

Bangladesh Securities and Exchange Commission Notification

Dated, February 17, 2022

NO. BSEC/SRMIC/2021-206/69- Whereas, the Bangladesh Securities and Exchange Commission (hereinafter referred to as the “Commission”) deems it appropriate that in the interest of investors and the capital market, notification should be issued to the stock exchange(s), issuer company listed and to be listed with Small Capital Platform of the stock exchange(s).

Now, therefore, in exercise of the power conferred by section 20A of the Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969), the Commission hereby directs the Dhaka Stock Exchange Limited, the Chittagong Stock Exchange Limited, Central Depository Bangladesh Limited, issuer company listed and to be listed with Small Capital Platform to comply the followings, namely: -

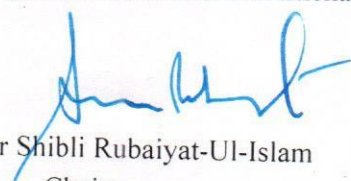
- (a) Any investor(s) registered with the Electronic Subscription System (ESS) of the exchange(s) at the time of Qualified Investors Offers (QIOs) and any investor(s) maintaining a minimum investment of Tk. 20,00,000 (Taka Twenty Lac only) or above in the listed securities at market value/cost, which is higher, as per portfolio statement shall be eligible as Qualified Investor(s) for the purpose of trading of securities listed with Small Capital Platform of the stock exchange(s) in addition to existing provision of the Rules:

Provided that the Central Depository Bangladesh Limited/Depository Participant shall provide detailed information of eligible investors on the basis of the condition (a) above for trading at secondary market of Small Capital Platform of the stock exchange(s) on quarterly basis to stock exchange(s) and stock exchange(s) shall register automatically and update such list of eligible investors accordingly;

- (b) The stock exchanges shall provide fully automated (online/offline) registration at free of cost for the purpose of trading of securities listed with Small Capital Platform of the stock exchange(s); and
- (c) The condition No. 1(c) of Notification No. BSEC/SRMIC/94-231/Part-6/225, Dated September 16, 2021 shall be replaced by the condition (a) of this Notification.

This shall have immediate effect.

By Order of the Bangladesh Securities and Exchange Commission


Professor Shibli Rubaiyat-Ul-Islam
Chairman.