



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DIL KUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2239/2015/389

November 22, 2015

By Special Messenger

Fax: 02-9562347

City Brokerage Ltd.
(DSE TREC No.145)
Jiban Bima Tower (1st and 2nd Floor)
10 Dilkusha C/A
Dhaka-1000

Subject: City Brokerage Ltd.(DSE TREC No.145): Non-compliance of securities related laws: Disposal of the alleged matter.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2239/2015/165 dated May 27, 2015 based on which hearing conducted on June 22, 2015 and your explanations/submissions letter dated June 22, 2015.

The Commission, considering your explanations/submissions, has decided to dispose off the proceedings against you.

Please note that this disposal does not absolve you or any of your directors or officials concerned from their lawful responsibilities/obligations to the persons, if any, affected as a result of your above matters under reference.

For Bangladesh Securities and Exchange Commission

Md. Shahnaws
Assistant Director

Copy for information:

Chairman's office, BSEC

P.O to Commissioner (Enforcement), BSEC

P.O to Executive Director (Enforcement), BSEC

☒ P.O to Executive Director (MIS), BSEC

P.O to Director (Enforcement),