCL	35.97	40.08	35.64



Sl. No.	Name of Mutual Fund	Name of Asset Manager	Unit Capital Fund Tk. in crore (As on 31/12/2022)	NAV at Cost price Tk. in crore (As on 31/12/2022)	NAV at Market Price (As on 31/12/2022)
1	2	3	4	5	6
37	ICB AMCL Unit Fund	ICB AMCL	385.29	1,028.33	870.26
38	Second ICB Unit Fund	ICB AMCL	16.75	25.81	23.21
39	Seventh ICB Unit Fund	ICB AMCL	31.08	47.68	42.73
40	Sixth ICB Unit Fund	ICB AMCL	21.80	32.32	28.27
41	Third ICB Unit Fund	ICB AMCL	26.46	38.18	33.33
42	IDLC Asset Management Shariah Fund	IDLC AMCL	34.68	37.20	35.51
43	IDLC Balanced Fund	IDLC AMCL	46.32	49.26	48.71
44	IDLC Growth Fund	IDLC AMCL	44.53	52.25	50.24
45	IDLC Income Fund	IDLC AMCL	56.25	60.16	60.20
46	BCB ICL Growth Fund	Impress Capital	21.92	25.29	23.44
47	Esquire ICL Apparel Fund	Impress Capital	18.35	23.75	22.58
48	ICL Balanced Fund	Impress Capital	26.17	29.75	28.31
49	Investasia Growth Fund	Investasia AML	48.79	51.03	54.42
50	Joytun 1 st Unit Fund	Joytun AML	10.00	11.59	9.35
51	LankaBangla 1 st Balanced Unit Fund	LankaBangla AMCL	37.88	47.34	43.99
52	LankaBangla Al-Arafah Shariah Fund	LankaBangla AMCL	30.23	32.86	31.07
53	LB Gratuity Opportunities Fund	LankaBangla AMCL	9.63	10.93	10.55
54	LB Gratuity Wealth Builder Fund	LankaBangla AMCL	8.11	8.87	8.15
55	Mercantile Bank Unit Fund	MBL AML	21.78	21.99	21.82
56	NAM IBBL Islamic Unit Fund	National AMCL	23.40	26.82	20.28
57	Peninsula Balanced Fund	Peninsula AMCL	10.82	13.19	12.94

Sl. No.	Name of Mutual Fund	Name of Asset Manager	Unit Capital Fund Tk. in crore (As on 31/12/2022)	NAV at Cost price Tk. in crore (As on 31/12/2022)	NAV at Market Price (As on 31/12/2022)
1	2	3	4	5	6
58	Peninsula Sadharan Bima Corporation Unit Fund One	Peninsula AMCL	37.56	40.14	37.09
59	Peninsula AMCL BDBL Unit Fund One	Peninsula AMCL	19.19	24.31	22.05
60	Prime Financial First Unit Fund	Prime Finance AMCL	18.06	22.07	19.01
61	Prime Finance Second Mutual Fund	Prime Finance AMCL	21.06	27.72	23.97
62	Rupali Life Insurance First Mutual Fund	Prime Finance AMCL	31.87	34.82	31.04
63	RACE Financial Inclusion Unit Fund	RACE	25.00	26.40	26.06
64	RACE Special Opportunities Unit Fund	RACE	34.17	39.79	34.77
65	RBIMCO BGFI Fund	Royal Bengal	8.20	8.15	8.15
66	Sandhani AML SLIC Fixed Income Fund	Sandhani AML	81.20	82.69	82.60
67	SAML Income Unit Fund	Shahjalal AML	15.55	18.28	17.41
68	Shanta Amanah Shariah Fund	Shanta AMCL	41.86	50.02	50.66
69	Shanta First Income Unit Fund	Shanta AMCL	79.60	99.62	106.20
70	Shanta Fixed Income Fund	Shanta AMCL	13.06	13.69	13.38
71	UCB AML First Mutual Fund	UCB AMCL	24.69	25.84	25.18
72	UCB Taqwa Growth Fund	UCB AMCL	31.81	32.81	32.41
73	UFS-Bank Asia Unit Fund	UFSL	-	-	-
74	UFS-IBBL Shariah Unit Fund	UFSL	-	-	-
75	UFS-Padma Life Islamic Unit Fund	UFSL	-	-	-
76	UFS-Popular Life Unit Fund	UFSL	-	-	-
77	UFS-Pragati Life Unit Fund	UFSL	-	-	-
78	Vanguard AML Growth Fund	Vanguard	6.99	9.24	9.04

Sl. No.	Name of Mutual Fund	Name of Asset Manager	Unit Capital Fund Tk. in crore (As on 31/12/2022)	NAV at Cost price Tk. in crore (As on 31/12/2022)	NAV at Market Price Tk. in crore (As on 31/12/2022)
1	2	3	4	5	6
79	VIPB Balanced Fund	VIPB AMCL	10.53	11.35	11.69
80	VIPB NLI First Mutual Fund	VIPB AMCL	66.97	68.06	62.71
81	VIPB SEBL 1 st Unit Fund	VIPB AMCL	104.29	105.50	103.69
82	VIPB-Accelerated Income Unit Fund	VIPB AMCL	31.69	34.07	36.06
83	VIPB-Growth Fund	VIPB AMCL	18.11	19.65	19.60
84	Zenith Annual Income Unit Fund	Zenith Investments	7.15	10.36	9.97
	Total		4,490.31	5,936.36	5,225.49

* Short name of the asset manager has been mentioned.

** Information of UFS-Bank Asia Unit Fund, UFS-IBBL Shariah Unit Fund, UFS-Padma Life Islamic Unit Fund, UFS-Popular Life Unit Fund, UFS-Pragati Life Unit Fund is unavailable.

Closed-end Mutual Funds:

Sl. No.	Name of Mutual Fund	Name of Asset Manager	Unit Capital Fund Tk. in crore (As on 31/12/2022)	NAV at Cost price Tk. in crore (As on 31/12/2022)	NAV at Market Price Tk. in crore (As on 31/12/2022)
1	2	3	4	5	6
1	Grameen One: Scheme Two	AIMS AMCL	182.40	192.40	344.82
2	Reliance First Mutual Fund	AIMS AMCL	60.50	65.26	81.72
3	Asian Tiger Sandhani Life Growth Fund	Asian Tiger Capital	61.79	68.29	63.37
4	CAPM BDBL Mutual Fund 01	CAPM AMCL	50.13	53.53	60.57
5	CAPM IBBL Islamic Mutual Fund	CAPM AMCL	66.85	72.11	78.72
6	ICB AMCL CMSF Golden Jubilee Mutual Fund	ICB AMCL	100.00	100.07	99.00
7	ICB AMCL First Agrani Bank Mutual Fund	ICB AMCL	98.15	112.07	102.89
8	ICB AMCL Second Mutual Fund	ICB AMCL	50.00	69.00	50.26
9	ICB AMCL Sonali Bank Limited 1 st Mutual Fund	ICB AMCL	100.00	117.63	98.59



Sl. No.	Name of Mutual Fund	Name of Asset Manager	Unit Capital Fund Tk. in crore (As on 31/12/2022)	NAV at Cost price Tk. in crore (As on 31/12/2022)	NAV at Market Price (As on 31/12/2022)
1	2	3	4	5	6
10	ICB AMCL Third NRB Mutual Fund	ICB AMCL	100.00	119.53	89.60
11	ICB Employees Provident Mutual Fund One: Scheme One	ICB AMCL	75.00	91.03	69.44
12	IFIL Islamic Mutual Fund-1	ICB AMCL	100.00	113.17	92.31
13	Phoenix Finance 1st Mutual Fund	ICB AMCL	60.00	74.23	55.26
14	Prime Bank 1 st ICB AMCL Mutual Fund	ICB AMCL	100.00	123.00	96.58
15	Prime Finance First Mutual Fund	ICB AMCL	20.00	39.44	28.34
16	AIBL 1 st Islamic Mutual Fund	LR Global Bangladesh	100.00	102.08	101.37
17	DBH First Mutual Fund	LR Global Bangladesh	120.00	122.54	123.37
18	Green Delta Mutual Fund	LR Global Bangladesh	150.00	152.73	151.20
19	LR Global Bangladesh Mutual Fund One	LR Global Bangladesh	311.08	315.94	323.44
20	MBL 1 st Mutual Fund	LR Global Bangladesh	100.00	103.51	105.22
21	NCCBL Mutual Fund-1	LR Global Bangladesh	108.50	116.74	123.74
22	AB Bank First Mutual Fund	RACE	239.09	268.71	240.14
23	EBL First Mutual Fund	RACE	144.75	157.64	139.28
24	EBL NRB Mutual Fund	RACE	224.26	245.25	226.24
25	EXIM Bank First Mutual Fund	RACE	143.26	158.74	143.14
26	First Bangladesh Fixed Income Fund	RACE	776.15	864.88	808.78
27	1 st Janata Bank Mutual Fund	RACE	289.92	316.17	279.33
28	IFIC Bank First Mutual Fund	RACE	182.17	200.62	177.08
29	PHP First Mutual Fund	RACE	281.89	303.83	274.88
30	Popular Life 1 st Mutual Fund	RACE	299.09	323.92	293.15



Sl. No.	Name of Mutual Fund	Name of Asset Manager	Unit Capital Fund Tk. in crore (As on 31/12/2022)	NAV at Cost price Tk. in crore (As on 31/12/2022)	NAV at Market Price (As on 31/12/2022)
1	2	3	4	5	6
31	Trust Bank First Mutual Fund	RACE	303.59	340.68	311.22
32	SEML FBLSL Growth Fund	SEML	72.94	73.89	72.06
33	SEML IBBL Shariah Fund	SEML	100.00	107.30	101.29
34	SEML Lecture Equity Management Fund	SEML	50.00	56.00	50.74
35	Vanguard AML BD Finance Mutual Fund One	Vanguard	104.32	114.61	117.26
36	Vanguard AML Rupali Bank Balanced Fund	Vanguard	158.75	170.01	162.26
	Total		5,484.58	6,026.55	5,736.66

** Short name of the asset manager has been mentioned.

12. Corporate Finance

Continuous Summary Disclosure of Critical Activities of Corporate Finance Department:

1. FAS Finance & Investment Limited

The Commission appointed A. Wahab & Co. Chartered Accountants to conduct special audit of the financial statements of FAS Finance & Investment Limited for the years 2017, 2018, 2019, and 2020. The special audit revealed that a group of individuals within the Company's Board of Directors, in collusion with management and third parties, orchestrated a fraud scheme in which enormous sums of money were diverted to various individuals and organizations. Special auditor mentioned following irregularities:

- a) Reckless approval of loans to related parties without proper due diligence, without verifying the value of collateral pledged and creditworthiness of the borrowers;
- b) Non-performing loans stood at Tk. 1703 crore as on 31 December 2020 which was 88% of the total loan. Most of the loans extended to the relatives of Mr. Prashanto Kumar Halder became non performing;
- c) Understatement of provision for losses against non performing loans;
- d) Significant doubt over the collectivity of margin loan (Tk. 160 crore) extended to the clients of its subsidiary FAS Capital Management Limited;
- e) Payment of cash commissions (Tk. 22 crore) to various parties for term deposit receipts (TDRs);
- f) Significant doubt on the company's ability to continue as a going concern.

The matter is in process for next course of action.

2. Intech Limited

The Commission appointed G Kibria & Co., Chartered Accountants as special auditor to audit financial statements of Intech Limited for the years 2017 to 2020 on 22 March 2022. The special auditor submitted their special audit report on 30 August 2022.

The company has violated the Section 17(b), 17(c) and Section 18 of Securities & Exchange Ordinance 1969 by purposefully presenting following false, misleading and incomplete information in the financial statements:

- a) Addition of Tk. 7.2 crore as intangible asset named ADC software which is fictitious;
- b) Lack of proper disclosure of providing loan of Tk. 1 crore to related party entities;
- c) Addition of Tk. 95.7 lac as fixed asset named RAS technology which is fictitious;
- d) Disclosed Tk.4.8 crore fish feed stock and fish stock as inventory which has no existence;
- e) Misstated Accounts Receivables of Tk. 7.1 crore;
- f) False and misleading disclosure related to settlement of Tk.30.7 lac of Worker's Profit Participation Fund;
- g) False and misleading disclosure related to fixed asset named 'Approach Road' of Tk. 2.45 crore;
- h) Acquisition of Tk. 1.2 crore as fixed asset named 'GPON Networking Equipment' which is fictitious;
- i) False and misleading disclosure related to asset named 'IT and ISP Hardware (excluding GPON Networking Equipment)' of Tk. 1.66 crore;
- j) False and misleading disclosure by the top management.



Board of Directors and top management of Intech Ltd has overstated the NAV per share of the company by BDT 7.09 per share which represents almost 66% of the Company's reported NAV per share of BDT 10.7 as at 30 June 2020.

The company has made payments of Tk. 1.76 crore to a Company outside Bangladesh using means which are not in line with the provisions of the Foreign Exchange Regulations Act 1947 and this transaction also contravened the Money Laundering Act 2012.

The company violated the Corporate Governance Code-2018 by

- a. not forming NRC Committee for the year ended 30 June 2018, 30 June 2019 and 30 June 2020;
- b. not setting code of conduct for the top management;
- c. not appointing Head of Internal Audit and Compliance;
- d. by performing duties of an executive director by the Chairman;
- e. performing CFO and CS Roles by same individual; and
- f. failing to perform the fiduciary duty of the board.

The company has also violated Securities and Exchange Ordinance, 1969 by purposefully announcing false and misleading Price Sensitive Information (PSI).

The statutory auditor and audit committee have violated securities laws by failing to play their roles and duties.

Corporate Finance Department has placed the findings of the special audit report before the Commission for next course of action.

3. Aman Cotton Fibrous Limited:

The Corporate Finance Department issued a letter to Aman Cotton Fibrous Limited on 10 March 2022 under Section 11(2) of the Securities and Exchange Ordinance, 1969 to make the Fixed Deposits Receipts (FDR) of Tk. 73 crore i.e. (i). Tk 15 crore with Commercial Bank of Ceylon PLC, (ii) Tk. 20 crore with Al Arafa Islami Bank Ltd & (iii) Tk. 38 Crore with Meghna Bank Ltd.) free from LIEN in the name of Akin Carriers Ltd. And to inform the same to the Commission.

But Aman Cotton Fibrous Limited didn't comply with the decision of the Commission and sent a letter to the Commission on 21 March 2022 requesting to allow them an extension of time up to 16 July 2023 to take the necessary steps to cancel the LIEN and submit the documents to the Commission which is the violation of Section 11(2) of the Securities and Exchange Ordinance, 1969 which attracts Section 22(1)(c) of the Securities and Exchange Ordinance, 1969.

The matter is sent to Enforcement Department for necessary actions.

4. Krishibid Feed Limited

Krishibid Feed Limited got listed in the Stock Exchanges on 24 October 2021 with SME platform. The principal activity and the nature of the business of Krishibid Feed Limited is to manufacture and sells/distributes of all sorts of poultry feed, fish feed and cattle feed. Apart from that, the Company can manufacture, distribute, export & import all kinds of Agro feed anywhere in Bangladesh and abroad. The company raised Tk. 22 crore as QIO proceeds on 03 November 2021. As per the report of Dhaka Stock Exchange (DSE), the QIO proceeds utilization status report for the period January to June is false and fabricated.



For the greater interest of the capital market and general investors, Corporate Finance Department sent a proposal to Inquiry & Investigation Department to form an Enquiry committee to verify whether the issuer has utilized the Qualified Investor Offer (QIO) proceeds in compliance with the applicable conditions imposed on the prospectus.

5. Ring Shine Textiles Limited:

Ring Shine Textiles Limited (RSTL) has got listed in the stock exchanges on 15 October 2019. The company has raised its paid-up capital from (i) Tk. 9.95 crore to Tk. 285.05 core through issuance of 27,51,04,820 ordinary shares of Tk. 10/- each totaling Tk. 275.10 crore under private offer to existing Sponsors/Directors and external local shareholders. Neither the 11 (Eleven) Sponsors/Directors nor most of the external local shareholders (33 external shareholders) of the RSTL deposited money against their allotments of significant portion of aforesaid ordinary shares to the company's bank accounts. So, the Commission has decided to reduce the paid-up capital of the company up to the unpaid amount including bonus shares issued against those shares, for which no payment has been made.

The Commission instructed Capital Issue Department to take necessary steps to reduce the capital in assistance with Corporate Finance Department.

Mentionable that, the Commission completed hearing procedures in connection to all the securities related violations as identified by the enquiry committee and special auditor.

Corporate Finance Department performed the following activities during July-September 2022

Regulatory Submissions

Indicators	Expected	Actual
Submission of Corporate Annual Report	14	08
Submission of Corporate Annual Audited Financial Statements	229	140
Submission of First Quarter (Q1) Interim Financial Statements	229	146
Submission of Half Yearly (Q2) Interim Financial Statements	02	01
Submission of Third Quarter (Q3) Interim Financial Statements	121	83
Submission of utilization of IPO/RPO/RI proceeds	70	57
Submission of Corporate Governance Audit Report	14	08

Regulatory Actions

Indicators	No. of Action
Annual Audited Financial Statements:	
Further disclosure, information or explanation required	12
Time extended for submission of financial statements	06
Application for time extension rejected	00
Referred to enforcement department to take action against issuer of capital for non-submission	15
Referred to Enforcement Department to take action against issuer of capital for non-compliance with the securities laws	00
Number of Qualified opinion/Adverse opinion/Disclaimer from external independent auditor	56
First Quarter (Q1) Financial Statements:	
Time extended for submission of financial statements	09
Application for time extension rejected	00
Referred to Enforcement Department to take action against issuer of capital for non-submission	14
Second Quarter (Q2) Financial Statements:	
Time extended for submission of financial statements	00
Application for time extension rejected	00
Referred to Enforcement Department to take action against issuer of capital for non-submission	14
Third Quarter(Q3) Financial Statements:	
Time extended for submission of financial statements	01
Application for time extension rejected	00
Referred to Enforcement Department to take action against issuer of capital for non-submission	14
Corporate Governance:	
Consent accorded for appointment of Independent Directors	32
Consent not accorded for appointment of Independent Directors	04
Further disclosure/explanation required	07
Enquiry/Investigation and Special Audit:	
Appointment of regulatory Enquires and Investigation Committee	01
Appointment of Special Auditors	00
Referred to Enforcement Department to take action against issuer of capital for non-compliance with the securities laws	00



13. Legal Service

During the October-December, 2022 quarter, total of 16 cases were filed by and filed against Bangladesh Securities and Exchange Commission in different Courts and 21 cases were disposed of. The details of the cases are as follows:

Cases filed by and filed against BSEC	Number of cases	Brief description
1	2	3
Cases filed by BSEC	04	04 Certificate cases were filed in the General Certificate Court, Dhaka in order to recover the penalty imposed by the Commission under Public Demands Recovery Act, 1913 for violation of securities laws.
Cases filed against BSEC	12	07 Writ Petitions and 05 Company Matters were filed in the Hon'ble High Court Division of the Supreme Court of Bangladesh challenging securities laws, penalty order of the Commission and for other reasons.

Disposed of cases in different courts during the October-December, 2022 quarter:

Number of disposed of cases	Brief description
1	2
21	14 Writ Petitions and 07 Company have been disposed of in favour of the Commission which were filed against the Commission in the Hon'ble High Court Division of the Supreme Court of Bangladesh.

14. Management Information Systems (MIS)

The main objective of MIS Department is to carry out development of ICT infrastructure, maintenance, and its upgradation, introduce e-services to facilitate ease of doing business and build-up a digitalized capital market monitoring system.

■ The activities of MIS Department are given below:

- Providing technical assistance in the use of government e-Nothi system for official activities;
- Installation and maintenance of official computer hardware and software;
- Providing technical support for various meetings/seminars/presentations including online meetings;
- Ensuring uninterrupted internet service of 200 Mbps speed (including 100 Mbps redundant connection);
- Taking back-up and preserve the digital information of the Commission;
- Preparing technical specification for IT related purchases;
- Taking steps to ensure the cyber security of the Commission's information
- Arranging training for the employees of the Commission on Information Security Awareness;
- Providing necessary assistance in completing the tender process of the Commission through e-GP portal;
- Carrying out system development/modification/upgradation/maintenance as per requirements of different departments of the Commission;
- Ensuring information security of the Commission's dynamic website using SSL Certificate, keeping the website always up through 3 domains - www.sec.gov.bd, www.secbd.org and www.এসইসিবিডি.বাংলা and updating the content;
- Providing assistance to Digital Transformation Consultant for the purpose of modern digital transformation of the capital market.

■ The activities accomplished/continued during October-December, 2022:

Sl. No.	Activities Taken	Current Status
1	Development of Bond Defaulter Monitoring System.	Through the implementation of the mentioned system those among the bond issuers, who fail to pay the principal or coupon can be monitored and thereby it will help the Commission in taking decisions on the related issues. As per the requirements from CI department the system development has been completed. A presentation in this regard was provided before the Chairman of the Commission. According to the instructions from the Chairman, MIS and CI departments are working together to implement the suggested changes in development.
2	Introduction of Internet-based Cloud Backup System for the protection of official data of Commission's employees.	Internet-based Cloud Backup System has been prepared for the protection of the official data of the officers of the Commission. It is currently being used by the officers in the Commission. If problems are reported by users, they are being fixed on regular basis.

3	Website and application hosting services migration to National Data Centre (NDC) under Bangladesh Computer Council.	This will facilitate proper security of information, proper back-up facilities and cyber security in website and application hosting services. According to approval, VPS service (Premium: 8 vCPU, 16 GB RAM, 500 GB Storage) at National Data Center under the control of Bangladesh Computer Council has been purchased. After that, one of the vendors, Tizok Private Limited, was selected and given the work order on December 08, 2022, based on its expertise in providing hosting migration and maintenance services and previous experience of working in the National Data Center. The migration process has already started, and activities are being implemented as per the work orders.
4	Official email migration from Gmail to Zimbra.	According to the National E-mail Policy 2018, official e-mails are required to be hosted within the country's territory. BCC has already been requested to transfer e-mail system from Gmail service to government e-mail service with the approval of the Commission. Transfer process is under implementation.
5	Strengthening Commission's WiFi Network System to ensure Cyber Security.	Commission's existing WiFi network system will be divided into two SSIDs named 'BSEC' and 'BSEC-GUEST'. Employees of the Commission can carry out their day-to-day official activities using the internet through the 'BSEC' SSID. On the other hand, guests of the Commission can access the internet by using the 'BSEC-GUEST' SSID. MAC address of the officer's devices for BSEC's SSID will be incorporated to secure and ethical use of the internet in the BSEC premises. A file will be placed for approval in this regard very soon.
6	Development of IT Support Ticket Management System (TMS).	Using this platform, Commission officials will submit IT support requests online by generating tickets. The development of the platform is currently ongoing.

■ The uploaded information during October-December, 2022 in the commission's website:

- IPO Prospectus-01;
- Mutual Fund Prospectus-0;
- Enforcement Actions-141;
- Other Order/Notification/Directive etc.-13;
- Right Issue Offer Documents-0;
- Quarterly Report-04;
- Annual Report-0;
- Press Release-21;
- Tender Information-09;
- Comments Request on Draft Rules/Amendment-0;
- Other received list.

15. Central Depository System (CDS)

The Following Mutual Funds joined Central Depository System during October 2022 to December 2022

Sl. No.	Name of the Mutual Fund	Applied for IPO (Fund Size in BDT)	Subscribed amount in BDT	Date of Enlistment
1	Mercantile Bank Unit Fund	500,000,000	210,760,000	25-10-2022

The Following Companies Joined Central Depository System during October 2022 to December 2022

Sl. No.	Name of the Companies	Applied for IPO (Fund Size in BDT)	Subscribed amount in BDT	Date of Enlistment
1	Navana Pharmaceuticals Limited	750,000,000	750,000,000	12-10-2022
2	German Bangla JV Food Limited (OTC to SME)	N/A	N/A	17-10-2022
3	Chartered Life Insurance Company Limited	150,000,000	150,000,000	24-10-2022
4	Global Islami Bank Limited	425,000,000	425,000,000	13-11-2022
5	Islami Commercial Insurance Company Limited	202,611,060	202,611,060	14-12-2022
6	LankaBangla Securities Limited (Alternate Trading Board)	---	---	27-12-2022

16. Research and Development (R&D)

A. Research and Development Department performed the following activities during the period October-December 2022:

Sl. No.	Title of the activities	Date	Issue Number
1	Preparing and sending a report on implementable projects supported by the Japanese government and sent to the Ministry of Finance;	13 October 2022	BSEC/R&D/2022/277
2	Preparing and sending Monthly Report to the ministry of Finance on the important activities of BSEC;	07 November 2022	BSEC/R&D/99-0.5/Part-13/280
		06 December 2022	BSEC/R&D/99-0.5/Part-13/281
		08 January 2022	BSEC/R&D/99-0.5/Part-13/285

B. List of Reports published by Research and Development Department of the Commission during the period October-December 2022:

Sl. No.	Title of the report	Date of publication on the Commission website
1	Preparation of Annual Report in (Bangla and English) version on the activities of BSEC for the fiscal year 2021-2022 and has been sent to the ministry of finance for the permission of publication;	In Progress
2	Preparation of 'Quarterly Report' and 'Porikroma' for the period July-September 2022 and uploading the same on the website of the Commission.	27 December 2022

17. Enquiry & Investigation

Enquiry & Investigation Department performed the following activities during October-December 2022

Sl. No.	Particulars	No. of Issued Enquiry Order	Remark
1	2	3	4
1	Enquiry and Investigation Department of the Commission issue Enquiry order to conduct Enquiry within the stipulated time period and submits Enquiry report to the Commission conferred by the power under section 21 of the Securities and Exchange Ordinance, 1969, ধারা of the বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন আইন, ১৯৯৩ and মানিলাভারিং প্রতিরোধ আইন, ২০১২ (মানি লভারিং প্রতিরোধ (সংশোধন) আইন, ২০১৫ এর নেনং আইনের ধারা ৯ এর সংশোধন) as per the decision of the Commission.	03 (three)	02 (two) Enquiries on the listed companies are under process and 01 (one) Enquiry Report on a listed company has been submitted. The submitted report is under process to place in the file for necessary action.

18. International Affairs

During the quarter ended on December 2022, the following functions were performed at the International Affairs Department:

1. Confirming participations in the trainings/webinars/conferences/symposium of international organizations regarding various issues relating to the securities market;
2. Participation in the queries and surveys of the International Organization of Securities Commissions (IOSCO) after assessment of its relevance and send back to the IOSCO;
3. Necessary paperwork and communications with IOSCO and the Ministry of Finance, Bangladesh regarding participation of BSEC Chairman in the 47th IOSCO Annual Meeting and taking over responsibility as a IOSCO Board Member and Vice-Chair of IOSCO Asia-Pacific Regional Committee (APRC);
4. Signing of IOSCO Asia-Pacific Regional Committee (APRC) Supervisory MMoU by the Chairman, BSEC on 17th October 2022 during the 47th IOSCO Annual Meeting. Necessary paperwork and communications with IOSCO and the Ministry of Finance, Bangladesh regarding the said matter;
5. Necessary paperwork and communications with Securities and Commodities Authority (SCA), UAE; Dubai Financial Services Authority (DFSA) and the Ministry of Finance, Bangladesh regarding signing of bilateral MoU with SCA and DFSA. It is mentionable that the said bilateral MoUs were signed by BSEC with SCA and DFSA on 27th October 2022 and 28th October 2022 respectively.
6. Necessary paperwork, virtual meetings and communications with IOSCO Secretariat and Financial Services Agency (FSA), Japan regarding arrangement of Asia Pacific Regional Committee (APRC) Meetings during 22-23 February 2023 in Bangladesh;
7. Communications & virtual meeting with Ministry of Foreign Affairs and sending of letters to Bangladesh Investment Development Authority (BIDA) and Civil Aviation Authority of Bangladesh (CAAB) regarding arrangement of APRC Meetings 2023 in Bangladesh. Moreover, DO letters have been issued to the government high officials for their presence as guest in the dinner and closing ceremony after the meetings;
8. Scrutinizing and necessary file-works in respect of working paper on Memorandum of Understanding (MoU) with the Hellenic Capital Market Commission (HCMC), Greece and issuance of letter to Ministry of the Finance; and
9. Writeups on growing and emerging issues raised by APRC and the IOSCO from time to time e.g. (1) Private Markets- Competing or Complementary? which was duly placed in the file.

19. Financial Literacy

As a part of countrywide investor education program, Financial Literacy Department of the Commission organizes different training, seminar, workshop and conference that help the investors to get knowledge for taking appropriate investment decision. Besides, this department performs the activities related to investor's education program which is organized by market intermediaries, training to the employees working at the organizations in the capital market. Financial Literacy Department also organizes programs to observe the IOSCO World Investor Week.

The activities of Financial Literacy Department and the activities of DSE & CSE under the supervision of this department for the period of October-December, 2022 are given below:

Sl.	Particulars of Training	Number of participants
1	2	3
1	Investor's education program	784
2	Training for authorized representatives of DSE and CSE TREC Holders	181
3	Training for the employees of Market Intermediaries	668
4	World Investor Week-2022	3,089
	Total	4,120 Persons

20. Operational Statistics of Stock Exchanges

DSE Operational Statistics October-December 2022

Trading	DSE Broad Index-DSEX (as on last trading day)	Market Capitalization (as on last trading day)	No. of Trading Days	Turnover of Securities in		Traded Amount in	
Months	In Points	Million Taka	Nos.	Million Quantity		Million Taka	
				Monthly	Daily Average	Monthly	Daily Average
1	2	3	4	5	6	7	8
October	6,307.34	7,669,180.17	20	3,210.93	160.55	210,917.29	10,545.86
November	6,235.95	7,642,411.21	22	2,464.78	112.04	163,270.26	7,421.38
December	6,206.81	7,609,368.99	20	1,035.38	51.77	72,314.02	3,615.70
Total	-	-	62	6,711.09	108.24	446,501.58	7,201.64

* Index and Market Capitalization of the last trading day of the months have been considered as relevant figures of respective months.

CSE Operational Statistics October-December 2022

Trading	DSE Broad Index-DSEX (as on last trading day)	Market Capitalization (as on last trading day)	No. of Trading Days	Turnover of Securities in		Traded Amount in	
Months	In Points	Million Taka	Nos.	Million Quantity		Million Taka	
				Monthly	Daily Average	Monthly	Daily Average
1	2	3	4	5	6	7	8
October	18,622.8177	7,485,215.04	20	98.24	4.91	4,914.22	245.71
November	18,408.5104	7,469,828.40	22	72.05	3.27	3,588.22	163.10
December	18,328.0243	7,477,797.17	20	87.46	4.37	2,865.63	143.28
Total	-	-	62	257.75	4.16	11,368.08	183.36

* Index and Market Capitalization of the last trading day of the months have been considered as relevant figures of respective months.



21. Press Releases

The Commission issued 21 (Twenty One) press release on its activities during October-December 2022, which were uploaded in the Commission's website (www.sec.gov.bd and www.secbd.org, and www.এসইসিবিডি.বাংলা)



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- Press Release
- Securities Laws
- Investor's Information
- IPO Prospectors
- Annual Report
- Quarterly Report
- Enforcement Actions
- List of Market Intermediaries
- Employment Information
- Tender Information
- Comments Request on Rules Amendment
- Important Links

Reference Room

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E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh
Phone: (88)-02-55007173-2, Fax: (88)-02-55007106

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- BSEC's Publications
- Prospectus of Listed Companies
- Annual Reports of Listed Companies
- Published Half-yearly Financial Statements of Listed Companies
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Bangladesh Securities and Exchange Commission

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