

বাংলাদেশের
স্বর্ণজয়ন্তী
Bangladesh



“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

Quarterly Review

October-December 2021



Ensuring Stability and Discipline towards Advancement of the Economy

Bangladesh Securities and Exchange Commission

Quarterly Review

October-December, 2021



Bangladesh Securities and Exchange Commission

Securities Commission Bhaban

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The Bangladesh Securities and Exchange Commission (BSEC) was established on 8th June, 1993 as the regulator of the country’s capital market through enactment of the Bangladesh Securities and Exchange Commission Act, 1993. The objectives of the Commission are protecting the interest of investors in securities, developing the securities market and making rules for matters connected therewith or ancillary thereto. The Commission consists of the Chairman and four Commissioners, who are appointed for fulltime by the Government. The Chairman acts as the Chief Executive of the Commission. The Commission has overall responsibility to make securities related rules and regulate the market accordingly. The Commission is a statutory body and attached to the Ministry of Finance.

At present, Professor Shibli Rubayat-UI-Islam is serving as the Chairman of the Commission and Dr. Shaikh Shamsuddin Ahmed, Dr. Mizanur Rahman and Mr. Md. Abdul Halim are serving as the Commissioner of the Commission.

PART - I

1. Bangladesh Securities and Exchange Commission



Prof. Shibli Rubayat-Ul-Islam
Chairman



Dr. Shaikh Shamsuddin Ahmed
Commissioner



Dr. Mizanur Rahman
Commissioner



Mr. Md. Abdul Halim
Commissioner

2. Executive Directors (ED) and Head of the Departments of the Commission

Sl. No.	Name	Designation	Head of the Departments
1	2	3	4
1	Dr. ATM Tariquzzaman	ED	Staying in New Zealand under lien
2	Mr. Md. Anowarul Islam	ED	SRI and CDS
3	Mr. Md. Saifur Rahman	ED	SRMIC, MIS and Project Director
4	Mr. Md. Ashraful Islam	ED	R & D
5	Mr. M. Hasan Mahmud	ED	Registration and Internal Audit
6	Mr. Mahbulul Alam	ED	Admin & Finance, International Affairs
7	Mr. Mahbubur Rahman Chowdury	ED	Legal Service
8	Mr. Kamrul Anam Khan	ED	MF & SPV and Office of the Chief Accountant
9	Mr. Mohammad Rezaul Karim	ED	Capital Issue and Spokesperson of the Commission
10	Mr. Mohammed Shafiul Azam	ED	Market Surveillance and Intelligence, Financial Literacy
11	Mr. Ripan Kumar Debnath	ED	Corporate Finance and CMRRC
12	Mr. Mir Mosharraf Hossain	ED	Enforcement and AML&CFT

3. Directors of the Commission and Commission Secretariat

Sl. No.	Name	Designation	Departments
1	2	3	4
1	Mr. Mohammad Jahangir Alam	Director	Enforcement
2	Mr. Mahmoodul Hoque	Director	MF and SPV
3	Mr. Prodip Kumar Basak	Director	Registration
4	Mr. Rajib Ahmed	Director	Market Surveillance and Intelligence
5	Mr. Abul Kalam	Director	CMRRC, AML & CFT Wing
6	Mr. Mansur Rahman	Director	SRI & CDS
7	Mr. Mohammad Abul Hasan	Director	SRMIC
8	Mr. Sheikh Mahbub Ur Rahman	Director	MIS & Finance
9	Ms. Farhana Faruqui	Director	Administration and IAD
10	Mr. Abu Rayhan Mohammad Mutasim Billah	Director and Commission Secretary	Financial Literacy and Commission Secretariat
11	Mr. Mohammad Fakhru Islam Mazumder	Director	Capital Issue
12	Mr. A. S. M. Mahmudul Hasan	Director	Legal Service

4. Capital Market Related Activities

4.1 Celebration of World Investor Week-2021

The International Organization of Securities Commission (IOSCO), an international body that sets global standards for capital market regulators, celebrates World Investor Week from 4 October to 10 October, 2021 as it does every year to create awareness among the investors. BSEC's Chairman Professor Shibli Rubayat-Ul-Islam presided over the program. The theme of the inaugural day was "The role of capital market in the development of Bangladesh". Hon'ble Finance Minister Mr. A H M Mustafa Kamal, FCA, MP and Mr. Salman F Rahman, Private Industry and Investment Adviser to the Hon'ble Prime Minister were the chief guest. Welcoming remarks were made by Commissioner Dr. Shaikh Shamsuddin Ahmed and the main article was presented by Commissioner Dr. Mizanur Rahman. Due to Covid-19 pandemic, various organizations are organizing webinars this week on the online zoom platform.

Speaking at the inaugural program, the BSEC Chairman said, "We want the Securities and Exchange Commission not to delay any application. If it is possible to give, I will give it immediately, otherwise I will not do it quickly". "We will work on derivatives," he added. But before that, the equity market has to stand still. You will notice that in the last 2/3 Commission's meetings some audit firm have been expelled. Speaking on the initiative to strengthen the information technology system, the Chairman said that the work is under process to expand the capital market worldwide. Digital outlets have been approved in Canada.

On 5 October, 2021 BSEC organized a webinar titled "Investor Protection: The Impact of Covid-19 on Business and Information Disclosure". Chairman of BSEC's Prof. Shibli Rubayat-Ul-Islam presided over the program. In his speech, the Chairman of BSEC said that work is underway to fully digitize the capital market. In the market, we want to convey the message that the interests of investors are supreme to us. That is why we are not giving any rebate in compliance with securities law.

On 5 October, 2021 the Association of Credit Rating Agencies in Bangladesh (ACRAB) organized a webinar titled "IOSCO CRA Code of Conduct for Global Rating Agencies-Bangladesh Perspective". The event was presided over by Mr. N K A Mubin, Chairman, ACRAB. BSEC Commissioner Dr. Mizanur Rahman was present as the chief guest and Chairman of Insurance Development and Regulatory Authority Dr. M Mosharraf Hossain was present as the special guest. Mr. Mozaffar Ahmed, President and CEO of Credit Rating Information and Services Ltd. presented the keynote speech at the event.

Bangladesh Merchant Bankers Association (BMBA) organized a seminar titled "Importance of Investment Education in Capital Market Development". Mr. Salman F Rahman, Private Industry and Investment Adviser to the Hon'ble Prime Minister was present as the chief guest and Prof. Shibli Rubayat-Ul-Islam, Chairman, BSEC was present as the special guest. Mr. Md. Sayadur Rahman, President of BMBA presided over the program. The keynote speech was delivered by Mr. Md. Moniruzzaman, First Vice President, BMBA.

On 6 October, 2021 Chittagong Stock Exchange Ltd. organized a webinar titled "Trading Awareness with Investor". The chief guest at the webinar was BSEC Commissioner Dr. Shaikh Shamsuddin Ahmed. Dr. Mohammad Saleh Juhur, Professor, Chittagong University presented the main article in the webinar. Welcoming remarks were made by the Managing Director (Acting) of CSE, Mr. Md. Golam Faruque. Dr. Shaikh Shamsuddin Ahmed, Commissioner, BSEC was the chief guest of the program. He said that some green bonds were listed in the capital market. Since CSE's head office is close to the sea, they may consider working with Blue Bond as a new product. The keynote speaker was Mr. Asif Ibrahim, Chairman, CSE.

On 6 October, 2021 a webinar titled “Impact Investment: Goals for a Stable Economy After Covid” was jointly organized by BSEC and Venture Capital and Private Equity Association of Bangladesh. The Planning Minister of the Government of Bangladesh Mr. M A Mannan, Chairman of BSEC Prof. Shibli Rubayat-Ul-Islam, Commissioner Dr. Shaikh Shamsuddin Ahmed, CPD Executive Director Fahmida Khatun and President of Venture Capital and Private Equity Association of Bangladesh were present.

On 7 October, 2021 Central Depository Bangladesh Limited (CDBL) organized a webinar titled “Market Malpractice and Investors Awareness”. The webinar was presided over by CDBL Chairman Mr. Sheikh Kabir Hossain. Mr. Md. Abdul Halim, Commissioner, BSEC was present as the chief guest on the program. Mr. Mohammad Rezaul Karim, Executive Director, Market Surveillance and Intelligence Department, BSEC, presented the keynote speech at the webinar. At the event, the discussants provided awareness raising ideas on destabilizing the market by providing artificial false or misleading information to the capital market and influencing and misleading investors.

On 7 October, 2021 Central Counterparty Bangladesh Limited (CCBL) organized a webinar titled “Role of Sustainable Investment”. BSEC Commissioner Dr. Shaikh Shamsuddin Ahmed was present as the chief guest on the program. CCBL Chairman Mr. Md. A. Salam Sikder presided over the program. Professor Dr. Mohammad Tareq, Director, CCBL, presented the keynote speech at the webinar. Mr. Mohammad Tajdikul Islam, FCMA, Director, CCBL and Mrs. Salma Nasreen, ndc, Director, CCBL were present as panel discussants.

On 9 October, 2021 the Association of Asset Management Companies and Mutual Funds (AAMCMF) organized a discussion meeting titled “Institutional Role in Sustainable Financing”. Prof. Shibli Rubayat-Ul-Islam, Chairman, BSEC was present as the chief guest on the event. BSEC Commissioner Dr. Mizanur Rahman was present as special guest. The event was chaired by AAMCMF President Dr. Hassan Taher Imam and the keynote speech was delivered by Mr. Azar Chowdhury, CEO and Partner of Alternative Investment Management.

On 10 October, 2021 DSE Brokers Association (DBA) and Bangladesh Academy for Securities Market (BASM) jointly organized a program titled “Conscious Investment, Sustainable Capital Market” BSEC Chairman Prof. Shibli Rubayat-Ul-Islam was present as the chief guest on the program. Mr. Tariq Amin Bhuiyan, MD, DSE was present as special guest. Richard de Rosario, Senior Vice President, DBA and Shakil Rizvi, Director, DSE were present on the program. The main article was presented by DG of BASM Dr. Tawfiq Ahmed Chowdhury and DBA Vice President Mr. Md. Sajedul Islam.

On 11 October, 2021 a virtual discussion meeting titled “Bangladesh Sustainable Finance: Implementation Strategies and Alternatives” was organized by the Bangladesh Association of Publicly Listed Companies (BAPLC), an association of listed companies. Prof. Shibli Rubayat-Ul-Islam, Chairman, BSEC was present as the chief guest. BSEC Commissioner Dr. Shaikh Shamsuddin Ahmed was present on the program as a special guest. President of Metropolitan Chamber of Commerce and Industry (MCCI) Ms. Nihad Kabir, Chairman of Dhaka Stock Exchange (DSE) Mr. Md. Yunusur Rahman and Chairman of ACI Limited Mr. M Anis Ud Dowla. Mr. Azam J Chowdhury, President of BAPLC were the discussants at the program.

4.2 Exchange views between BSEC and International Monetary Fund (IMF)

An exchange views was held on December 12, 2021 between BSEC and the International Monetary Fund (IMF) at Bangladesh Securities and Exchange Commission under Article IV of the International Monetary Fund. The meeting discussed contemporary economic issues such as contemporary economic indicators and medium term projections, development of financial sector, overall development of capital market and good governance of Bangladesh. On behalf of the Commission, Dr. Shamsuddin Ahmed led the program. An effective discussion was held among the 6 members delegation including Mr. Jayandu Dey, Resident Representative of the IMF Asia Pacific Region and Divisional Chief Mr. Rahul Anand. Discussions focused on capitalization of the macro economy through capital markets, creating potential entrepreneurs, introducing new types of securities to increase capital flows in the capital market and in particular market growth of Fixed Income Securities. The matter regarding market depth and increase of liquidity were also discussed in the meeting.

The IMF delegation assured the Commission of its assistance in any need for the overall development of the capital market.

4.3 Annual Performance Agreement 2021



Photo session after the signing ceremony of the Annual Performance Agreement between the Hon'ble Chairman and Executive Directors of the Commission.

On 25 October 2021 the signing ceremony of the Commission's Annual Performance Agreement 2021-2022 was held at the Multipurpose Hall of the Commission. The agreement was signed between the Honorable Chairman of the Commission and the Executive Directors of the Commission. Mr. Md. Abdul Halim, Commissioner and APA Team Leader, BSEC presided over the signing ceremony. Mr. Ashraful Islam, Executive Director, APA Focal Point, BSEC made a presentation on APA related activities at the event. Employees of different grades of the Commission were present on the event. Through the APA, the various departments of the Commission enter into an agreement with the Chairman on all the important tasks to be performed in the financial year 2021-2022. Prof. Shibli Rubayat-Ul-Islam, Chairman of the Commission, described the Annual Performance Agreement as crucial in the performance of the BSEC and emphasized on its efficient implementation. In the program, the speakers discussed about the review of the implementation of the agreement executed last year and the way to implement the signed agreement 100% this year.

4.4 Sheikh Russel Day-2021 observed



BSEC's Chairman Professor Shibli Rubayat-UI-Islam delivered his speech at the event.

On 18 October, 2021 of the 56th birthday of Sheikh Russel, the youngest son of the Father of the Nation Bangabandhu Sheikh Mujibur Rahman. Bangladesh Securities and Exchange Commission organized a discussion meeting and doa mahfil at Multipurpose Hall, Securities Commission Bhaban to celebrate “Sheikh Russel Day-2021” for the first time with due dignity with the theme of ‘Sheikh Russel Dipto Joyollash, Odomyo Attobisshash.’ The program was presided over by the Chairman of Bangladesh Securities and Exchange Commission Professor Shibli Rubayat-UI-Islam. Commissioner Dr. Shaikh Shamsuddin Ahmed and Dr. Mizanur Rahman among others spoke at the program. Employees of various grades of Bangladesh Securities and Exchange Commission were present there.

4.5 “Bangabandhu Merit Scholarship” giving ceremony



BSEC’s Chairman, Professor Shibli Rubayat-Ul-Islam awarded certificates, crests and cheque among the scholarship recipients.

On the occasion of the birth centenary of the Father of the Nation Bangabandhu Sheikh Mujibur Rahman, “Bangabandhu Scholarship-2020” award ceremony was organized on 13 December, 2021 for the meritorious children of the employees of the Commission. BSEC’s Chairman Professor Shibli Rubayat-Ul-Islam awarded certificates, crests and cheque among the scholarship recipients. The honorable Chairman of BSEC said to the students that Bangladesh is going to become a developed country in 2041. As a result new challenges have to be faced and present students need to be prepared now to face this challenge. The present students will lead the country in the future. Commissioner of the Commission Dr. Shaikh Shamsuddin Ahmed, Dr. Mizanur Rahman, Mr. Md. Abdul Halim, the scholarship recipients and their parents were present on the event.

4.6 Training workshop on the importance of implementing of National Integrity Strategy (NIS)



BSEC Commissioner Mr. Md. Abdul Halim addressed the training workshop on the importance of implementation of National Integrity Strategy (NIS).

A training workshop regarding to importance of implementation of National Integrity Strategy (NIS) by the BSEC's employees' for delivering their official and professional responsibilities held on 13 December, 2021 at Multipurpose Hall of BSEC. Professor Shibli Rubayat-Ul-Islam, Chairman of BSEC delivered his valuable speech as a chief guest and Mr. Md. Abdul Halim, Commissioner of BSEC was the keynote speaker of the said training workshop. Eighty eight (88) Assistant Directors physically and sixty five (65) employees of other grade participated through zoom link in the said training workshop.

4.7 Investment Summit in the United Kingdom (UK) organized by BSEC



'Investment Summit 2021: Building Sustainable Growth Partnership' held on November 04, 2021 at Churchill Hall, Queen Elizabeth Center in London, UK.



'The Rise of Bengal Tiger: Trade and Investment Potentials in Bangladesh' held on November 08, 2021 at Manchester Central Convention Complex, Manchester, UK.

Bangladesh, strategically located between South Asia and South-east Asia, has turned itself into a significant capital market of its time and holds promising opportunities for investors around the world as a production and business hub. To let the investors around the world know about the investment opportunities and high yield from the investment in production and capital markets of Bangladesh, Bangladesh Securities and Exchange Commission (BSEC) has been organizing road shows in various countries from time to time. As a part of its regular function of showcasing the prospect of investment opportunities and attracting foreign direct investment and portfolio investment in the capital markets from around the world, Bangladesh Securities and Exchange Commission (BSEC), in collaboration with Bangladesh Investment Development Authority (BIDA) and the Bangladesh High Commission in London, has organized a road show in the United Kingdom. There were two programs titled 'Investment Summit 2021: Building Sustainable Growth Partnership' held on November 04, 2021 at Churchill Hall, Queen Elizabeth Center in London and 'The Rise of Bengal Tiger: Trade and Investment Potentials in

Bangladesh' held on November 08, 2021 at Manchester Central Convention Complex, Manchester, the United Kingdom.

The purpose of the summit was to

1. highlight the economic development of Bangladesh;
2. bring investments in the financial markets and other sectors of the economy;
3. involve the 600,000 non-resident Bangladeshis living in the UK to invest in Bangladesh;
4. Motivate UK multinational operating in Bangladesh to be listed in the capital market;
5. Explain investment process;
6. Meeting with stakeholders including London Stock Exchange (LSE), Merrill Lynch, (ML), HSBC etc.

A high level delegation from Bangladesh, including decision makers from different sectors and BSEC delegates and business delegates from home and abroad, attended the road show. Hosting as the chief guest, Hon'ble Prime Minister Sheikh Hasina inaugurated the 'Bangladesh Investment Summit-2021: Building Sustainable Growth Partnerships' and delivered her valuable speech. She requested the British Bangladeshis to invest in Bangladesh and make partnership foreign investors to invest in the promising sectors of Bangladesh. "Many lucrative sectors are there, like energy, renewable energy, shipbuilding, automobile, light engineering, agro-processing, blue economy, tourism, knowledge based Hi-Tech industries and ICT. These sectors are looking for foreign investment. British investors can choose any of these and beyond," she said. As many as 100 Economic Zones and 28 Hi-Tech Parks are being made ready for foreign and domestic investments. She offered exclusive economic zones where companies from a specific country can invest. "UK investors can take one of such zones for themselves".

Chairman of Bangladesh Securities and Exchange Commission (BSEC) Professor Shibli Rubayat-UI-Islam said that the visit to the UK is important because UK has been a long lasting development partner and friend since the birth of Bangladesh. He said "Bangladesh always draws in good investment from the UK. Many British companies have invested in Bangladesh. We will try to develop these relationships". He also said "Bangladesh has experienced extraordinary growth to become a Middle Income nation and is now South Asia's fastest growing economy. Despite the COVID-19 pandemic, Bangladesh is on target to achieve a GDP of USD 500 bn by 2026, likely overtaking powerhouses including Hong Kong, Singapore and Malaysia".

Private Industry and Investment Adviser to the Hon'ble Prime Minister Mr. Salman Fazlur Rahman said "in order to beat the 'middle-income trap' and become a high-income nation by 2041, we need to continue to develop our domestic markets and diversify our export baskets-both of which would be catalysed by FDI & Domestic Investment". The Minister of States, ICT Mr. Zunaid Ahmed Palak MP said "we have achieved major milestones of Digital Bangladesh and have graduated to middle-income status. ICT has played a role in this incredible journey and will continue to be a key enabler of our sustained progress". Mr. Naser Ezaz Bijoy, CEO of Standard Chartered Bangladesh extolled that "despite the challenges posed by the pandemic, the country achieved one of the highest economic growth rates in the world. The country is expected maintain a growth trajectory that will enable GDP to exceed economies like Malaysia, Hong Kong, Singapore, Denmark and other".

The RT Hon Ms. Penny Mordaunt, Minister of State for Trade and Policy and Representative of the Prime Minister of the United Kingdom Mr. Boris Johnson said that Bangladesh is an important partner of the UK in the South Asian region and they wish to become important trade partner of Bangladesh in the long term. She extolled the flourishing trade and investment relationship between two nations. She said "The UK is perfectly pleased in achieving its trade and development ambitions, sharing of skills, capabilities, across a range of cutting-edge sectors from tech to telecommunications, finance to pharmaceuticals". She also said "British and Bangladeshi innovators, entrepreneurs working side by side

to create new technologies, products and services that would shape the world of tomorrow. Trade between UK and Bangladesh was almost 3 (three) billion last year despite of the impact of global pandemic and we have the capacities to achieve far more better.” She expressed her intention to sign a MoU with Bangladesh soon to underpin the progress together. Our vision is to develop sustainable trade and investment relationship with Bangladesh for long term so that we can work together to raise the economic opportunities that lie before us in this fast changing world and the launch of UK Bangladesh Trade and Investment dialogue is a crucial step of that journey.

Bangladesh High Commissioner to the UK H.E. Saida Muna Tasneem said that the UK is the third largest export destination of Bangladesh and second largest foreign investor in Bangladesh. She also said, “The UK and Bangladesh have a long history and operate in close partnership. The UK is currently Bangladesh’s third largest trading partner. This summit has enabled the UK investors to see even more potential in Bangladesh and we look forward to continuing to grow the partnership between our two nations”.

Chairman of Bangladesh Investment Development Authority (BIDA), Mr. Mohammad Sirazul Islam said, “We’re delighted with the response we’ve had from investors at this Bangladesh Investment Summit in London. We seek diversification in our trade and investment, with a focus on green infrastructure and the blue economy. The UK is the perfect partner in this, with extensive experience in this area.” Executive Chairman of Bangladesh Export Processing Area Authority (BEPZA), Major General Mr. Md. Nazrul Islam said that we have the opportunity to take the benefit of industrial revolution and they are working on developing a trustworthy relationship among the foreign investors and the government.

Foreign Minister Dr. AK Abdul Momen, MP said “We have designated industrial special economic zone now, we want them to be connected to the global value change.” He requested the investors for their own benefit so that their products will be competitive and the return on investment in Bangladesh is relatively higher vis-a-vis all neighbors. He also said that there is an immense opportunity in agriculture sector and extension services are open up.

Land Minister Mr. Saifuzzaman Chowdhury, MP said, “Bangladesh has shown unexpected and very impressive economic development. The GDP growth surpassed Pakistan in 2008 and now it is close to India. There wasn’t any much secret, it is a dedication, commitment, honesty from our leader, our Prime Minister Sheikh Hasina and she knows how to manage”. Mr. Md. Atiqul Islam, Mayor, Dhaka North City Corporation and Governor of Bangladesh Bank Mr. Fazle Kabir were present in the summits.

BSEC had previously organized three other road shows in this year to attract foreign investment. The first road show was held in Dubai in February, second one in the US in July and August and the third one was held in Switzerland in September, 2021.

It is hoped that the Bangladesh Investment Summit will make the two countries’ business relations even more fruitful. The road show is expected to provide impetus by displaying Bangladesh’s potentialities with rewards of high returns from business and investments.

4.8 Public hearing on “How do Regulators help to ensure soundness of Financial Intermediaries”

The sixth institutional public hearing on “How do Regulators help to ensure soundness of Financial Intermediaries” was held on 14th December, 2021 through online zoom platform as part of activities for implementation of the approved work plan of Bangladesh Securities and Exchange Commission (BSEC) for the fiscal year 2021-2022 regarding National Integrity Strategy in collaboration with the Training Academy of Dhaka Stock Exchange Ltd. BSEC Commissioner Dr. Shaikh Shamsuddin Ahmed delivered a welcome speech on the occasion. Mr. Mohammad Rezaul Karim, Executive Director of the Commission was present on the occasion as the spokesperson of the Commission and Mr. Md. Saifur Rahman Majumder (DSE), Mr. Sharif Anwar Hossain (DBA), Mr. Mohammad Saidur Rahman (BMBA) and Mr. Mohammad Mahbubur Rahman (The City Bank Ltd.) were present as representatives from other institutions. BSEC Commissioner Mr. Md. Abdul Halim presented the concluding remarks on the occasion.



Participants presented in the public hearing on “How do Regulators help to ensure soundness of Financial Intermediaries”.

4.9 Seminar on “Right to Information Act & Rules”

A seminar program on “Act & Rules of Right to Information” for a part of the Assistant Directors of the Commission was held on 15th December, 2021 at Multipurpose Hall of the Securities Commission Bhaban as a part of activities for implementation of the approved annual work plan of Bangladesh Securities and Exchange Commission for the fiscal year 2021-2022. The program was chaired by Mr. Md. Abdul Halim honorable Commissioner of BSEC, Commission’s Focal Point Officer for Right to Information and Executive Director Mr. Muhammad Rezaul Karim delivered insightful speech in the seminar while the keynote speaker was director of Information Commission Dr. Md. Abdul Hakim.



Participants presented in the seminar program on “Act & Rules of Right to Information”.

4.10 Capacity Building Programs

A three days long training program was arranged by DSE Training Academy in collaboration with Surveillance Department of DSE for the newly joined Assistant Directors of Market Surveillance and Intelligence Department of Bangladesh Securities and Exchange Commission on 13th, 14th and 17th October, 2021



4.11 Workshop on Implementation of e-Governance and Innovation Action Plan by BSEC on the Challenges of the 4th Industrial Revolution



Mr. Shamim Ahsan speaking at the workshop titled “Facing the 4th Industrial Revolution Challenge” about the activities of BSEC



Mr. Md. Rashedur Rahman speaking at the workshop titled “Implementation of e-Governance and Innovation Action Plan”

On 22 December 2021, as part of the implementation of the e-Governance and Innovation Action Plan of the BSEC, the two activities was organized by BSEC on the task of challenges of the 4th Industrial Revolution and the implementation of e-Governance and Innovation Action Plan are separate. The training workshop was chaired by Mr. Md. Abdul Halim, Commissioner, BSEC and APA Team Leader. Mr. Shamim Ahsan, Chairman of Venture Capital and Private Equity Association of Bangladesh and Managing Director of e-Generation Limited and Mr. Swapan Kumar, director discussed various issues related to BSEC’s role in tackling the challenges of the 4th Industrial Revolution. Mr. Md. Rashedur Rahman, Associate Professor, Department of Organizational Strategy and Leadership, Dhaka University, imparted training on various aspects of implementation of e-Governance and Innovation Action Plan. Employees of different grades of the Commission participated in the training workshop.

PART - II

5. Capital Market Regulatory Reforms and Compliance

The following amendments/orders/directives have been issued in October-December, 2021:

Sl. No.	Subject	Classification	Reference No.
1	2	3	4
1	An order has been issued to amend the Dhaka Stock Exchange (TREC Holder's Margin) Regulations, 2013 and Chittagong Stock Exchange (TREC Holder's Margin) Regulations, 2013 within next 30 (thirty) working days by Dhaka Stock Exchange Ltd. and Chittagong Stock Exchange Ltd.	Order	BSEC/CMRRCD/2021-397/28(A); dated 09 November, 2021
2	Directive on Maintenance of additional two Consolidated Customers' Accounts (CCA) by each Stock Broker has been issued.	Directive	BSEC/CMRRCD/2001-80/29; dated 17 November, 2021
3	Directive on Inclusion of Cost and Management Accountants as Independent Scrutinizer has been issued.	Directive	BSEC/CMRRCD/2009-193/30; dated 17 November, 2021
4	Order regarding margin loan facilities provided by Merchant Banker has been issued.	Order	BSEC/CMRRCD/2009-193/31; dated 26 December, 2021
5	Directive regarding margin loan facilities provided by Stock Broker issued.	Directive	BSEC/CMRRCD/2009-193/32; dated 26 December, 2021

6. Capital Issue

Capital Issue Department of the Commission performs the works related to the approval of capital raising through issuance of equity securities, debt securities and sukuk under public and private offer. In addition to that, the Department also performs the tasks related to the right issue, direct listing of the securities to the stock exchange and issuance of asset backed securities. The Commission has accorded consent to the companies/issuers, who are eligible under the following rules:

Securities and Exchange Commission (Issue of Capital) Rules, 2001

Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2015

Bangladesh Securities and Exchange Commission (Qualified Investor Offer by Small Capital Companies) Rules, 2018

Securities and Exchange Commission (Rights Issue) Rules, 2006

সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (সম্পদভিত্তিক সিকিউরিটিজ ইস্যু) বিধিমালা, ২০০৮

Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021

- **Initial Public Offering (IPO):**

During October-December, 2021 the Commission gave consent to 3 (three) companies to raise capital through Initial Public Offering (IPO) under Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2015.

- **Capital Raising through Private Offer:**

Public Limited Company:

During October-December, 2021 the Commission gave consent to 8 (eight) Public Limited Companies to raise capital of Tk. 3,250.00 crore through issuance of bond and sukuk under Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021. The details are given below:

Media Of Capital Raising	No. of Company/Issuer	Total Capital (Tk. in crore)
1	2	3
Common Stock	-	-
Perpetual Bond	3	1,300.00
Subordinated Bond	3	1,050.00
Debenture	-	-
Convertible Preference Share	-	-
Redeemable Preference share	-	-
Zero Coupon Bond	1	300.00
Others Coupon Bearing Bond	1	600.00
Sukuk	-	-
Bond	-	-
Total	8	3,250.00

Commission accorded consent for publication of prospectus during October-December, 2021 under Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2015.

Sl. No.	Name of the Company	Paid up Capital (Before IPO) Taka	Issue Price Taka	Number of shares issued	IPO amount, in TK. (Including premium if any) Taka	Paid up Capital (Post IPO) Taka
1	2	3	4	5	6	7
1	BD Thai Food & Beverage Ltd.	665,000,000	10	15,000,000	150,000,000	815,000,000
2	Union Bank Limited	5,589,337,600	10	428,000,000	4,280,000,000	9,869,337,600
3	JMI Hospital Requisite Mfg. Ltd.***	900,000,000	10	***	750,000,000	***
Total Taka						10,684,337,600

*** JMI Hospital Requisite Mfg. Ltd. has been accorded consent from the Commission for capital raising through Initial Public Offering under Book Building Methods, where premium will be determined on the basis of cut-off price. In this report, we only consider the face value (Tk. 10) of share to determine the IPO amount for JMI Hospital Requisite Mfg. Ltd. and subsequently determine total amount of IPO for this quarter. Actual figure may be confirmed after the end of cut-off price determination.

Consent for raising of Capital through Issuance of Bond, Debenture & Sukuk (Public and Private Offer) during October-December, 2021.

Sl. No.	Name of the Company	Particulars	Public Offer (IPO) (Amount in BDT)	Private Offer (Amount in BDT)	Total Amount (Taka)
1	2	3	4	5	6
1	Delta BRAC Housing Finance Corporation Limited	Non-Convertible, Unsecured, Zero-Coupon Bond of Tk. 3,000,000,000/-(Face value)		3,000,000,000	3,000,000,000
2	BRAC Bank Limited	Non-Convertible, Fixed Coupon, Senior Bond of Tk. 6,000,000,000/-(Face value)		6,000,000,000	6,000,000,000
3	NRB Commercial Bank Limited	Non-convertible, Unsecured, Fully-redeemable, Floating Rate, NRBC Bank Subordinated Bond-1 of BDT 3,000,000,000/-		3,000,000,000	3,000,000,000
4	AB Bank Limited	Transferable, Unsecured, Non-Cumulative, Contingent, Convertible, Perpetual Bond of BDT 6,000,000,000/-	600,000,000	5,400,000,000	6,000,000,000
5	The Premier Bank Limited	Unsecured, Contingent, Convertible, Fully paid-up, Non-Cumulative, BASEL III compliant, Perpetual Bond of 2,000,000,000	200,000,000	1,800,000,000	2,000,000,000
6	Pubali Bank Limited	Unsecured, Contingent, Convertible, Fully paid-up, Non-Cumulative, BASEL III Compliant, Perpetual Bond of 5,000,000,000	500,000,000	4,500,000,000	5,000,000,000
7	Southeast Bank Limited	Non-Convertible, Unsecured, Floating Rate, Subordinated Bond of BDT 5,000,000,000/-		5,000,000,000	5,000,000,000
8	Jamuna Bank Limited	Non-Convertible, Unsecured, Fully Redeemable, Coupon Bearing, Subordinated Bond of BDT 2,500,000,000/-		2,500,000,000	2,500,000,000
Total Taka					32,500,000,000

7. Registration

During October-December, 2021 Registration Department performed the following activities:

Sl. No.	Type of Certificate	Name of the concerned Rules	Number of Certificate Issued/ Re-issue	Number of Certificate Renewed	Permission for Branch Opening of SD/SB & MB	Relocation/ shifting of Branch office for MB and SD/SB
1	2	3	4	5	6	7
1	Stock Dealer (DSE)	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (স্টক ডিলার, স্টক ব্রোকার ও অনুমোদিত প্রতিনিধি) বিধিমালা, ২০০০	12	56	--	--
	Stock Broker (DSE)	Do	12	57	19	--
2	Stock Dealer (CSE)	Do	--	23	--	--
	Stock Broker (CSE)	Do	--	25	--	--
3	Authorized Representative (DSE)	Do	220	223	--	--
4	Authorized Representative (CSE)	Do	02	27	--	--
5	Merchant Bank (MB)	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মার্চেন্ট ব্যাংকার ও পোর্টফোলিও ম্যানেজার) বিধিমালা, ১৯৯৬	01	N/A	--	--
6	Asset Management Company	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচুয়াল ফান্ড) বিধিমালা, ২০০১	01	N/A	--	--
7	Security Custodian	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (সিকিউরিটি কাস্টডিয়ান সেবা) বিধিমালা, ২০০৩	01	--	--	--
8	Depository Participants	ডিপজিটরি (ব্যবহারিক) প্রবিধানমালা, ২০০৩	06	69	--	--

Sl. No.	Type of Certificate	Name of the concerned Rules	Number of Certificate Issued/ Re-issue	Number of Certificate Renewed	Permission for Branch Opening of SD/SB & MB	Relocation/ shifting of Branch office for MB and SD/SB
1	2	3	4	5	6	7
9	Trustee of Debt Securities	Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021	07	N/A	--	--
10	Trustee	Bangladesh Securities and Exchange Commission (Alternative Investment) Rules, 2015	--	N/A	--	--
11	Trustee	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচুয়াল ফান্ড) বিধিমালা, ২০০১	--	N/A	--	--
12	Fund Manager	Bangladesh Securities and Exchange Commission (Alternative Investment) Rules, 2015	02	N/A	--	--
13	Custodian for Mutual Fund	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচুয়াল ফান্ড) বিধিমালা, ২০০১	--	N/A	--	--
14	Credit Rating Company	Credit Rating Companies Rules, 1996	--	N/A	--	--
15	Permission of Digital Booth	Directive Date 13 December, 2020	49	N/A	--	--
16	Market Maker	বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন (বাজার সৃষ্টিকারী) বিধিমালা, ২০১৭	01	N/A	--	--
Total			314	480	19	--

8. Market Surveillance and Intelligence

• Market Surveillance

The primary responsibility of Market Surveillance has been entrusted to the stock exchanges. However, BSEC keeps a proactive oversight on market movements and trends and in exceptional circumstances it analyses the same.

• Instant Watch

As part of daily online supervision of the market, the surveillance officials of the Commission watch through “Instant Watch Market” surveillance system and analyze the trading activities of the stock exchanges in order to find out irregularities, suspicious trading and market misconducts, if any. At the end of the day, a daily trade report is prepared by pointing out market conditions and is submitted to the Chairman, the Commissioners and the concerned Executive Director of the Commission.

• Intelligence

The surveillance officials of the Commission use their intelligence and observe suspicion with 360 degree view to find financial crime in depth through watching stakeholder’s website, relevant news and report and “Business Intelligence” of “Instant Watch Market” system.

• Enquiry & Investigation

In order to ensure fair trading and build confidence among investors in the securities market, it is necessary to ensure proper compliance of securities related laws, rules and regulations through investigation into suspicious and manipulative cases.

During October-December, 2021 BSEC conducted enquiry and investigation on unusual movement of price and volume of the following scripts:

Sl. No.	Enquiry	Status
1	2	3
1	S.S Steel Limited	Given query received and report under process to be submitted.
2	Anwar Galvanizing Ltd., GBB Power Ltd., Emerald Oil Industries Ltd., Bangladesh National Insurance Company Ltd., National Feed Mill Ltd., Paper Processing & Packaging Ltd., The Dacca Dyeing & Manufacturing Co. Ltd., Fu-Wang Ceramic industries Ltd. and Beacon Pharmaceuticals Limited.	Enquiry Committee is formed and the report is yet to be submitted.
3	Anwar Galvanizing Ltd.	The Enquiry committee submitted the report and it is in process to be submitted to the Enforcement Department.

During October-December, 2021 BSEC conducted the following inspection:

Sl. No.	Inspected Companies	Status
1	2	3
1	Recent Unusual buy/sell orders of Sonali Life Insurance Company Ltd.	The report has been submitted to the Chairman's Office.

During October-December, 2021 Dhaka Stock Exchange Limited (DSE) and Chittagong Stock Exchange Limited (CSE) have submitted the following investigation reports to the Commission:

Sl. No.	Investigation Reports	Status
1	2	3
1	Acquisition of Significant number of Shares of LIBRAINFU by Prof. Dr. AKM Aminul Haque and his family members	The report was referred to Enforcement Department for further necessary action.
2	P/E ratio above 40	The report was referred to Enforcement Department for further necessary action.
3	Rangpur Dairy and Food Products Ltd.	The report was referred to Enforcement Department for further necessary action.
4	Tamijuddin Textiles Limited	The report was referred to Enforcement Department for further necessary action.
5	Progati Insurance Co. Ltd.	The report was referred to Enforcement Department for further necessary action.
6	Provati Insurance Co. Ltd.	The report was referred to Enforcement Department for further necessary action.
7	Pioneer Insurance Co. Ltd.	The report was referred to Enforcement Department for further necessary action.
8	Sonar Bangla Insurance Co. Ltd.	The report was referred to Enforcement Department for further necessary action.
9	Bangladesh National Insurance Co. Ltd.	The report was referred to Enforcement Department for further necessary action.
10	Saif Powertec Limited	The report was referred to Enforcement Department for further necessary action.

Coordination Meeting among the Stakeholders & Regulatory Bodies:

- A meeting between Bangladesh Securities and Exchange Commission (BSEC) and Market Intermediaries has been taken place on increasing liquidity in capital market.
- A meeting between MSI Department of BSEC and ICB has taken place on the role of Investment Corporation of Bangladesh (ICB) to support the capital market.



9. Supervision and Regulation of Intermediaries

In order to protect the interest of investors in securities, to increase the confidence of investors towards the capital market and to develop the securities market, SRI Department supervises and regulates the activities of Stock-Dealers/Stock Brokers, Depository Participants, Merchant Bankers, Credit Rating Companies, Securities Custodian, Asset Management Companies and other market intermediaries. This Department conducts routine inspection on the market intermediaries on monthly basis and also conducts special inspection as and when required. The Department also deals with the complaints lodged by the investors. During October-December, 2021 the following activities, among others, were performed by this Department:

A. Settlement of Complaints of Investors

SRI Department is responsible for settling the complaints of the investors of securities market. Since September 30, 2019 the investors have been submitting their complaints online through Customer Complaint Address Module (CCAM). Using the Module, the investors can easily track the current status of their complaints online. As a result, efficiency, transparency and accountability in complaint settlement have been increased significantly. A summary of the complaints lodged by investors through CCAM are furnished below:

Type of the Institutions against which complaint received	Number of complaints received	Resolved	Under process
1	2	3	4
Stock Broker/Stock Dealer	72	55	17
Merchant Bank	5	1	4
Asset Manager	3	2	1
Issuer	28	23	5
Custodian	-	-	-
Total	108	81	27

In addition to the complaints lodged through CCAM, investors also lodged complaints to the Commission manually. A summary of the said complaints are furnished below:

Type of the Institutions against which complaint received	Previous unresolved complaints	Complaints received during the period	Total complaints	Sent for Enforcement action	Resolved	Uploaded in CCAM	Under process
1	2	3	4	5	6	7	8
Stock Broker/Stock Dealer	3	27	30	-	-	16	3
Total	3	27	30	-	-	16	3

B. Exchange of Information with Government Organizations

An online Platform called External Data Request Processing (EDRP) was introduced on April 15, 2019 to facilitate exchange of information with different government agencies including Anti Corruption Commission (ACC). As per request of different government organizations, information has been provided to them using the platform. Summary is shown in the table below:

Name of the Institutions, who requested information	Number of letters received	Number of letters against which information provided	Under process
1	2	3	4
Anti Corruption Commission (ACC)	187	187	--
Criminal Investigation Department (CID)	13	13	--
National Board of Revenue (NBR)	01	01	--
Others	04	04	--
Total	205	205	--

C. Inspections on Market Intermediaries

During October-December, 2021 SRI Department initiated 22 inspections on capital market intermediaries, which are shown below:

Type of Market Intermediaries	Number of Inspections	Number of Reports Submitted	Under process
1	2	3	4
Stock Broker/Stock Dealer	6	2	4
Asset Management Company	5	2	3
Total	11	4	7

D. Enquiry/Investigation on Market Intermediaries

During October-December, 2021 SRI Department initiated 06 Enquiry/investigation on market intermediaries of the capital market. Details are shown below:

Type of Market Intermediaries	Number of Enquiry /Investigation	Number of Report Submitted	Under process
1	2	3	4
Merchant Bankers	6	1	5
Total	6	1	5

E. Action taken on the inspection reports submitted by the inspection teams

The inspection teams of SRI Department conducted inspection on market intermediaries and submitted reports to the Commission. Besides that, as per instructions of the Commission, Chittagong Stock Exchange Limited (CSE) and Dhaka Stock Exchange Limited (DSE) conducted routine inspection on the TREC Holder Companies and submitted reports to the Commission. During October-December, 2021 the following actions were taken on the inspection reports received by SRI Department:

Inspection conducted by	Previous Reports under examination	Reports received during the period	Total Reports	Sent for Enforcement action	Disposed of with warning letter issued by SRI Department	Disposed of without any action	Under process
1	2	3	4	5	6	7	8
BSEC	-	-	-	-	-	-	-
DSE	14	-	-	-	-	-	-
CSE	10	-	-	-	-	-	-
Total	24	-	-	-	-	-	-

F. Examination of Audited Financial Statements of TREC Holder Companies

SRI Department, in collaboration with the stock exchanges, examines the Audited Financial Statements (AFS) of TREC Holder Companies. During October-December, 2021 the following activities were performed regarding examination of audited Financial Statements of TREC Holders:

Particulars	Number of TREC Holder Companies	
	CSE	DSE
1	2	3
Examination of the Audited Financial Statements of TREC Holder Companies by the stock exchanges	-	49
Observations forwarded to the Commission by the stock exchanges	-	17
Conduction of meeting with the TREC Holder Companies by the stock exchanges	-	0

G. Appointment of Managing Director/CEO of Merchant Bankers

During October-December, 2021 the following activities were performed by the Department regarding appointment of Managing Director/CEO of Merchant Bankers:

Matter of Application	Number of previous Application	Number of Application received during the period	Total number of Application	Approval granted	Approval not granted	Under process
1	2	3	4	5	6	7
Appointment of Managing Director/CEO	5	3	8	6	1	1
Time extension for appointment of Managing Director/CEO in vacant post	-	2	2	2	-	-
Total	5	5	10	8	1	1

10. Enforcement

The Enforcement Department takes legal measures, including imposition of fines, considering the intensity of the violation of securities laws against the accused in the capital market. Inspections, investigations and enquiries are carried out under the securities laws by the Commission. On the basis of the violations mentioned in the inspection, enquiry and investigation report, show cause cum hearing notice is issued to the accused for violation of securities related laws. After conducting hearing and obtaining explanation from the accused, the Commission takes legal action against the accused in accordance with the securities laws.

The Enforcement actions taken by the Commission against the Issuer Companies, Stock Broker, Stock Dealers, Merchant Bankers, Authorized Representatives and other concerned due to non-compliance of securities related laws during the period October-December, 2021; which are furnished below:

Sl. No.	Particulars	Penalty	Warning	Dispose of	Total
1	2	3	4	5	6
1	Issuer Company/Director	0	8	2	10
2	Stock Broker/Stock Dealer/ Authorized Representative	3	159	17	179
3	Merchant Bank	0	1	0	1
4	Asset Management Company	0	0	0	0
5	Credit Rating Companies	0	0	0	0
6	Chartered Accountants	0	0	1	1
7	Investor	1	15	1	17
8	Stock Exchange	0	4	0	4
9	Others	0	0	0	0
	Grand Total	4	187	21	212

11. Supervision and Regulation of Markets and Issuer Companies

SRMIC Department of the BSEC supervises activities of stock exchanges, over the counter (OTC) markets and issuer companies in accordance with the securities laws. Besides, the Department also resolves complaints received against issuer companies under the securities laws. The functions of SRMIC are enumerated below:

- a. Monitoring the declaration of sale/purchase/transfer of securities by the sponsor/director of the listed companies.
- b. Monitoring the position of monthly shareholding of sponsors/directors.
- c. Monitoring disclosure of price sensitive information of listed companies.
- d. Approval of transfer of share of listed companies outside the stock exchanges.
- e. Monitoring all activities of stock exchanges (except securities transactions).
- f. Taking effective measures to resolve complaints related to capital market.

In the light of the above mentioned regular functions, the SRMIC Department performed the following special functions to bring dynamism in the capital market during the period October-December, 2021:

- Under the relevant announcement of substantial securities purchase/sale/transfer by the sponsors/directors of the listed companies-2 companies have been approved.
- Letter has been issued to the companies to ensure 2%-30% monthly shareholding position of sponsors/directors.
- Recommendation for appointment of Chief Regulatory Officer of Chittagong Stock Exchange.
- Initiative has been taken to launch Commodity Exchange at Chittagong Stock Exchange.
- A tripartite meeting was held among whom to ensure overall good governance of Dhaka and Chittagong Stock Exchanges.
- Approval of 3 new TREC issues of Dhaka Stock Exchange Ltd.
- Board of a company has been reconstituted for its smooth management.
- Order has been issued to investigate 4 listed companies to ensure overall good governance.
- Meeting was conducted in the context of no-dividend declaration of listed companies and an instruction to pay dividends was given.
- Initiative has been taken to increase the paid up capital of listed companies to Tk. 30 crore.
- Under the exit plan, one company has been given initial approval for exit.
- Complaints received related to the capital market have been sent to stock exchanges and companies for settlement.

Information on Dividend Declared by the Listed Companies

Following are the details of the Annual General Meeting of the listed companies and the declared dividend during October-December, 2021:

List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
1	99638	Berger Paints Bangladesh Ltd.	202103	06-10-2021	375.00	--	16-08-2021	25-07-2021
2	11151	South Bangla Agriculture & Commerce Bank Ltd.	202012	21-10-2021	4.00	4%B	28-09-2021 & 29-12-2021	08-09-2021
3	25726	Meghna Life Insurance Co. Ltd.	202012	27-10-2021	15.00	15%B	06-10-2021	16-09-2021
4	11131	Prime Finance & Investment Ltd.	202012	28-10-2021	Nil	--	30-09-2021	01-09-2021
5	25741	Rupali Life Insurance Co. Ltd.	202012	28-10-2021	13.00	2%B	23-09-2021	23-08-2021
6	11148	Bay Leasing & Investment Ltd.	202012	04-11-2021	10.00	--	18-10-2021	29-09-2021
7	20620	Eastern Housing Limited	202106	10-11-2021	15.00	--	07-10-2021	16-09-2021
8	19503	Sonali Paper & Board Mills Limited	202106	11-11-2021	20.00	20%B	07-10-2021	19-09-2021
9	29004	Sea Pearl Beach Resort & Spa Ltd.	202106	13-11-2021	1.00	--	16-09-2021	23-08-2021
10	18464	The IBN SINA Pharmaceutical Industry Ltd.	202106	18-11-2021	47.00	--	18-10-2021	22-09-2021
11	23619	Apex Footwear Limited	202106	22-11-2021	35.00	5%B	21-10-2021	30-09-2021
12	15311	Titas Gas Trans. & Dist. Co. Ltd.	202106	25-11-2021	22.00	--	11-11-2021	19-10-2021
13	23601	Apex Tannery Limited	202106	29-11-2021	10.00	--	27-10-2021	03-10-2021



List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
14	11146	FAS Finance & Investment Limited	202106	30-11-2021	Nil	--	14-11-2021	24-10-2021
15	15319	Doreen Power Generations and Systems Limited	202106	05-12-2021	13.00	12%B	09-11-2021	19-10-2021
16	15306	Summit Power Ltd.	202106	05-12-2021	35.00	--	24-10-2021	27-09-2021
17	27002	Bangladesh Submarine Cable Company Ltd.	202106	07-12-2021	37.00	--	11-11-2021	24-10-2021
18	13231	Bengal Windsor Thermoplastics Ltd.	202106	09-12-2021	5% (including 2.50% Interim)	--	18-11-2021	27-10-2021
19	25748	Express Insurance Limited	202012	09-12-2021	7% (including 5% Interim Cash Dividend)	--	07-10-2021	29-08-2021
20	99643	Index Agro Industries Limited	202106	09-12-2021	25.00	--	31-10-2021	10-10-2021
21	13240	KDS Accessories Limited	202106	09-12-2021	15.00	--	21-11-2021	26-10-2021
22	15322	Energypac Power Generation Limited	202106	11-12-2021	10.00	--	16-11-2021	27-10-2021
23	13209	Monno Agro & General Machinery Ltd.	202106	11-12-2021	10.00	--	23-11-2021	31-10-2021
24	24606	Monno Ceramic Industries Ltd.	202106	11-12-2021	10.00	--	23-11-2021	31-10-2021
25	18463	Kohinoor Chemicals Company (Bangladesh) Ltd.	202106	12-12-2021	35.00	15%B	21-11-2021	01-11-2021
26	17453	Saiham Cotton Mills Limited	202106	12-12-2021	10.00	--	17-11-2021	28-10-2021
27	17412	Saiham Textile Mills Ltd.	202106	12-12-2021	10.00	--	18-11-2021	31-10-2021
28	14262	Gemini Sea Food Ltd.	202106	13-12-2021	5.00	--	22-11-2021	31-10-2021

List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
29	17460	Matin Spinning Mills Ltd.	202106	13-12-2021	40.00	--	17-11-2021	31-10-2021
30	29003	The Peninsula Chittagong Ltd.	202106	13-12-2021	10.00	--	15-11-2021	26-10-2021
31	22651	ADN Telecom Ltd.	202106	14-12-2021	10.00	--	17-11-2021	27-10-2021
32	17456	Argon Denims Limited	202106	14-12-2021	10.00	5%B	18-11-2021	28-10-2021
33	13202	Aziz Pipes Ltd.	202106	14-12-2021	Nil	--	18-11-2021	31-10-2021
34	17472	Evince Textiles Limited	202106	14-12-2021	2.00	--	18-11-2021	28-10-2021
35	22648	IT Consultants Limited	202106	14-12-2021	5.00	--	18-11-2021	31-10-2021
36	20622	Summit Alliance Port Limited	202106	14-12-2021	10.00	--	18-11-2021	31-10-2021
37	22645	Agni Systems Ltd.	202106	15-12-2021	3.50	--	21-11-2021	28-10-2021
38	14254	Apex Foods Limited	202106	15-12-2021	20.00	--	21-11-2021	31-10-2021
39	17421	Apex Spinning & Knitting Mills Limited	202106	15-12-2021	20.00	--	21-11-2021	31-10-2021
40	13229	Deshbandhu Polymer Limited	202106	15-12-2021	5.00	--	28-10-2021	03-10-2021
41	21644	M. I. Cement Factory Limited	202106	15-12-2021	20.00	--	17-11-2021	28-10-2021
42	15314	MJL Bangladesh Limited	202106	15-12-2021	55.00	--	17-11-2021	19-10-2021
43	13244	Nahee Aluminum Composite Panel Ltd.	202106	15-12-2021	10.00	--	10-11-2021	18-10-2021



List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
44	18473	Square Pharmaceuticals Ltd.	202106	15-12-2021	60.00	--	22-11-2021	24-10-2021
45	17446	Square Textiles Limited	202106	15-12-2021	20.00	--	22-11-2021	24-10-2021
46	22643	BDCOM Online Ltd.	202106	17-12-2021	5.00	5%B	18-11-2021	31-10-2021
47	15324	Baraka Patenga Power Limited	202106	18-12-2021	12.50	--	24-11-2021	31-10-2021
48	15313	Baraka Power Limited	202106	18-12-2021	10.00	--	24-11-2021	31-10-2021
49	17458	Paramount Textile Limited	202106	18-12-2021	20.00	5%B	18-11-2021	31-10-2021
50	18457	Renata Ltd.	202106	18-12-2021	145.00	10%B	15-11-2021	24-10-2021
51	17445	Safko Spinnings Mills Ltd.	202106	18-12-2021	5.00	--	22-11-2021	31-10-2021
52	13204	Bangladesh Lamps Limited	202106	19-12-2021	20.00	--	10-11-2021	19-10-2021
53	18472	Orion Infusion Ltd.	202106	19-12-2021	10.00	--	29-11-2021	09-11-2021
54	18486	Orion Pharma Ltd.	202106	19-12-2021	12.00	--	29-11-2021	09-11-2021
55	17477	Aman Cotton Fibrous Limited	202106	20-12-2021	11.00	--	22-11-2021	01-11-2021
56	99641	Aman Feed Limited	202106	20-12-2021	15.00	--	21-11-2021	01-11-2021
57	17441	Anlima Yarn Dyeing Ltd.	202106	20-12-2021	2.00	--	15-11-2021	24-10-2021
58	13232	Bangladesh Building Systems Ltd.	202106	20-12-2021	2.00	--	14-11-2021	24-10-2021



List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
59	13242	BBS Cables Limited	202106	20-12-2021	10.00	5%B	14-11-2021	24-10-2021
60	17416	Dulamia Cotton Spinning Mills Ltd. (DCSML)	202106	20-12-2021	Nil	--	21-11-2021	28-10-2021
61	13230	GPH Ispat Ltd.	202106	20-12-2021	20.00	10%B	22-11-2021	31-10-2021
62	13243	Oimex Electrode Limited	202106	20-12-2021	Nil	--	18-11-2021	28-10-2021
63	21621	Confidence Cement Ltd.	202106	21-12-2021	25.00	--	23-11-2021	31-10-2021
64	18490	Far Chemical Industries Ltd.	202106	21-12-2021	1.00	--	25-11-2021	31-10-2021
65	17450	R.N. Spinning Mills Ltd.	202106	21-12-2021	Nil	--	25-11-2021	28-10-2021
66	17408	Stylecraft Limited	202106	21-12-2021	Nil	--	24-11-2021	28-10-2021
67	17440	Alltex Industries Ltd.	202106	22-12-2021	Nil	--	22-11-2021	31-10-2021
68	21633	Aramit Cement Ltd.	202106	22-12-2021	Nil	--	24-11-2021	31-10-2021
69	99602	Aramit Limited	202106	22-12-2021	50.00	--	24-11-2021	31-10-2021
70	17415	Desh Garments Ltd.	202106	22-12-2021	5.00	--	18-11-2021	31-10-2021
71	25725	Fareast Islami Life Insurance Co. Ltd.	202012	22-12-2021	Nil	--	21-11-2021	01-11-2021
72	14292	Fine Foods Limited	202106	22-12-2021	Nil	--	18-11-2021	31-10-2021
73	13237	IFAD Autos Limited	202106	22-12-2021	11.00	--	21-11-2021	31-10-2021



List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
74	13469	National Polymer Industries Ltd.	202106	22-12-2021	10.00	--	14-11-2021	24-10-2021
75	16360	Sonali Aansh Industries Limited	202106	22-12-2021	10.00	--	07-12-2021	17-11-2021
76	18483	Active Fine Chemicals Ltd.	202106	23-12-2021	0.50	--	28-11-2021	31-10-2021
77	18489	AFC Agro Biotech Ltd.	202106	23-12-2021	0.50	--	28-11-2021	31-10-2021
78	99613	Bangladesh Export Import Company Ltd.	202106	23-12-2021	34.00	--	22-11-2021	24-10-2021
79	13238	Bangladesh Steel Re-Rolling Mills Limited	202106	23-12-2021	50% (including 10% Interim)	--	18-11-2021	24-10-2021
80	18453	Beximco Pharmaceuticals Ltd.	202106	23-12-2021	35.00	--	22-11-2021	24-10-2021
81	18470	Beximco Synthetics Ltd.	202106	23-12-2021	Nil	--	22-11-2021	24-10-2021
82	13227	BSRM Steels Limited	202106	23-12-2021	40% (including 10% Interim)	--	18-11-2021	24-10-2021
83	22646	Daffodil Computers Ltd.	202106	23-12-2021	6.00	--	21-11-2021	31-10-2021
84	17462	Far East Knitting & Dyeing Industries Limited	202106	23-12-2021	10.00	--	11-11-2021	10-10-2021
85	15315	GBB Power Limited	202106	23-12-2021	15.50	--	25-11-2021	28-10-2021
86	18485	Global Heavy Chemicals Limited	202106	23-12-2021	5.00	--	25-11-2021	31-10-2021
87	22641	Information Services Network Ltd.	202106	23-12-2021	Nil	--	25-11-2021	31-10-2021
88	99639	Khan Brothers PP Woven Bag Industries Limited	202106	23-12-2021	Nil	--	18-11-2021	31-10-2021



List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
89	17479	M.L. Dyeing Limited	202106	23-12-2021	10.00	--	25-11-2021	31-10-2021
90	14290	Meghna Condensed Milk Ind. Ltd.	202106	23-12-2021	Nil	--	24-11-2021	27-10-2021
91	14287	Meghna Pet Industries Ltd.	202106	23-12-2021	Nil	--	24-11-2021	27-10-2021
92	13250	Mir Akhter Hossain Limited	202106	23-12-2021	12.50	--	17-11-2021	27-10-2021
93	17459	Mozaffar Hossain Spinning Mills Ltd.	202106	23-12-2021	3.00	--	23-11-2021	31-10-2021
94	13239	Olympic Accessories Limited	202106	23-12-2021	1.00	--	21-11-2021	31-10-2021
95	13246	Runner Automobiles Limited	202106	23-12-2021	10.00	--	21-11-2021	28-10-2021
96	17466	Shasha Denims Limited	202106	23-12-2021	10.00	--	23-11-2021	31-10-2021
97	17474	Shepherd Industries Limited	202106	23-12-2021	2.50	2.50%B	24-11-2021	31-10-2021
98	24633	Shinepukur Ceramics Ltd.	202106	23-12-2021	2.50	--	22-11-2021	24-10-2021
99	17469	Simtex Industries Limited	202106	23-12-2021	4.00	--	24-11-2021	31-10-2021
100	99642	SK Trims & Industries Limited	202106	23-12-2021	5.00	--	25-11-2021	31-10-2021
101	17419	Tamijuddin Textile Mills Limited	202106	23-12-2021	20.00	--	23-11-2021	27-10-2021
102	17468	Tosrifa Industries Limited	202106	23-12-2021	2.50	2.50%B	22-11-2021	28-10-2021
103	13236	Western Marine Shipyard Limited	202106	23-12-2021	1.00	--	02-12-2021	11-11-2021



List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
104	23636	Legacy Footwear Ltd.	202106	25-12-2021	1.00	--	30-11-2021	01-11-2021
105	18480	ACI Formulations Ltd.	202106	26-12-2021	30.00	5%B	18-11-2021	31-10-2021
106	18455	ACI Limited.	202106	26-12-2021	65.00	15%B	18-11-2021	31-10-2021
107	14277	Agricultural Marketing Company Ltd. (PRAN)	202106	26-12-2021	32.00	--	21-11-2021	31-10-2021
108	13212	Atlas Bangladesh Ltd.	202106	26-12-2021	Nil	--	01-12-2021	11-11-2021
109	14269	CVO Petrochemical Refinery Limited	202106	26-12-2021	--	10%B	25-11-2021	28-10-2021
110	13203	Olympic Industries Limited	202106	26-12-2021	54.00	--	18-11-2021	31-10-2021
111	19506	Paper Processing & Packaging Limited	202106	26-12-2021	10.00	--	06-12-2021	14-11-2021
112	21645	Premier Cement Mills Limited	202106	26-12-2021	20.00	--	17-11-2021	27-10-2021
113	13224	Rangpur Foundry Ltd.	202106	26-12-2021	23.00	--	21-11-2021	31-10-2021
114	17430	Sonargaon Textiles Ltd.	202106	26-12-2021	Nil	--	28-11-2021	01-11-2021
115	18491	The ACME Laboratories Limited	202106	26-12-2021	25.00	--	18-11-2021	27-10-2021
116	99608	Usmania Glass Sheet Factory Ltd.	202106	26-12-2021	Nil	--	24-11-2021	28-10-2021
117	17467	Zaheen Spinning Limited	202106	26-12-2021	Nil	--	24-11-2021	04-11-2021
118	17452	Zahintex Industries Limited	202106	26-12-2021	Nil	--	18-11-2021	31-10-2021

List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
119	19505	Bangladesh Monospool Paper Manufacturing Co. Limited	202106	27-12-2021	10.00	--	06-12-2021	14-11-2021
120	20552	Bangladesh Services Ltd.	202106	27-12-2021	Nil	--	19-12-2021	30-11-2021
121	13219	Bd.Thai Aluminium Ltd.	202106	27-12-2021	2.00	--	28-11-2021	07-11-2021
122	17455	Envoy Textiles Limited	202106	27-12-2021	10% (including 5% Interim)	--	15-11-2021	12-10-2021
123	19510	Hakkani Pulp & Paper Mills Ltd.	202106	27-12-2021	1.00	--	05-12-2021	14-11-2021
124	17464	Hamid Fabrics Limited	202106	27-12-2021	5.00	--	28-11-2021	28-10-2021
125	15323	Lub-rref (Bangladesh) Limited	202106	27-12-2021	10.00	--	18-11-2021	01-11-2021
126	17451	Malek Spinning Mills Ltd.	202106	27-12-2021	10.00	--	18-11-2021	27-10-2021
127	17439	Monno Fabrics Limited	202106	27-12-2021	Nil	--	23-11-2021	31-10-2021
128	14263	National Tea Company Limited	202106	27-12-2021	10.00	--	23-11-2021	27-10-2021
129	13218	National Tubes Ltd.	202106	27-12-2021	2.00	--	09-12-2021	22-11-2021
130	17410	Rahim Textile Mills Ltd.	202106	27-12-2021	16.00	--	18-11-2021	28-10-2021
131	14281	Rahima Food Corporation Ltd.	202106	27-12-2021	1.00	--	02-12-2021	07-11-2021
132	14293	Rangpur Dairy & Food Products Ltd.	202106	27-12-2021	3.00	3%B	29-11-2021	31-10-2021
133	13215	Renwick Jajneswar & Co. (Bd.) Ltd.	202106	27-12-2021	Nil	--	22-11-2021	28-10-2021



List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
134	23634	Samata Leather Complex Ltd.	202106	27-12-2021	0.50	--	05-12-2021	15-11-2021
135	14279	Shyampur Sugar Mills Limited	202106	27-12-2021	Nil	--	22-11-2021	28-10-2021
136	29002	Unique Hotel & Resorts Limited	202106	27-12-2021	10.00	--	18-11-2021	26-10-2021
137	15318	United Power Generation & Distribution Company Ltd.	202106	27-12-2021	170.00	--	22-11-2021	28-10-2021
138	14266	Zeal Bangla Sugar Mills Ltd.	202106	27-12-2021	Nil	--	22-11-2021	28-10-2021
139	22649	aamra networks limited	202106	28-12-2021	5.00	5%B	21-11-2021	31-10-2021
140	22647	aamra technologies limited	202106	28-12-2021	5.00	5%B	21-11-2021	31-10-2021
141	17401	Al-Haj Textile Mills Limited	202106	28-12-2021	1% (including 1% Interim)	--	21-11-2021	28-10-2021
142	18451	Ambee Pharmaceuticals Ltd.	202106	28-12-2021	Nil	--	05-12-2021	14-11-2021
143	13213	Bangladesh Autocars Ltd.	202106	28-12-2021	4.00	--	22-11-2021	31-10-2021
144	19512	Bashundhara Paper Mills Limited	202106	28-12-2021	12.00	--	30-11-2021	08-11-2021
145	17454	Generation Next Fashions Limited	202106	28-12-2021	Nil	--	22-11-2021	28-10-2021
146	12151	Investment Corporation of Bangladesh Ltd.	202106	28-12-2021	11.00	--	01-12-2021	11-11-2021
147	15312	Khulna Power Company Ltd.	202106	28-12-2021	12.50	--	22-11-2021	31-10-2021
148	11105	National Bank Ltd.	202012	28-12-2021	--	5%B	17-08-2021	05-07-2021



List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
149	11144	Phoenix Finance and Investments Ltd.	202012	28-12-2021	--	12%B	29-07-2021	27-06-2021
150	14296	Taufika Foods and Lovello Ice-cream PLC	202106	28-12-2021	11.00	--	17-11-2021	19-10-2021
151	13241	Yeakin Polymer Limited	202106	28-12-2021	Nil	--	06-12-2021	31-10-2021
152	22652	e-Generation Limited	202106	29-12-2021	10.00	--	18-11-2021	27-10-2021
153	18482	Beacon Pharmaceuticals Ltd.	202106	29-12-2021	15.00	--	20-12-2021	29-11-2021
154	14286	Fu Wang Food Ltd.	202106	29-12-2021	Nil	--	02-12-2021	15-11-2021
155	18487	JMI Syringes & Medical Devices Ltd.	202106	29-12-2021	30.00	--	18-11-2021	28-10-2021
156	17476	Queen South Textile Mills Ltd	202106	29-12-2021	10.00	10%B	25-11-2021	31-10-2021
157	20553	Samorita Hospital Ltd.	202106	29-12-2021	10.00	--	23-11-2021	31-10-2021
158	24626	Standard Ceramic Industries Ltd.	202106	29-12-2021	1.00	--	24-11-2021	04-11-2021
159	25746	Sunlife Insurance Company Limited	202012	29-12-2021	1.00	--	07-12-2021	17-11-2021
160	17463	Tung Hai Knitting and Dyeing Limited	2017, 2018 2019, 2020 2021	29-12-2021	Nil	--	20-12-2021	01-12-2021
161	17478	VFS Thread Dyeing Limited	202106	29-12-2021	11.00	--	15-11-2021	28-10-2021
162	13201	Aftab Automobiles Limited	202106	30-12-2021	5.00	5%B	17-11-2021	27-10-2021
163	17436	Alif Industries Ltd.	202106	30-12-2021	10.00	--	30-11-2021	10-11-2021



List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
164	17444	Alif Manufacturing Company Ltd.	202106	30-12-2021	2.00	--	30-11-2021	11-11-2021
165	14257	Bangas Ltd.	202106	30-12-2021	4.00	--	28-11-2021	08-11-2021
166	18488	Central Pharmaceuticals Ltd.	202106	30-12-2021	--	--	25-11-2021	07-11-2021
167	11124	First Finance Limited.	202012	30-12-2021	Nil	--	06-12-2021	15-11-2021
168	24632	Fu-Wang Ceramic Industries Ltd.	202106	30-12-2021	2.00	--	12-12-2021	21-11-2021
169	14294	Golden Harvest Agro Industries Ltd.	202106	30-12-2021	5% (including 2% Interim)	--	18-11-2021	31-10-2021
170	13226	Golden Son Limited	202106	30-12-2021	2.75	--	01-12-2021	11-11-2021
171	99605	GQ Ball Pen Industries Ltd.	202106	30-12-2021	5.00	--	30-11-2021	02-12-2021
172	17461	Hwa Well Textiles (BD) Limited	202106	30-12-2021	20.00	--	25-11-2021	27-10-2021
173	11143	International Leasing and Financial Services Ltd.	202012	30-12-2021	Nil	--	08-12-2021	18-11-2021
174	17480	Kattali Textile Limited	202106	30-12-2021	10.00	--	13-12-2021	23-11-2021
175	19511	Khulna Printing and Packaging Ltd.	202106	30-12-2021	Nil	--	25-11-2021	28-10-2021
176	21622	Meghna Cement Mills Ltd.	202106	30-12-2021	5.00	5%B	05-12-2021	11-11-2021
177	13228	Navana CNG Limited	202106	30-12-2021	5.00	5%B	17-11-2021	27-10-2021
178	18462	Pharma Aids Ltd.	202106	30-12-2021	50.00	--	07-12-2021	10-11-2021



List of Companies, who hold its AGM October-December, 2021								
Sl. No.	Code No.	Name	Year-End	Date of AGM	% of Dividend		Record Date	Declaration Date
					Cash	Stock		
1	2	3	4	5	6	7	8	9
179	11132	Premier Leasing & Finance Limited.	202012	30-12-2021	Nil	--	14-12-2021	24-11-2021
180	17434	Prime Textile Spinning Mills Ltd.	202106	30-12-2021	2.00	--	25-11-2021	02-11-2021
181	13235	Ratanpur Steel Re-Rolling Mills Ltd.	202106	30-12-2021	Nil	--	08-12-2021	21-11-2021
182	17470	Regent Textile Mills Limited	2202106	30-12-2021	Nil	--	12-12-2021	22-11-2021
183	18484	Salvo Chemical Industry Limited	202106	30-12-2021	2.00	--	06-12-2021	11-11-2021
184	18493	Silva Pharmaceuticals Limited	202106	30-12-2021	15.00	--	01-12-2021	28-10-2021
185	18467	Wata Chemicals Limited	202106	30-12-2021	30.00	--	08-12-2021	21-11-2021

Settlement of complaint by the stock exchange against listed companies: (October-December, 2021)

Nature of Complaint	No. of Complaints	Under Process	Resolved
Non-payment or delay in payment of dividend	4	4	-
Non-payment of debenture installment	-	-	-
Regarding transfer of shares	-	-	-
Non-receipt of letter of rights share offering	-	-	-
Non-receipt of annual report	-	-	-
Refund warrant	-	-	-
Non-demat of shares	-	-	-
Miscellaneous	-	-	-
Total	4	4	-

All the complaints are settled by DSE and report to the Commission as per the above format under the BSEC Notification No. SEC/SRMIC/94-231/271; dated October 12, 2011.

NB: This is the status of complaints forwarded by Bangladesh Securities and Exchange Commission and lodged at DSE from October-December, 2021.



12. Mutual Fund and Special Purpose Vehicle

During October-December, 2021 Mutual Fund and SPV Department performed the following activities:

(1) Activities related to the approval of Mutual Fund:

Sl. No.	Name of the Fund	Fund Highlights
1	2	3
1	HFAML Shariah Unit Fund	Nature: Open-end Mutual Fund Life and Size of the Fund: Open-end Sponsor & Asset Manager: HF Asset Management Limited (HFAML) Trustee: Investment Corporation of Bangladesh (ICB) Custodian: BRAC Bank Limited Initial Size of the Fund: 25.00 Crore Sponsor's Contribution: Tk. 2.50 Crore General Investors: Tk. 22.50 Crore Face Value: Tk. 10 (Taka Ten) per unit Prospectus Approval Date: 23/11/2021
2	RACE Financial Inclusion Unit Fund	Nature: Open-end Mutual Fund Life and Size of the Fund: Open-end Sponsor & Asset Manager: Bangladesh RACE Management Private Company Limited Trustee: SENTINEL Trustee & Custodian Services Limited Custodian: SENTINEL Trustee & Custodian Services Limited Initial Size of the Fund: 25.00 Crore Sponsor's Contribution: Tk. 2.50 Crore General Investors: Tk. 22.50 Crore Face Value: Tk. 10 (Taka Ten) per unit Prospectus Approval Date: 23/11/2021
3	VIPB SEBL 1 st Unit Fund (This fund has been converted from Close-end to Open-end Mutual Fund)	Nature: Open-end Mutual Fund Life and Size of the Fund: Open-end Sponsor: Southeast Bank Limited

Sl. No.	Name of the Fund	Fund Highlights
1	2	3
		Asset Manager: VIPB Asset Management Company Limited Trustee: Investment Corporation of Bangladesh (ICB) Custodian: Investment Corporation of Bangladesh (ICB) Initial Size of the Fund: 150.00 Crore Total number of issued units: 142,890,608 Sponsor's contributed units: 39,380,000 Face Value: Tk. 10 (Taka Ten) per unit Prospectus Approval Date: 24/11/2021
4	Ekush Growth Fund	Nature: Open-end Mutual Fund Life and Size of the Fund: Open-end Sponsor & Asset Manager: Ekush Wealth Management Limited Trustee: Sandhani Life Insurance Company Ltd. Custodian: BRAC Bank Limited Initial Size of the Fund: 25.00 Crore Sponsor's Contribution: Tk. 2.50 Crore General Investors: Tk. 22.50 Crore Face Value: Tk. 10 (Taka Ten) per unit Prospectus Approval Date: 09/12/2021
5	EDGE High Quality Income Fund	Nature: Open-end Mutual Fund. Life and Size of the Fund: Open-end Sponsor & Asset Manager: EDGE AMC Limited Trustee: Sandhani Life Insurance Company Ltd. Custodian: BRAC Bank Limited. Initial Size of the Fund: 25.00 Crore Sponsor's Contribution: Tk. 2.50 Crore General Investors: Tk. 22.50 Crore Face Value: Tk. 10 (Taka Ten) per unit Prospectus Approval Date: 22/12/2021

(2) A brief scenario of existing Mutual Funds:

- Open-end Mutual Funds:

Sl. No.	Name of the Fund	Unit Capital Fund (Tk. in crore)	NAV at Cost Price (As on 31/12/2021)	NAV at Market Price (As on 31/12/2021)
1	2	3	4	5
1	ICB AMCL Pension Holders Unit Fund	15.49	45.02	38.06
2	ICB AMCL Unit Fund	364.65	898.42	839.88
3	Prime Financial First Unit fund	15.56	19.36	17.58
4	Alliance Shandhani Life Unit Fund	28.65	28.85	27.18
5	Bangladesh Fund	1,758.42	1,878.06	1,776.18
6	MTB Unit Fund	82.36	93.43	90.15
7	Rupali life Insurance First Mutual Fund	33.07	35.88	34.59
8	ICB AMCL Converted First Unit Fund	35.46	42.83	36.52
9	CAPM Unit Fund	11.27	12.91	14.39
10	ICB AMCL Islamic Unit Fund	73.76	76.66	72.71
11	UFS Popular Life Unit Fund	64.57	75.28	78.59
12	Peninsula AMCL BDBL Unit Fund One	20.21	24.51	26.40
13	VIPB Accelerated Income Unit Fund	30.87	37.08	42.42
14	First ICB Unit Fund	85.80	105.50	95.40
15	Second ICB Unit Fund	14.49	20.75	20.30
16	Third ICB Unit Fund	28.99	39.20	38.12
17	Fourth ICB Unit Fund	19.12	26.51	25.28
18	Fifth ICB Unit Fund	32.34	39.67	37.80
19	Sixth ICB Unit Fund	24.59	31.75	31.40
20	UFS Padma Life Islamic Unit Fund.	46.81	54.95	53.51
21	LankaBangla 1 st Balanced Unit Fund.	37.80	49.71	51.98
22	ATC Shariah Unit Fund	13.18	16.64	16.33
23	ICL Balanced Fund	30.00	34.89	37.84

Sl. No.	Name of the Fund	Unit Capital Fund (Tk. in crore)	NAV at Cost Price (As on 31/12/2021)	NAV at Market Price (As on 31/12/2021)
1	2	3	4	5
24	Seventh ICB Unit fund	35.14	45.41	46.52
25	UFS Pragati Life Unit Fund	7.86	10.58	10.96
26	Prime Finance Second Mutual Fund	21.26	29.86	27.59
27	Eighth ICB Unit fund	31.99	43.40	40.13
28	Credence First Growth Fund	17.67	20.68	20.97
29	UFS-IBBL Shariah Unit Fund	101.12	116.23	110.93
30	IDLC Growth Fund	28.62	35.28	38.18
31	NAM IBBL Islamic Unit fund	17.86	24.79	22.29
32	Peninsula Sadharan Bima Corporation Unit Fund	37.56	40.82	44.35
33	Zenith Annual Income Fund	6.42	11.78	13.12
34	HFAML Unit fund	32.32	39.99	37.43
35	LankaBangla Al-Arafah Shariah Unit Fund	30.51	32.47	31.54
36	Credence First Shariah Unit Fund	8.64	10.85	11.52
37	Shanta First Income Unit Fund	96.52	133.09	146.14
38	VIPB Growth Fund	18.57	20.90	22.42
39	IDLC Balanced Fund	41.03	48.02	52.32
40	UFS-Bank Asia Unit Fund	19.92	24.43	25.07
41	BCB ICL Growth Fund	24.24	27.99	29.77
42	Capitec Padma P.F. Shariah Unit Fund	30.96	46.17	42.24
43	EDGE Bangladesh Mutual Fund	30.98	39.15	41.47
44	HFAML-ACME Employees' Unit Fund	14.93	21.63	20.59
45	Vanguard AML Growth Fund	7.67	10.21	11.10
46	Shanta Amanah Shariah Fund	35.71	44.63	46.79
47	AAML Unit Fund	8.05	12.01	14.27
48	ICB AMCL Second NRB Unit Fund	116.59	147.28	155.13
49	Peninsula Balanced Fund	10.85	12.58	14.37

Sl. No.	Name of the Fund	Unit Capital Fund (Tk. in crore)	NAV at Cost Price (As on 31/12/2021)	NAV at Market Price (As on 31/12/2021)
1	2	3	4	5
50	Constellation Unit Fund	3.53	4.15	4.25
51	CWT Emerging Bangladesh First Unit Fund	8.06	11.89	12.77
52	Esquire ICL Apparel Fund	19.29	24.57	27.23
53	Capitec Popular Life Unit Fund	24.27	30.02	29.11
54	IDLC Asset Management Shariah Fund	19.35	23.92	24.77
55	EDGE AMC Growth Fund	26.88	30.49	32.34
56	Ekush First Unit Fund	14.49	20.02	21.45
57	EBL AML 1 st Unit Fund	17.78	19.25	19.77
58	1 st ACACIA SRIM SME Growth Unit Fund	14.94	23.55	23.55
59	CWT - Sadharan Bima Growth Fund	14.76	19.87	20.74
60	RACE Special Opportunities Fund	43.05	48.42	53.78
61	LB Gratuity Opportunities Fund	9.39	10.75	11.28
62	LB Gratuity Wealth Builder Fund	9.31	9.66	9.30
63	Joytun 1 st Unit Fund	10.00	10.95	10.56
64	RBIMCO BGFI Fund	10.00	9.77	9.75
65	CWT Opportunities Fund	20.00	20.59	20.96
66	IDLC Income Fund	13.75	14.84	14.89
67	Shanta Fixed Income Fund	13.18	13.26	13.10
68	VIPB Balanced Fund	10.44	11.58	12.86
69	Candlestone Rupali bank Growth Fund	46.30	48.32	47.20
70	ICB AMCL Shotoborsho Unit Fund	28.54	36.70	36.56
71	Capitec IBBL Shariah Unit Fund	22.86	26.56	25.26
72	SAML Income Unit Fund	10.00	10.59	9.81
73	VIPB SEBL 1 st Unit Fund	142.89	145.25	151.64
74	Total	4,253.51	5,363.06	5,222.75

* "Unit Capital Fund" is as per the latest data available.



- Close-end Mutual Funds:

Sl. No.	Name of the Fund	Unit Capital Fund (Tk. in crore)	NAV at Cost Price (As on 31/12/2021)	NAV at Market Price (As on 31/12/2021)
1	2	3	4	5
1	IFIL Islamic Mutual Fund-1	100.00	113.16	94.70
2	Grameen Mutual Fund 1: Scheme Two	182.40	203.97	379.65
3	Prime Finance First Mutual Fund	20.00	39.20	30.17
4	EBL First Mutual Fund	144.75	164.86	158.91
5	ICB AMCL Second Mutual Fund	50.00	69.70	53.72
6	ICB Employees Provident Mutual Fund: Scheme-1	75.00	91.46	72.63
7	DBH First Mutual Fund	120.00	123.74	135.69
8	Trust Bank 1 st Mutual Fund	303.60	340.70	357.98
9	Prime Bank 1 st ICB AMCL Mutual Fund	100.00	122.79	104.01
10	IFIC Bank 1 st Mutual Fund	182.17	206.63	206.98
11	Phoenix Finance 1 st Mutual Fund	60.00	74.47	60.69
12	ICB AMCL 3 rd NRB Mutual Fund	100.00	119.19	95.67
13	First Janata Bank Mutual Fund	289.92	317.98	322.09
14	Green Delta Mutual Fund	150.00	154.85	167.76
15	Popular Life First Mutual Fund	299.09	332.32	339.83
16	PHP First Mutual Fund	281.89	305.95	323.70
17	AIBL 1 st Islamic Mutual Fund	100.00	104.12	113.88
18	MBL 1 st Mutual Fund	100.00	104.97	120.66
19	EBL NRB Mutual Fund	224.26	255.16	279.75
20	Reliance One' The First Scheme of Reliance Insurance Mutual Fund	60.50	66.33	87.36
21	LR GLOBAL Bangladesh Mutual Fund One	311.08	315.51	357.72
22	AB Bank 1 st Mutual Fund	239.09	272.15	278.56
23	First Bangladesh Fixed Income Fund	776.15	868.60	920.15
24	NLI First Mutual Fund	50.33	62.67	73.05

Sl. No.	Name of the Fund	Unit Capital Fund (Tk. in crore)	NAV at Cost Price (As on 31/12/2021)	NAV at Market Price (As on 31/12/2021)
1	2	3	4	5
25	NCCBL Mutual fund-1	108.60	125.26	139.61
26	EXIM Bank 1 st Mutual Fund	143.26	156.96	169.98
27	ICB AMCL Sonali Bank Limited 1 st Mutual Fund	100.00	117.78	104.83
28	Asian Tiger Sandhani Life Growth Fund	61.80	61.79	71.79
29	SEML Lecture Equity Management Fund	50.00	57.29	54.46
30	Vanguard AML BD Finance Mutual Fund One	104.32	114.58	123.99
31	Vanguard AML Rupali Bank Balanced Fund	158.74	179.36	189.80
32	CAPM BDBL Mutual Fund 01	50.10	54.83	61.68
33	SEML IBBL Shariah Fund	100.00	111.17	109.02
34	ICB AMCL First Agrani Bank Mutual Fund	98.20	112.91	113.48
35	CAPM IBBL Islamic Mutual Fund	66.85	71.18	84.13
36	SEML FBSL Growth Fund	72.94	82.40	83.75
37	Total	5,435.04	6,075.99	6,441.83

13. Corporate Finance

The following activities performed by Corporate Finance Department during October-December, 2021:

Regulatory Submissions

Indicators	Expected	Actual
1	2	3
Submission of Corporate Annual Report	139	177
Submission of Corporate Annual Audited Financial Statements	80	187
Submission of First Quarter (Q1) Interim Financial Statements	254	208
Submission of Half Yearly (Q2) Interim Financial Statements	2	12
Submission of Third Quarter (Q3) Interim Financial Statements	126	113
Submission of utilization of IPO/RPO/RI proceeds	77	63
Submission of Corporate Governance Audit Report	139	177

Regulatory Actions

Indicators	No. of Action
1	2
Annual Audited Financial Statements:	
Further disclosure, information or explanation required	13
Time extended for submission of Financial Statements	11
Application for time extension rejected	02
Referred to Enforcement Department to take action against issuer of capital for non-submission	-
Referred to Enforcement Department to take action against issuer of capital for non-compliance with the securities laws	-
Number of Qualified opinion/Adverse opinion/Disclaimer from external independent auditor	113
First Quarter(Q1) Financial Statements:	
Time extended for submission of Financial Statements	17
Application for time extension rejected	05
Referred to Enforcement Department to take action against issuer of capital for non-submission	-
Second Quarter(Q2) Financial Statements:	
Time extended for submission of Financial Statements	-
Application for time extension rejected	02
Referred to Enforcement Department to take action against issuer of capital for non-submission	-

Indicators	No. of Action
1	2
Third Quarter(Q3) Financial Statements:	
Time extended for submission of Financial Statements	03
Application for time extension rejected	02
Referred to Enforcement Department to take action against issuer of capital for non-submission	-
Corporate Governance:	
Consent accorded for appointment of Independent Directors	14
Consent not accorded for appointment of Independent Directors	07
Further disclosure/explanation required	08
Enquiry/Investigation and Special Audit :	
Appointment of regulatory Enquires and Investigation Committee	01
Appointment of Special Auditors	00
Referred to Enforcement Department to take action against issuer of capital for non-compliance with the securities laws	-

N.B. *Enquiry and Investigation for Fareast Islami Life Insurance Company Ltd.

14. Legal Services

15 cases were filed by or against Bangladesh Securities and Exchange Commission in different Courts during the October-December, 2021 quarter and 04 cases were disposed off. The details of the cases filed and disposed off by and against Bangladesh Securities and Exchange Commission are as follows:

Cases filed by or against BSEC are pending in different Courts during the October-December, 2021:

Cases filed by or against BSEC	Number of cases	Brief description
1	2	3
Cases filed by BSEC	05	04 Certificate cases were filed in the General Certificate Court, Dhaka in order to recover the penalty imposed by the Commission under Public Demands Recovery Act, 1913 for violation of securities laws and 01 Complaint Petition is filed in Metropolitan Magistrate Court, Dhaka for violation of securities laws.
Cases filed against BSEC	10	09 Writ Petitions and 01 Company Matter were filed in the High Court Division of Bangladesh Supreme Court challenging securities laws, penalty order of the Commission and for other reasons.

Disposed off cases in different Courts during the October-December, 2021:

Number of disposed off cases	Brief description
1	2
04	03 Writ Petitions and 01 Company Matter have been disposed off, which filed against the Commission in the High Court Division of Bangladesh Supreme Court.

15. Management Information System

During October-December, 2021 Management Information System Department performed the following activities:

- The main objectives of MIS Department is to carry out development of ICT infrastructure, maintenance and its upgradation, introduce e-services to facilitate ease of doing business and build up a digitalized capital market monitoring system.
- The activities of MIS Department are given bellow:
 - Providing technical assistance in the use of government e-nothi system for official activities;
 - Installation and maintenance of official computer hardware and software;
 - Providing technical support for various meetings/seminars/presentations including online meetings;
 - Ensuring uninterrupted internet service of 100 Mbps speed (including 100 Mbps redundant connection);
 - Taking back up and preserve the digital information of the Commission;
 - Preparing Technical Specification for IT related purchases;
 - Taking steps to ensure the cyber security of the Commission's information
 - Arranging training to the employees of the Commission on Information Security Awareness;
 - Providing necessary assistance in completing the tender process of the Commission through e-GP portal;
 - Carrying out system development/modification/upgradation/maintenance as per requirements of different departments of the Commission;
 - Ensuring information security of the Commission's dynamic website using SSL certificate, keeping the website always up through 3 domains- www.sec.gov.bd, www.sec.gov.org and www.এসইসিবিডি.বাংলা and updating the content;
 - Providing assistance to Digital Transformation Consultant for the purpose of modern digital transformation of the capital market.
- The activities accomplished/continued during October-December, 2021:

Sl. No.	Activities Taken	Current Status
1	2	3
1	Launching of online based Regulatory Information System (RIS) for listed companies and market intermediaries to collect information, carrying out activities and preserve data.	All listed companies will submit their monthly /quarterly/annual reports and Financial Statement based on specified template/form through RIS. Test Environment has been developed. UAT (User Acceptance Test) process will commence soon.
2	Launching Independent Directors' Online Database Platform for capital market related companies.	A system has been developed for submitting the updated information of Independent Directors of different companies concerning capital market through Independent Directors' Online Database Platform. At present the experimental operation of the system is going on.



Sl. No.	Activities Taken	Current Status
1	2	3
3	Launching of Internet based Cloud Backup System to protect official data of the Commission's employees;	The internet based Cloud Backup System has been prepared for the protection of official data of the employees of the Commission. Experimental Operation of the system is ongoing.
4	Launching of ERP System;	Using ERP system, the employees of the Commission are performing various daily activities such as Leave Application, Attendance Management, Stationary Requisition, Payroll Management & Accounting System and etc. It has been implemented and post implementation monitoring work is ongoing.
5	Launching of Online IPO Application Submission Platform;	The applicant of IPO will apply for IPO using Online IPO Application Platform. A Presentation on the system was given to the Capital Issue Department. Based on their feedback, the upgradation process is ongoing.
6	Upgradation and modernization of Polycom Video Conferencing System;	Necessary configuration and upgradation for the video conferencing system has been completed. The procurement of Zoom license for the system is under process.
7	Launching of Online Application for Enlistment of Audit Firms;	Upon discussion with the CFD Department, the final template for the system has been prepared. The procurement of the system is under process. After procurement, the software development process will commence.

■ The uploaded information during October-December, 2021 in the commission's website:

- IPO Prospectus-03;
- Mutual Fund Prospectus-03;
- Enforcement Actions-177;
- Other Order/Notification/Directive etc.-09;
- Quarterly Report-06;
- Annual Report-02;
- Press Release-13;
- Tender Information-04;
- Comments Request on Draft Rules/Amendment-01;
- Other received list.

16. Central Depository Services

The following companies joined Central Depository System during October-December, 2021:

Sl. No.	Name of the Companies	Applied for (Fund Size in BDT)	Subscribed amount in BDT	Date of joining in CDS
1	2	3	4	5
1	Mostafa Metal Industries Limited (QIO)	11,000,000	110,000,000	12-Oct-21
2	Krishibid Feed Limited (QIO)	22,000,000	220,000,000	28-Oct-21
3	Sena Kalyan Insurance Company Ltd.	16,000,000	160,000,000	7-Nov-21
4	ACME Pesticides Limited	30,000,000	300,000,000	10-Nov-21

The following Bonds joined Central Depository System during October-December, 2021:

Sl. No.	Name of the Bond	Applied for (Fund Size in BDT)	Subscribed amount in BDT	Date of joining in CDS
1	2	3	4	5
1	Al-Arafah Islami Bank Limited-Unsecured Contingent, Convertible, Floating Rate, Mudaraba, Perpetual Bond	5,000,000,000	Private Placement: 4,500,000,000 IPO: 500,000,000	2-Dec-21
2	Shahjalal Islami Bank Limited-Unsecured, Contingent, Convertible, Floating Rate, Mudaraba, Perpetual Bond (SJIBLPBOND)	5,000,000,000	Private Placement: 4,500,000,000 IPO: 500,000,000	22-Dec-21
3	Islami Bank Limited-Unsecured, Contingent, Convertible, Floating Rate, 2 nd Mudaraba, Perpetual Bond (IBBL) 2 nd Mudaraba, Perpetual Bond)	8,000,000,000	Private Placement: 7,200,000,000 IPO: 800,000,000	22-Dec-21
4	Beximco Green Sukuk Al Istisna'a	30,000,000,000	30,000,000,000	23-Dec-21

The following Mutual Funds joined Central Depository System during October-December, 2021:

Sl. No.	Name of the Mutual Fund	Applied for IPO (Fund Size in BDT)	Subscribed amount in BDT	Date of joining in CDS
1	2	3	4	5
1	VIPB SEBL 1 st Unit Fund	142,890,608	142,890,608	6-Nov-21

17. Research and Development

Research and Development Department performed the following activities during the period October-December, 2021:

1. Preparation of BSEC's Annual Report (2020-2021) and sending to the Ministry of Finance;
2. Preparation of BSEC's Quarterly Report (October-December, 2021);
3. Sending Monthly Report containing BSEC's most important activities to the Ministry of Finance;
4. Preparing a report containing information of BSEC's updated development and success of last 13 years (from 2009-2021) and sending to ministry for incorporating it in the special publication 'Sochitra Bangladesh Potrika' January, 2022 to be published by the Film and Publication Department under the Ministry of Information and Broadcasting;
5. Preparing a report regarding digitalized services providing informational support to the writer and researcher Mr. Mohammad Selim Reza with a view to be published a book titled;
6. Preparing a report on notable activities and index based information of capital market as per requirement of financial institutions division of the Ministry of Finance sending it to the ministry;
7. Sending information to the ministry for incorporating the speech to be delivered by the Honorable President in the first session of the year 2022 of the Eleventh National Assembly;
8. Providing observation to the Ministry of Finance for preparing a document titled "A Training Handbook on Implementation of the 8th Five Year Plan" as requested;
9. Sending an article for incorporating in the special newsletters to be published by Bangladesh Investment Development Authority (BIDA) on the occasion of Bangladesh International Investment Summit-2021;
10. At this time the following report has been edited by the Research and Development Department:

Sl. No.	Reports Name	Number
1	2	3
1	Quarterly Report (October-December, 2021)	1
2	Bangla Newsletter/Porikroma (October-December, 2021)	1

18. Financial Literacy

The following activities performed by Financial Literacy Department during October-December, 2021:

Financial Literacy Department of the Commission conducts different types of Training, Seminar, Workshop and Conference to create awareness among the investors about investment decision making. The Department also trains the employees of different capital market institutions.

The activities of Financial Literacy Department of the Commission and DSE & CSE for the period of October-December, 2021 are given below:

Sl. No.	Particulars of Training	Number of Participants
1	2	3
1	Training for General Investors	292
2	Training for Capital Market Intermediaries Employees	1024
3	World Investor Week-2021	3276
	Total	4592 Persons

19. International Affairs

Activities performed during the period of October-December, 2021 are given below:

1. International Affairs Department replied of foreign agencies concerning queries on different issues and maintained continuous liaison throughout the quarter.
2. BSEC responded in different surveys of IOSCO and some other international organizations.
3. BSEC participated in different surveys of OECD relating to Global Money Week and other international organizations.

20. Operational Statistics of Stock Exchanges

DSE Operational Statistics

October-December, 2021

Trading Months	DSE Broad Index (DSEX) (as on last Trading Day)	Market Capitalization (as on last Trading Day) Million Taka	No. of Trading Days	Turnover of Securities in Million Quantity		Traded Amount in Million Taka	
				Monthly	Daily Average	Monthly	Daily Average
1	2	3	4	5	6	7	8
October	7,000.95	5,595,236.37	20	8,297.00	414.85	370,172.74	18,508.64
November	6,703.26	5,364,946.92	22	7,038.00	319.91	266,828.93	12,128.59
December	6,756.66	5,421,963.96	21	4,815.00	229.29	193,650.84	9,221.47
Total	-	-	63	20,150.00	319.85	830,652.51	13,184.96

* Index and Market Capitalization of the last trading day of the months have been considered as relevant figures are of respective months.

CSE Operational Statistics

October-December, 2021

Trading Month	All Share Price Index (as on last Trading Day)	Market Capitalization in Million Taka (as on last Trading Day)	No. of Trading Days	Turnover of Securities in Million Quantity		Traded Amount in Million Taka	
				Monthly	Daily Average	Monthly	Daily Average
1	2	3	4	5	6	7	8
October	20,480.3112	4,896,923.93	20	391.57	19.58	13,770.91	688.55
November	19,614.3812	4,674,351.43	22	340.44	15.47	9,580.80	435.49
December	19,666.0737	4,700,249.39	21	354.46	16.88	11,065.87	526.95
Total			63	1,086.47	17.25	34,417.58	546.31

* Index and Market Capitalization of the last trading day of the months have been considered as relevant figures are of respective months.



21. Press Releases

The Commission 12 Press Releases on it's activities during this period, which were uploaded in the Commission's website (www.sec.gov.bd, and www.secbd.org and www.এসইসিবিডি.বাংলা).



BSEC Website Content

- About BSEC
- Notable Events
- Press Release
- Securities Laws
- Investor's Information
- IPO Prospectors
- Annual Report
- Quarterly Report
- Enforcement Actions
- List of Market Intermediaries
- Employment Information
- Tender Information
- Comments Request on Rules Amendment
- Important Links

রেফারেন্স রুম

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ
ফোন: (৮৮)-০২-৫৫০০৭১৭৩-২, ফ্যাক্স: (৮৮)-০২-৫৫০০৭১০৬

যা আছে:

- বিএসইসি'র প্রকাশনা
 - তালিকাভুক্ত কোম্পানিসমূহের প্রসপেক্টাস
 - তালিকাভুক্ত কোম্পানিসমূহের বার্ষিক প্রতিবেদন
- তালিকাভুক্ত কোম্পানিসমূহের প্রকাশিত অর্ধ-বার্ষিক হিসাব বিবরণী
- সিকিউরিটি আইন, রুলস্ ও রেগুলেশনস্/গেজেট নোটিফিকেশনস্
 - পুঁজিবাজারের উপর স্থানীয়/বিদেশি প্রকাশনা
 - বিএসইসি প্রকাশনার গ্রাহক হওয়ার সুযোগ

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন

ই-৬/সি, আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ

Website: www.sec.gov.bd, www.sec.gov.bd, www.এসইসিবিডি.বাংলা

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