

No.SEC/Enforcement/393/2005/965

By Special Messenger

Dated: September 6, 2006

P.F.I Securities Limited
(DSE and CSE stock broker)
63, Dilkusha C/A (7th Floor)
Dhaka-1000

Revised Order

This refers to the Commission's Order No. SEC/Enforcement/393/2005/815 dated July 17, 2006 concerning imposition of penalty amounting to Tk.2 (Two) lac on P.F.I Securities Limited (DSE and CSE stock broker), and your appeal vide letter No. PFISL/SEC/06 dated July 30, 2006 against the said Order.

The Commission, considering your appeal, has decided to reduce the imposed penalty amount from Tk.2 (Two) lac to Tk. 1(one) lac, which shall be deposited with the Commission through bank draft/pay order with 15 (fifteen) days from the issuance of this Revised Order.

For and on behalf of
The Securities and Exchange Commission

Mansur Alam
Executive Director

Copy to:

Chief Executive Officer, Dhaka Stock Exchange Ltd.
Chief Executive Officer, Chittagong Stock Exchange Ltd.
Executive Director (R& D), SEC
Executive Director (SRMID), SEC
Executive Director (Registration), SEC
Director (MIS), SEC
Chairman's Office, SEC